NEW YORK STATE CRIMINAL JUSTICE ELECTRONIC BIOMETRIC TRANSMISSION STANDARD

Office of Justice Information
Services Enterprise Development

Contact information: Public
Safety Customer Contact Center

Phone number: 1-800-262-3257

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Document Change History

Version 1.0 is the result of a complete review and revision of the entire document. This document replaces 100% of the initial release dated February 13, 1998. Significant changes in the page numbering strategy and replacement of virtually the entire set of Appendices has also been done.

Version 1.1 is the result of attempting (and succeeding with) the first implementation of this standard. To improve document readability, several of the larger tables had been moved from the textual body to a separate Tables Section immediately preceding the Appendices. In addition, the entire Appendices had been restructured as well as corrected. As a result, the document's pages have been adjusted and the Version 1.0 document was 100% replaced.

Version 1.2 is the result of another complete review and revision of the document.   To improve document readability, redundant table information has been deleted. Also, information regarding civil transaction processing has been added to the documentation, in preparation for DCJS' expected readiness to process these transaction types. In addition, clarification has been added to some sections of the document, as vendors and/or contributors have suggested. As a result of all these changes, the Version 1.1 document was 100% replaced.

Version 1.3 is the result of yet another complete review and revision of the document. Revisions have been added to clarify civil transaction processing and FBI processing. Table structures have been slightly modified to be consistent in appearance. As a result of these changes, the Version 1.2 document was 100% replaced.

Version 1.4 is the result of enhancements made to the Store and Forward process. DCJS is now able to handle three additional types of transactions (TOTs). Two new TOTs will allow resubmission of FBI criminal and FBI civil prints that were accepted by DCJS and rejected by the FBI. The third new TOT allows for submissions of fingerprint transactions with or without mugshots for jails and state incarceration facilities on admissions. Although this third TOT (CARADM) was discussed in the previous version of this document, DCJS will now implement the related functionality in late April 2002. Another improvement in this version of the document is the addition of "Country of Citizenship" as a new field that may be transmitted to DCJS, and captured for storage and subsequent reporting purposes. As a result of these substantial new features, the Version 1.3 document was 100% replaced.

Version 1.5 is the result of enhancements made to the Store and Forward process. 'eJusticeNY' has replaced all references to DCJS' 'Secure Services'. The suite of services that were available under Secure Services is now available within eJusticeNY. DCJS rapsheet responses are delivered via eJusticeNY. DCJS is now able to handle two additional types of transactions (TOTs). The two new TOTs (SREFBI and ERRFBI) will be DCJS response messages as a result of the FBI Identification process. Another improvement in this version of the document is the addition of a non-criminal only indicator which will be returned in the SRENYS response.
This indicator will indicate whether a DCJS identification has non-criminal history only. Page changes are noted in the Document Change History for Release 1.5.

Version 1.6 is the result of enhancements made to the Store and Forward process. DCJS is now able to accept two additional types of transactions (TOTs), CARSUP and DEUDEC.   CARSUP is used for submitting both CoopParole and CoopProbation transactions. DEUDEC is used for submitting DEAD transactions. Also, DCJS now prefers to accept and respond to transactions via MQSeries. With this capability, DCJS is requiring that all MQSeries submissions and responses be enclosed within an XML wrapper. Please see page 4-6 for details on this requirement. In addition, there have been a number of new Tags added, as well as new Reference Number Descriptions. Please review the Specifics of Document Change History for Release 1.6 that follows for a complete list of all changes and updates for this version.

Version 1.7 - Please review the Specifics of Document Change History for Release 1.7 that follows for a complete list of all changes and updates for this version.

Version 1.8 is the result of enhancements made to the Store and Forward process. DCJS is now able to accept a new TOT CARSOR. CARSOR will be used for initially submitting photos to update the New York State Sex Offender Registry. In the future CARSOR may possibly be used for submitting other types of New York State Sex Offender related information. Please review the Specifics of Document Change History for Release 1.8 that follows for a complete list of all changes and updates for this version.

Version 1.9 is the result of another complete review and revision of the document. Due to Immigration and Customs Enforcement's (ICE) expansion of the Alien Registration Number, DCJS has expanded tag 2.1119 to a ten-byte alphanumeric field. Also, DCJS has made tag 2.67, Image Processing Field, mandatory for the following Types of Transactions: CARAAR, FBICRM, CARCIR, CARJDR, MAPCIV, FBICIV, CARADM, CARSUP, CARSOR and DEUDEC. Please review the Specifics of Document Change History for Release 1.9 that follows for a complete list of all changes and updates for this version.

Version 2.0 is the result of a review and revision of the document to include biometrics. As DCJS moves towards implementing a new Statewide Automated Biometric Identification System, changes are required to prepare for the inclusion of biometrics. This version begins the transition towards that system. DCJS will have the future capability of accepting, processing, and storing palmprint images. As a result, the Type-15 palmprint record has been more clearly defined. Another change includes an additional reference number in Appendix A-2 to tag 2.1109. Please review the Specifics of Document Change History for Release 2.0 that follows for a complete list of all changes and updates for this version.

Version 2.1 is the result of DCJS' preparation for SABIS implementation as well us updates to current transmission requirements and tag clarifications and changes. Several sections were added to clarify FBI number rules and Photo Specifications. There were also updates to Prefix and Suffix values. Clarifications, notes and changes were made to the following tags: 2.14, 2.0022, 2.1002, 2.1006, 2.1102, 2.1115, 2.1116, 2.1118, 2.1119, 2.1123, 2.1130, 2.1209, 2.1210, 2.1212, 2.1217,

2.1227 (new), 2.1308, 2.1321, 2.45, and 2.1500. Please review the Specifics of Document Change History for Release 2.1 that follows for a complete list of all changes and updates for this version.

Version 2.1a includes a change to tag 2.1334 Court Docket Number to increase the maximum number of characters to 15 by September 1, 2012 and 20 by an estimated date of June 2013. The EBTS will be updated as the 2013 date becomes confirmed.

Version 2.1b adds clarification to the requirements in this standard in section 2.1.

Version 3.0 is the result of a complete review and revision of the document due to the potential impacts of the DOCCS Electronic Identification Consolidation (EIC) Project and the changes that have occured since version 2.1 (2012), including perceived use of the systems that rely on this document. EIC aims to add additional fingerprint card types and date elements (tags) to DCJS's Enterprise Fingerprint Process (EFP) applications, which have been captured in version 3.0, while the complete review identified many obsolete features and data elements which have been removed from the document.

Version 4.0 is the result of the removal of previously added EIC changes, as the EIC project scope and direction has changed. Version 4.0 also contains multiple updates since version 3.0 was published.

Specifics of Document Change History for Release 1.3

Page Number	Change and/or Correction
Cover Page	The Migration Group at DCJS has merged with the Applications Development Group and has become the Enterprise Development Group. Nancy Campbell's title and phone number have been updated.
Table of Contents	iii- Deleted reference to TOT SREFBI, which was never implemented 3.1- Renumbered Tags starting at Tag # 3.1.1.11 Item 3.3.1.1.10 is now on page 3-16. Item 3.1.1.1 is now on page 3-7, and some subsequent items in section 3.1 also required movement back a page. Item 4.3.2 is now on page 4-4. Item 4.3.4.6 is now on page 4-7, and some subsequent items in section 4 also required movement back a page. Table 7 was moved to page 4-21, and some subsequent tables (thru Table 14) were moved back a page.
1-2	The last paragraph on this page was modified to detail the present status of this standard.
3-1	The last paragraph on this page was modified to describe the delivery of the FBI EFTS response. As this paragraph was previously written before the interface to the FBI was developed, specific details were not previously provided.
3-5, 3-6	Added notes referencing Appendix A-1
3-6	References on this page to the TOT 'SREFBI' have been deleted as this TOT was never implemented. The first paragraph also was modified to better describe the FBI responses forwarded by DCJS.
3-7	This sentence was deleted from the CARCIR description as it was not true: If no identification is made, the transaction will be forwarded to the FBI's IAFIS for processing.
3-10 to 3-11	Renumbered Tags starting with tag # 3.1.1.11 Section 3.1.1.12 had previously been deleted, and the tag for 3.1.1.13 (SREFBI) was never implemented

3-5 thru 3-11	With the addition of notes on page 3-5 and 3-6, subsequent pages were affected as documentation moved to a following page
3-12	changed reference to Diagram 7 to read Diagram 6 in Section <u>3.3.1 Photos</u>
3-13	Section 3.3.1.1 reference replaces an incorrect reference to section 3.3.12
4-3	Replaced reference to Kevin Fahrenkopf by reference to the Customer Contact Center at 1800-262-3257.
4-4	Added last paragraph to reflect processing for Civil Submissions.
4-5	4.3.2.3- Added last paragraph to reflect processing for Civil Submissions.
4-11	4.3.6.2- The *Dictionary* is presently in its *Ninth Edition*. Also, please note the explanation at the end of this paragraph that describes the suppression of tags for data fields with no known values.
4-11 thru 4-40	With the addition of the above explanation documentation on page 4-11, the documentation that was previously on the bottom of page 4-11 was moved to page 4-12. Pages 4-12 thru 4-40 were adjusted to no longer run a tag description from one page to another, and page 4-40 was added due to the additional space needed to make this section of the document more 'reader-friendly'.
4-20	Tags 2.1110 (Name) and 2.1111 (Alias) received a notation for tables of valid prefix and suffix data
4-25	The need to rank charges in transmissions to DCJS has been deleted. DCJS ranks arrest charges on receipt of the transmission.
Appendix A-1	Deleted reference to TOT 'SREFBI' which was not implemented The notation for the current state of civil submissions to DCJS was updated on this page.
Appendix A-2	Added Field number 1113 Race. Changed reference numbers for Field Numbers 1050 to 1055 reflect new numbers added to reference notes in Appendix F
Appendix A-3	Added the capability of sending a type10 record (photo image) with a MAPCIV transaction

Appendix B-2	Last Name in Name (2.1110) and Alias/Maiden Name (2.1111) now allow for a shorter minimum length of 1 character
Appendix F	Updated reference information for Reference Number 21 - Added Reference Number 52 & 53.
Table T2	Added new codes for value in tag 2.1090 (196, 198, 711 to 716).
Table T5	Added Reason Submitted values 34 to 40 and 44. Updated literal description for value 32 to indicate a police department.
Table T9	Deleted suffix "DO" as it is not in DCJS' coded suffix tables

Specifics of Document Change History for Release 1.4

Page Number	Change and/or Correction
Cover Page	DCJS no longer mails paper copies of this document with its notice of a new version. Instead, DCJS mails or e-mails a letter to all interested agencies and vendors, informing them of the new version and the URL where it is located.
Table of Contents iii	Added new TOTs (FBICRM and FBICIV) to the table of contents reflecting page location.
1-2	The last paragraph on this page was added for clarification purposes.
3-2, 3-10	Added note for 15-minute minimum wait to resend an unanswered transaction
3-5	Added new TOTs (FBICRM and FBICIV) to Table2 - Tenprint Submission TOT Values
3-12	added 2 new TOT descriptions for FBICRM and FBICIV
4-3	Typo fixed in first paragraph 'EFTS= replaces AEFTs'
4-4,4-5	Added change to reflect new email address for FBI resubmissions - (FBICRM and FBICIV). Added two notations for >criminal= submissions addressed to DCJS.
4-8	Corrected reference for Table 12 to Table 1
4-11	Updated reference to the 10th edition (June 2001) of the Data Dictionary
4-12	Added new tag 2.21 to be used for 'Country of Citizenship'
4-18	Corrected reference for Table 11 to Table T3
4-20	Tag 2.1102- added notation for a CARCIR transaction's max. length for this field
4-24	Tag 2.1199- DCJS no longer requires a literal name in this tag Tag 2.1210- added notation for a CARCIR transaction's max. length for this field

4-29	Added new tags 2.1331 - 2.1338 to be used for CARADM submissions
4-35 thru 4-38	Corrected references for tables, as needed
A-1	Added 3 new TOTs to table (FBICRM, FBICIV and CARADM). Removed note that we currently don't have Civil S&F contributors. As of this release we have 2 civil sites contributing.
A-2	Type 2 Record's Fields Expected by Type of Transaction table updated to reflect new TOTs - FBICRM, FBICIV and CARADM. Note added to reflect FBI required fields may supersede DCJS' optional fields.
A-3	Records Expected by Type of Transaction table changed to reflect new TOTs - FBICRM, FBICIV, and CARADM.
B-2	Updated the documentation for tag 2.1102 and 2.1210 to reflect the fact that these two tags have a maximum data byte length of 11 in CARCIR transactions - tag 2.1122 may contain alphanumeric data - documentation for tags 2.1130 and 2.1223 clarified - for tag 2.1200, there are presently only 2-byte values defined Added new tags 2.21 for any type submission, and 2.1331 - 2.1338 to be used for CARADM submissions Corrected tag 2.1223 to include NCIC code, attempt indicator and counts in this formatting- also added sample incident number in example for this tag
Appendix F - Reference Notes	Added 54 to reflect the comparison on certain tags with original transmission tags for FBI resubmission. Added new Reference notes for 8-11, 14, 20 & 25 to be used for CARADM submissions
Appendix G	Added new tags 2.21, 2.1331 - 2.1338
Table T2- xvi	Added new error return codes and their resolution.
Table T5	Documentation enhanced for clarity sake only

Specifics of Document Change History for Release 1.5

Page Number	Change and/or Correction
Cover	Contact Information has been updated
Table of Contents ii, and 3-7	Admission" replaces "Admissions" only for consistency of documentation, since all other submission types are singular
Table of Contents, iii, iv, vi, vii	Page numbering changes were updated to reflect page numbers that were changed as more documentation was added
vi	Table T10 added
3-1 3-3 3-6 Appendix F-ii	'eJusticeNY' has replaced all references to DCJS' 'Secure Services'. The suite of services that were available under Secure Services is now available within eJusticeNY. DCJS rapsheet responses are delivered via eJusticeNY.
3-2	If no message (neither an acceptance nor rejection for this transaction, or a response to another transaction) is received from DCJS after a DCJS-specified timeout interval (15-minute minimum, although it can be longer if desired), the same transaction is resent. Prior to this documentation release, the rule was that the contributing system had to wait for an acceptance or rejection to a transaction before sending the next transaction. As there have been rare occasions where a malformed transaction cannot be responded to by DCJS, the rule has been softened to indicate that the next transaction may be sent to DCJS once the contributing site has received any message from DCJS, even for a different transaction.
3-3	In order to submit electronic fingerprint transactions to DCJS for identification purposes, the contributing agency *should* be enrolled in eJusticeNY (formerly Secure Services). See exception on this page in the document.

3-6	Documentation change only: When fingerprint transactions are transmitted electronically to the FBI, the results of FBI identification processing are forwarded to the contributing agency by DCJS via eJusticeNY. This section of documentation was changed for clarity purposes as the FBI identification response, per se, is not forwarded by DCJS to the contributing agency. The **results** of the FBI identification response are forwarded by DCJS to the submitting agency. III and NCIC-wanted search results are also forwarded by DCJS via eJusticeNY. - Added new TOTs ERRFBI and SREFBI.
3-7	For documentation and clarification purposes only, a note was added to indicate when a local correctional facility should send a CARADM TOT versus a CARCIR TOT.
3-11	Documentation change for 3.1.1.12 to reference new field Non-Criminal Only Indicator
3-11, and then 4-9, 4-11, 4-20, 4-24,4-26,4-31, 4-36	Deleted footnote 5 on page 3-11 as DCJS now includes an indication of New York State wanted search and missing person suspects in the SRENYS message. Footnote numbering on subsequent pages was then adjusted to reflect the deletion of the former footnote 5.
3-13	Add documentation for new TOTs SREFBI and ERRFBI
4-5	There may be occasions where DCJS' services will become unavailable. To inquire about a possible service outage, please phone the DCJS Customer Contact Center at 1-800262-3257. DCJS no longer sends SMTP e-mail to inform contributors or systems of service unavailability. Replaced/corrected the reference to Appendix K with Appendix A-3
4-9	Tag 1.2 Version Number- DCJS does not edit this field at this time. If DCJS were to utilize this field data, specific content requirements would be defined. Therefore, the previous notation concerning the next release of the Standard has been deleted from this document.
4-10	Tags 1.13 and 1.14 are now optional

4-19	Added new tags 2.1092 III Search Indicator, 2.1093 FBI Processing Message Text, and 2.1097 Non-Criminal Only Indicator that may be returned with FBI related TOTs. - Added a note with tag 2.1098 to explain the circumstances when DCJS may launch a III criminal history request post-New York State identification, or post-FBI identification.
4-20	Tag 2.1117 State/Country of Birth- The following note was added as DCJS rejects some NCIC field values: (Note: Do not send any value which NCIC has documented as "For Reference Only".)
4-24	Tag 2.1211 Weapon- This field has a maximum occurrence of one item, so the documentation is corrected on this page to delete the reference to a <RS>.
4-25	Tag 2.1216 Court of Arraignment - documentation has been enhanced/clarified for this tag
4-27	At the request of courts and district attorneys, DCJS has added a new optional data field for arrests: 2.1226 Domestic Incident Report.
4-29	For clarification purposes, a notation was added for tag 2.1322 Entry Reason, to indicate that this tag is related to incarcerations in a local correctional facility. The Covered Arrest Date (tag 2.1331) must be a valid date and may not be in the future. This may seem obvious, but DCJS has received some dates in error in this field.
4-36	Tag 10.201 NYSID Number - Documentation for this tag is corrected as the field is not mandatory in all type 10 record submissions
Appendix A-1	Added new TOTs ERRFBI and SREFBI. Clarified the description for SREACK.
Appendix A-2	Corrected documentation for tag s 2.126 and 2.1206 as Mandatory fields for juvenile arrests (CARJDR transactions). - Added new TOTs ERRFBI and SREFBI.

	- Added new tags 2.1092 III Search Indicator, 2.1093 FBI Processing Message Text, 2.1097 Non-Criminal Only Indicator, and 2.1226 Domestic Incident Report. - corrected spelling for CARAAR - tag 2.1212 Arrest charges- This field is mandatory on arrest submissions, and the charges no longer need to be formatted in ranked order.
Appendix B-1	Tags 1.13 and 1.14 are now optional
Appendix B -2	Add new tags 2.1092 III Search Indicator, 2.1093 FBI Processing Message Text, 2.1097 Non-Criminal Only Indicator, and 2.1226 Domestic Incident Report. Documentation change only: Tag 2.0060 and 2.1090 may occur multiple times, for as many errors are associated with a transaction Documentation change only- tag 2.1317 and 2.1322 were added as appropriate Documentation example for tag 2.1120 was corrected to county of '60' for New Rochelle - Documentation example change for tag 2.1200 was corrected to depict an example of 2 characters in length Documentation corrected for Counts in tag 2.1223 to have a data size of 2 characters
Appendix B-8	Documentation only changes to correct two typographical errors on this page, one for tag 10.210 and the other for a table heading at the bottom of the page instead on the top of the following page
Appendix F	Reference note 26 is now obsolete. Reference note 54- corrected spelling for 'ensure'
Appendix G -1 Appendix G -2	Add new tags 2.1092 III Search Indicator, 2.1093 FBI Processing Message Text, 2.1097 Non-Criminal Only Indicator, and 2.1226 Domestic Incident Report. Documentation change only- tag 2.1317 and 2.1322 were added as appropriate
Table T2	Some error resolutions have been updated to explain existing edits, assist in resolving error responses and facilitate resubmission of the transaction. Documentation for new error values and their resolution have been added for values of 200-204, 220-222, 250-253, 708-709 and 727-728. These new error values are necessary to distinguish particular errors,

	where a more generic error may have been previously utilized for these errors.
Table T5	Added codes 02, 41-43, 45-46
Table T10	Table added for Entry Reason values for local CARADM submissions

Specifics of Document Change History for Release 1.6

Page Number	Change and/or Correction
ii	Corrected the typo for the TOT (DECDEU), should be DEUDEC
v	Added Table T11 and Table T12
vii	Added description of changes/updates for release 1.6
viii	Added brief description to the Release 1.6 change history
1-1	A *Data Format for the Interchange of Fingerprint, Facial, & Scar, Mark & Tattoo (SMT) Information@ (ANSI/NIST - ITL 1-2000)* is now referenced as the latest standards documentation from NIST and the criminal justice community for this data exchange.
1-2	A very outdated comment at the beginning of paragraph two on this page was replaced. The first three sentences in that paragraph were replaced with: As ANSI/NIST standards for the interchange of fingerprint information are revised; this Standard will be revised to match. Any further ANSI/NIST changes to the data fields or formats for the submission types that are also included in this standard will not cause invalidation of existing systems in place at user agencies
3-9	3.1.1.8 - Corrected the typo for the TOT (DECDEU), should be DEUDEC
3-10	3.1.1.9 - changed wording (mugshots to photos) 3.1.1.10 - changed wording (mugshots to photos) and moved up to be included in the required data.
3-12	3.1.1.20 - Repeated resubmissions to the FBI after repeated rejections for a civil submission are now allowed. Documentation was added as follows: "For civil applicant transactions where a FBI processing fee is imposed, the FBI will allow one subsequent resubmission free of charge before another FBI processing fee is imposed. This cycle will repeat for each subsequent resubmission to the FBI. A resubmission rejected by DCJS as a result of the above-mentioned edits will not be forwarded to the FBI, and therefore will not incur a FBI processing fee if one would otherwise be collected. "

4-1	4.1.1.: The first paragraph's reference to the ANSI/NIST standard was updated to reference "ITL 1-2000". 4.2.1: Both paragraphs were modified to reference new national and DCJS standards for photos and mugshots. Added note: **Please note that as of September 2004, a current photo image (or mugshot) will be required for each EFTS transaction from any new NYSCJEFTS submission site
4-6	Added 4.3.2.3 MQSeries Application Messaging Interface - including the description of requirements for using this new submission capability
4-7	Changed 4.3.2.3 (Service Outages) to 4.3.2.4
4-9	4.3.4.6 - Added note: **Please note that as of September 2004, a current photo image (or mugshot) will be required for each EFTS transaction from any new NYSCJEFTS submission site
4-14	Changed size description from 15 to 20 for tag 2.17 Misc. Number Added note to 2.21 Country of Citizenship that if this tag is submitted, it will be used to determine U.S. citizenship
4-16	A note was added to vendors to indicate that a transaction's data must remain on the submission site server until fully processed by DCJS and the FBI, if applicable. This is necessary to allow for resubmissions if DCJS or the FBI rejects a transaction.
4-18	Added note to tag 2.100 that if not known, use tag 2.102 Reported Date of Death Added new tag 2.102 - Reported Date of Death
4-21	Added new tags: 2.1094 - NCIC Person Indicator, 2.1095 - NCIC Person Status
4-22	Added New Tag: 2.1109 Citizenship Status - which will be used to report Immigration status
4-23	Added comment to tag 2.1118 that this tag is now considered historical and to please submit tag 2.21 Country of Citizenship which will be used to determine whether it's a US citizen or not and tag 2.1109 for Immigration status

	Added new tag: 2.1119 - Alien Registration Number
4-30	Added comment to tag 2.1301 Client ID that it is mandatory for Probation Submission
4-31	Added new tags: 2.1308 Probation Case Number 2.1319 - Probation/Parole Officer ID
4-32	Tag 2.1338 Other Sentence - removed reference to Data Dictionary. Add description and reference to new Table 11.
4-34	The first paragraph's reference to the ANSI/NIST standard was updated to reference "ITL 12000".
A-1	Added new TOTs: CARSUP & DEUDEC
A-2	Appendix A-2: Added new columns for CARSUP & DEUDEC.
A-2i	Added optional for tag 2.0017 for outgoing responses
A-2ii	Added new tags: 2.100 - Date of Death & 2.102 Reported Date of Death Added reference number 56 to 2.1006 (Date Fingerprints Taken)
A-2iii	Added new tags: 2.1094 - NCIC Person Indicator, 2.1095 - NCIC Person Status
A-2-v	Added new tag: 2.1119 - Alien Registration Number
A-2vi	Added optional for tag 2.1132 for all outgoing responses
A-2-viii	Added tags: 2.1309 - Probation Date 2.1310 - Parole Release Date

A-2-ix	Added tags: 2.1319 - Probation/Parole Officer ID
	                 2.1320 - Co-Op State
	                 2.1321 - Parole Area of Assignment
	                 2.1326 - Parole Release Type

A-2-x	tag 2.1510 - Dead Number - New wording Added reference numbers to tags 2.1333 (Expiration Date) &

	2.1338 (Other Sentence)
A-3	Added new TOTs: CARSUP & DEUDEC
B-2-i	Changed size of tag 2.17 Misc. Number from 15 to 20
B-2-iv	Added new tags: 2.0100 - Date of Death & 2.0102 Reported Date of Death
B-2-vii	Added new tags: 2.1094 - NCIC Person Indicator, 2.1095 - NCIC Person Status 2.1109 - Citizenship Status
B-2-ix	Added new tag: 2.1119 - Alien Registration Number
B-2-xvi	Added new tags: 2.1308 - Probation Case Number 2.1309 - Probation Date 2.1310 - Parole Release Date
B-2-xviii	Added new tags: 2.1319 - Probation/Parole Officer ID 2.1320 - Co-Op State 2.1321 - Parole Area of Assignment 2.1326 - Parole Release Type
F-iv	Added new Reference Numbers: 55 - 58
F-v	Added new Reference Number: 59 - 61
G-1-ii	Added new tags: 2.100 - Date of Death & 2.102 Reported Date of Death
G-1-iii	Added new tags: 2.1094 - NCIC Person Indicator, 2.1095 - NCIC Person Status 2.1109 - Citizenship Status
G-1-iv	Added new tag: 2.1119 - Alien Registration Number
G-1-vii	Added new tags: 2.1308 - Probation Case Number 2.1319 - Probation/Parole Officer ID
G-1-viii	Added new tags: 2.1510 - Dead Number
G-2-i	Added new tag: 2.1109 - Citizenship Status

	2.1119 - Alien Registration Number
G-2-ii	Added tag: 2.100 - Date of Death
G-2-v	Added new tags: 2.1094 - NCIC Person Indicator, 2.1095 - NCIC Person Status
G-2-vii	Added new tags: 2.1308 - Probation Case Number 2.1319 - Probation/Parole Officer ID 2.0102 - Reported Date of Death
T1	Added new type of Miscellaneous Number: MV - Dept. of Motor Vehicle FS6 Receipt Number
T2-vi	Changed the description of the resolution for Error Code 70
T2-xvi	Added new Error codes: 230 & 231
T11	New: Table 11 for valid Other Sentences for Correction Admission Submissions
T12	New: Table 12 for valid Citizenship Statuses to be used with new tag 2.1109, Citizenship Status.

Specifics of Document Change History for Release 1.7

Page Number	Change and/or Correction
Table of Contents pages iii - v	<u>Section 4 - Requirements</u> needed page topic references updated for pages 4-2 thru 4-42 for documentation purposes. <u>List of Tables</u> also needed page references updated for Table 6 thru Table 13.
4-6	Added XML Version header for Incoming Submission to DCJS and changed XML tag names to match current implementation.
4-13	Tag 2.17 - Added note that the I-94 number should be submitted in this tag.
4-21	Added new tag: 2.1096 - Name SSN Match
4-30	Changed description for size from seven to ten and added more of a detailed description for tag 2.1308 Added note to refer to Parole Supervision Start Date for tag 2.1310
A-2-i	Changed footnote of tag 2.17 (Misc Number) for MAPCIV, MAPCDV and FBICIV due to new requirements for Pistol Permit applicants.
A-2-iii	Added new tag: 2.1096 - Name SSN Match
A-2-v	New requirements for Pistol Permit applicants: Changed footnote of tag 2.1117 – State/Country of Birth and tag 2.1118 (US Citizenship) for MAPCIV, FBICIV & MAPCDV to 63. Changed footnote of tag 2.1119 Alien Registration Number for MAPCIV, FBICIV & MAPCDV to 64.
A-2-vi	Added Optional Indicator for tag 2.1132 (Driver's License) under MAPCIV
B-2-i	Due to new requirements for Pistol Permit applicants: For tag 2.0017, added reference note 64.
B-2-vii	For documentation correction purposes only: Changed size for tag 2.1091 (B) NYSID Number from 1/9 to 0/15 and added special character '=' (equal sign). Changed size for tag 2.1091 (C) Wanted/Missing Status from 22/38 to 22/62. Changed size for tag 2.1095 NCIC Person Status from 13/35 to 11/35. New tag 2.1096

B-2-ix	Due to new requirements for Pistol Permit applicants: For tag 2.1117, added reference note 63. For tag 2.1118, added reference note 63. For tag 2.1119, added reference note 64.
B-2-xvii	For documentation correction purposes only: Changed size from 7 to 10 for tag 2.1308
F-v	Added new reference notes with reference numbers of 62, 63 and 64
G-1-iii	Added new tag 2.1096 - Name/SSN Match
G-2-v	Added new tag 2.1096 - Name/SSN Match
Table T2-xvi	Added new rejection code 255 for possible duplicate event submissions.
T5	Reason submitted code values of 01, 08, 16, 33 and 35 had their description for these codes enhanced for clarity sake.
T6-i - T6-v	Table T-6: Several Codes were added that were previously missing from this documentation. Complete table is replaced.

Specifics of Document Change History for Release 1.8

Page Number	Change and/or Correction
3-5	Added CARSOR to Table 2
3-13	Added description for new TOT 3.1.1.23 CARSOR
4-23	Tag 2.1119 Alien Registration Number - added notation about I-94 non-Immigrant Admission Number.
4-33	Added new tag 2.1400 Offender ID Number
A-1	Added new TOT CARSOR
A-2 all pages	Added new TOT CARSOR
A-2-viii	Changed Appendix F references for Tag 2.1226 for CARAAR, FBICRM and CARJDR
A-3	Added new TOT CARSOR
B-2-i	Added Appendix F reference to Tag 2.21
B-2-viii	Added Appendix F reference to Tag 2.1109
B-2-ix	Added Appendix F reference to Tag 2.1118 and Tag 2.1119
B-2-xi	Tag 2.1132 - Added comment that no spaces are allowed for the portion identified as (B) number. Changed the example to remove the spaces in the (B) portion.
B-2-xx	Added Tag 2.1400 Offender ID Number
F-v	Modified references 63 and 64.
G-1-viii G-2-vi	Added tag 2.1400 Offender ID
Table T1	Added new value AN representing the I-94 non-Immigrant registration number

Table T5-i	Removed Reason Submitted Codes 1-8. These are internal codes for DCJS processing only and should not be used by contributing agencies.
Table T5-ii	Added new Reason Submitted Code 47, Sex Offender Registry for CARSOR. Removed non-numeric Reason codes.

Specifics of Document Change History for Release 1.9

Page Number	Change and/or Correction
4-22	2.1119 Alien Registration Number is now a ten-byte alphanumeric field. It was a nine-byte alphanumeric field.
4-24	Tags 2.1123 - 2.1125 changed the wording of the descriptions to better clarify the use of these tags. Also, increased the maximum occurrences from 5 to 10.
4-25	Added NOTE at top of page and changed wording for tag 2.1126 to better clarify the use of this tag. Also, increased the allowable occurrences from 5 to 10.
4-26	Added new tag 2.1207 Crime Time
4-29	Corrected description for tag 2.1306. Described the formats of Coop and Federal Parole Cases.
4-30	Added new tag 2.1337 removed mandatory
4-31	Corrected description for tag 2.1335 Admission Sentence Type. Fixed wording for tags 2.1336 and 2.1337. 2.1336 is required and also must be present when 2.1337 (optional) is also included.
4-36	Tags 10.6, 10.7, 10.9, 10.10, & 10.12: changed maximum byte from 4 to 5
4-37	Added NOTE above tag 10.40. Changed wording for tags 10.40-10.42 to better clarify the use of these tags.
4-38	Tags 10.43, 10.210, 10.211 & 10.212: changed the wording of the descriptions to better clarify the use of these tags.
A-2-ii	Tag 2.67 is now a mandatory field for Transaction Types: CARAAR, FBICRM, CARCIR, CARJDR, MAPCIV, FBICIV, CARADM, CARSUP, CARSOR and DEUDEC
A-2-iii	Tag 2.1030 (Local Identifier Designation) - deleted the "O" in the MAPCIV, MAPCDV, CARSUP, CARSOR, and DEUDEC columns
A-2-vii	Added new tag 2.1207 Crime Time

A-2-x	Added optional tag 1335 for CARADM
B-2-x	Tags 2.1123-2.1125: changed occurrences to 10 and changed the examples to better clarify the usage
B-2-xi	Tag 2.1126: changed occurrences to 10 and changed the example to better clarify the usage Tag 2.1119: changed size to 10 and changed the example to reflect the increase in field size
B-2-xii	Add new tag 2.1207 Crime Time Modified Type for 2.1220 (Arrestee Number) from AN to N.
B-2-xvii	Tag 1306: changed the format of the field from 93A0064 to C123456 or F123456
B-2-xix	Tag 1322: changed to 3 positions from 2 positions
B-2-xx	Tag 2.1335: changed the Type from Numeric to Alpha and the example from "l" to "T"
B-2-xviii	Corrected size for tag 2.1322, should be 3 positions, not 2
B-8-iii	Tag 10.210: changed example
B-8-iv	Tag 10.212: changed example
G-1-v	Add new tag 2.1207 Crime Time
G-2-ii	Add new tag 2.1207 Crime Time
T2- xxv	Added new Error Value - 733, Literal - Invalid NYSID and Resolution - Please correct entry and resubmit
T2 – xv	Added new Error Values (741-748) – FBI Resubmissions failed because one of the following fields does not match the original (FP Reason, Sex, Race, Last Name, First Name, DOB, SSN, or CJTN)
T5 - i	Added Reason Codes 01-08 to Reason Submitted Values table

Specifics of Document Change History for Release 2.0

Page Number	Change and/or Correction
Entire Document	Updated to change EFTS to EBTS and fingerprint to palmprint, where applicable
Cover Page	Updated to replace Fingerprint with Biometric
1-1	Text updated to include palmprint information
2-1	Added last sentence to item #1
3-8	Added addition of palmprint records (future capability)
3-11 through 3-13	Added "optional palmprints (future capability)
4-1	Updated ANSI-NIST version, added palmprint section
4-9	Added "(future capability)" to 4.3.4.8
A-2-i	Added Optional for tag 35 palmprints to CARCIR and CARSOR
A-2-i	Added reference 63 for MAPCIV/FBICIV column for tag 1109to alleviate confusion on citizenship
A-3	Updated T15 column to reflect up to 8 palmprint records

Specifics of Document Change History for Release 2.1

Page Number	Change and/or Correction
3-12	Sections 3.1.1.19 & 3.1.1.20 – added note on DCJS requirements
4-2 through 4-8	Updated Section 4.3 – Transmission Requirements
4-13	Tag 2.14 – added FBI Number rules and examples in Appendix B
4-18	Tag 2.1006 – Added clarification
4-18	Tag 2.1030 – this tag is no longer used
4-23	Tag 2.1116 – Changed tag name to Age/Estimated Age. Added clarification on age reported.
4-22	Tag 2.1118 – Added clarification on citizenship for US Born
4-22	Tag 2.1119 – Added clarification for Alien Number
4-24	Tag 2.1130 – Added allowance for special characters
4-26	Tag 2.1209 – Added clarification
4-26	Tag 2.1210 – Added clarification. Arrest Number now mandatory.
4-26	Tag 2.1212 – Renamed Charge field. Added clarification and URL for coded law file.
4-27	Tag 2.1217 – Added clarification that rules are used to determine CJTN check digit
4-28	Added Tag 2.1227 Arrest Status
4-29	Tag 2.1308 – Changed field length to 20
4-30	Tag 2.1321 – Added clarification
4-31	Tag 2.1500 – Added clarification
Various	Added reference to NCIC for tags 2.1123 and 10.42.
Appendix A	Split CARAAR and FBICRM into two separate columns
Appendix A	Changed tags 2.45 (Date of Arrest) and 2.1002 (Fingerprinter's ID) to Optional for FBICRM
Appendix A	Removed O from CARJDR for FBI number since it is not accepted for this TOT
Appendix A	Changed Ethnicity (Tag 2.1115) to Mandatory
Appendix B	Added note for clarification
Appendix B	Added descriptor for AN in footnote
Appendix F-iv	Tag 2.1212 – Added note 65
Appendix F-iv	Added clarification to notes 60 and 61
Appendix F-v	Tag 2.0022 – Added note 66
Appendix F-v	Tag 2.1116 – Added note 66
Appendix F-v	Tag 2.1115 – Added note 67

Appendix F-v	Tag 2.1210 (Arrest Number) and 2.1102 (Local Person ID) – added note 68
Appendix H	Added Appendix H – Photo Specifications
Table T8	Updated Name Prefix Values
Table T9	Updated Name Suffix Values

Specifics of Document Change History for Release 2.1a

Page Number	Change and/or Correction
4-30	Updated Court Docket Number (tag 2.1334) to allow for up to 15 characters by September 1, 2012 and 20 characters by an estimated date of June 2013.

Specifics of Document Change History for Release 2.1b

Page Number	Change and/or Correction
1-2	Clarification of requirements included in this standard.

Specifics of Document Change History for Release 3.0

Page Number	Change and/or Correction	Reason for Change
Cover Page	Changed Version and Date	New Requirements (EIC – Electronic Identification Consolidation Project)
2-3	Removed Label: Future Release	Obsolete
2-4	Removed Diagram 3	Obsolete
3-2	Removed Diagram 4	Obsolete
3-5, 3-9	Removed TOT CARTXT	Obsolete
3-5	Added PICSTR to Table 2 (Page 52)	New Requirements (EIC)
3-5	Removed TOT MAPCDV	Obsolete
3-5	Removed TOT TPIS	Obsolete
3-5	Removed TOT TPS	Obsolete
3-6	Removed TOT SRT	Obsolete
3-6	Removed TOT TPSACK	Obsolete
3-9	Removed 3.1.1.7 Criminal Data Submission (CARTXT)	Obsolete
3-9	Removed 3.1.1.9 Civil Data Submission (MAPCDV)	Obsolete
3-11	Removed 3.1.1.13 Remote Search Results (SRT)	Obsolete
3-11	Removed 3.1.1.14 Tenprint Fingerprint Image Searches (TPIS)	Obsolete
3-11	Removed 3.1.1.15 Tenprint Fingerprint Searches (TPS)	Obsolete
3-11	Removed 3.1.1.16 Tenprint Fingerprint Search Acknowledgment (TPSACK)	Obsolete
3-12	Removed 3.1.1.18 Remote Search Transaction Error (ERRT)	Obsolete
3-12	Updated description for 3.1.1.19 (Resubmission of FBI Criminal Prints) to include Criminal Inquiry and Dead prints.	Additional Requirements
3-13	Removed 3.2 Latent Services (Future Release)	Obsolete
3-14	Removed 3.3 Other Services	Obsolete
3-14	Removed 3.3.1 Photos (see Appendix H for Photo Specifications)	Obsolete
3-15	Removed Diagram 6 DCJS Photo Services	Obsolete
3-15	Removed Diagram 6 DCJS Photo Services	Obsolete
3-16	Removed Table 4 – Users' Photo Services TOT Values	Obsolete
3-16	Removed Table 5 – DCJS Photo Services TOT Values	Obsolete
3-17	Removed 3.3.1.1.1 FBI Photo Services (CPR)	Obsolete
3-17	Removed 3.3.1.1.2 Image Transaction Error (ERRI)	Obsolete

3-17	Removed 3.3.1.1.3 Delete Photo Entry (PICDEL)	Obsolete
3-17	Removed 3.3.1.1.4 Single Photo Request (PICIRQ)	Obsolete
3-17	Removed 3.3.1.1.5 Multiple Photo Request (PICMIR)	Obsolete
3-17	Removed 3.3.1.1.6 Store Photo(s) in DCJS Repository (PICSTR)	Obsolete
3-17	Removed 3.3.1.1.7 Photo Responses (PRR)	Obsolete
3-18	Removed 3.3.1.1.8 Photo Services Transaction Accepted (PRRACK)	Obsolete
3-18	Removed 3.3.1.1.9 Image Request Response (PRRIRR)	Obsolete
3-18	Removed 3.3.1.1.10 Update Request Response (PRRUPD)	Obsolete
3-18	Removed 3.3.1.1.11 Transaction Error (ERRREJ)	Obsolete
4-1	Removed 4.1.2 Latent Images	Obsolete
4-1	Added comment (Future Release) for 4.2.2 Palmprint Images	Future Scope
4-2	Removed 1st paragraph of 4.3.1 Hardware Requirements, Physical and Data Link, Network and Transport Layer Interfaces	Obsolete
4-7	Removed 4.3.2.5 POP3S Interface	Obsolete
4-16	Replaced Table 6 – Fingerprint Position Values	Requirement Update
4-8	Inserted Table 6 - Finger Position Values under 4.3.4.3 Type-4 Fingerprint Images	Requirement Update
4-8	Removed 4.3.4.4 Type-7 Other Print Images	Obsolete
4-10	Removed 1st sentence of 4.3.4.7 Type-14 Fingerprint Images	Obsolete
4-10	Removed "Excepted Implementation – Spring 2012" after 4.3.4.8 Type-15 Palm Print Images	Obsolete
4-14	Removed 2.3 FBI File Number	Obsolete
4-14	Removed 2.6 Attention Indicator	Obsolete
4-14	Removed 2.10 Latent Case Identifier	Obsolete
4-14	Removed 2.12 FBI Latent Case Identifier	Obsolete
4-14	Removed 2.13 FBI Latent Case Extension	Obsolete
4-15	Modified 2.21 Country of Citizenship.	Obsolete
4-15	Removed 2.23 Age Range	Obsolete
4-15	Removed 2.28 Height Range	Obsolete
4-15	Removed 2.30 Weight Range	Obsolete
4-15	Removed 2.34 Fingerprint Pattern Level Classification	Obsolete
4-15	Removed 2.39 Employer	Obsolete
4-16	Removed 2.40 Type of Occupation	Obsolete
4-16	Removed 2.57 Finger Number Requested	Obsolete
4-16	Removed 2.58 Image Requested	Obsolete
4-16	Removed 2.61 Case Title	Obsolete
4-16	Removed 2.62 Image Type	Obsolete

4-16	Removed 2.63 Person Type Designator	Obsolete
4-16	Removed 2.64 Candidate List	Obsolete
4-16	Removed 2.71 Action	Obsolete
4-16	Removed 2.74 Latent Finger Position	Obsolete
4-17	Removed 2.88 Note Field	Obsolete
4-17	Removed 2.89 Match Score	Obsolete
4-17	Removed 2.90 Latent Finger Number	Obsolete
4-17	Removed Tag 2.101 Latent Lift Number	Obsolete
4-17	Removed word CARTXT from tag 2.1000	Obsolete
4-18	Modified tag 2.1004 to read ID of Person Submitting	Rephrased
4-18	Removed Tag 2.1050 Fee Authorizations	Obsolete
4-19	Removed Tag 2.1051 Payment Method	Obsolete
4-19	Removed Tag 2.1052 Payment Amount	Obsolete
4-19	Removed Tag 2.1055 Account Number	Obsolete
4-19	Removed Tag 2.1071 Numbers of Photos Requested	Obsolete
4-19	Removed Tag 2.1072 Photo Specification	Obsolete
4-21	Added description for tag 2.1110 (Name) – Last Name is mandatory for all fingerprint types. First Name, Middle Name, Name Prefix and Name Suffix are optional.	Requirement Update
4-22	Added Tag # 2.1119 to Alien Registration Number	Tag number was missing
4-24	Modified 2.1130 Home Address.	Requirement Update
4-25	Removed Tag 2.1133 Mother's Maiden Name	Obsolete
4-25	Removed Tag 2.1134 Street Name/Nickname	Obsolete
4-25	Removed Tag 2.1135 Gang Information	Obsolete
4-25	Removed tag 2.1149 (Caution and Medical Conditions) for all fingerprint types.	Obsolete
4-25	Removed word MAPCDV from Tag 2.1200 description	Obsolete
4-25	Updated tag 2.1212 Charges (Page 81, 83, 138, 167, 173, 177, 178, 181)	New Requirement (EIC)
4-26	Added Note for Tag 2.1217 CJTN (Page 72, 82, 140, 162, 167, 173, 178)	New Requirement (EIC)
4-27	Removed Tag 2.1219 Appearance Ticket Return Date	Obsolete
4-28	Removed Tag 2.1225 Anticipated Date of Arraignment	Obsolete
4-28	Removed Tag 2.1227 Arrest Status	Obsolete
4-29	Removed Tag 2.1316 Exit Date	Obsolete
4-30	Removed Tag 2.1323 Exit Reason	Obsolete

4-30	Modified Tag 2.1324 to read Incarceration Discharge Reason	Rephrased
4-30	Modified Tag 2.1333 to read Max Expiration Date	Rephrased
4-39	Added Tag 2.1341 CJTN Matrix (Page 82, 86, 149, 167, 175, 183, 184)	New Requirement (EIC)
4-39	Added Tag 2.1342 Indictment Number (87, 151, 175, 184)	New Requirement (EIC)
4-39	Added Tag 2.1343 Judge (Page 87, 151, 175, 184)	New Requirement (EIC)
4-39	Added Tag 2.1344 County of Court Proceeding (Page 87, 151, 175, 184)	New Requirement (EIC)
4-39	Added Tag 2.1345 Court (Page 87, 151, 176, 184)	New Requirement (EIC)
4-31	Removed Tag 2.1502 Civil Contributor Comments	Obsolete
4-31	Removed Tag 2.2044 Geographical Area of Search	Obsolete
4-31	Removed Tag 2.2053 Offense/Crime Category	Obsolete
4-32	Removed Table 10 – Offense Crime Category Values	Obsolete
4-32	Removed Type 7 from Tag 4.3.6.3	Obsolete
4-32	Removed Row Latent Impression from Table 11	Obsolete
4-32	Removed Row Latent Tracing from Table 11	Obsolete
4-32	Removed Row Latent Photo from Table 11	Obsolete
4-32	Removed Row Latent Lift from Table 11	Obsolete
4-32	Removed Row Fingerprint of Person taking the fingerprints for transaction from Table 11	Obsolete
4-32	Added Tag 2.1339 Original Admission Date (Page 86, 149, 175, 183)	New Requirement (EIC)
4-39	Removed Row Latent Palm Impression from Table 13	Obsolete
4-39	Removed Row Latent Palm Tracing from Table 13	Obsolete
4-39	Removed Row Latent Palm Photo from Table 13	Obsolete
4-39	Removed Row Latent Palm Lift from Table 13	Obsolete
Tables	Renumbered Table 6 to Table 4, Renumbered Table 7 to Table 5, Renumbered Table 8 to Table 6, Renumbered Table 9 to Table 7, Renumbered Table 11 to Table 8, Renumbered Table 12 to Table 9, Renumbered Table 13 to Table 10, Renumbered Table 14 to Table 11	Requirement Update
Appendix A-1	Added CARREL, & PICSTR (Page 100)	New Requirement (EIC)

Appendix A-1	Removed MAPCDV	Obsolete
Appendix A-2	Added new TOTs/column – CARREL, & PICSTR (Page 101-116)	New Requirement (EIC)
Appendix A-2	Removed Tag 2.0006 Attention Indicator, Removed 2.0034 Pattern Level Classification, Removed 2.0057 Finger Number Requested, Removed 2.0062 Image Type, Removed 2.1050 Fee Authorization, Removed 2.1051 Payment Method, Removed 2.1052 Payment Amount, Removed 2.1055 Account Number, Removed 2.1071 Number of Photos Requested, Removed 2.1072 Photo Specification, Removed 2.1133 Mothers Maiden Name, Removed 2.1134 Street Name/Nickname, Removed 2.1135 Gang Information, Removed 2.1149 Caution & Medical Conditions, Removed 2.1225 Anticipated Date of Arraignment, Removed 2.1227 Arrest Status, Removed 2.1316 Exit Date, Removed 2.1323 Exit Reason, Removed 2.1502 Civil Contributor Comments, Updated 2.0017 Miscellaneous number, Updated 2.1315 to Release Date, Updated 2.1324 to Incarceration Discharge Reason, Updated 2.1325 Release Reason Updated 2.1333 to Max Expiration Date, Added 2.1339 Original Admission Date, (Page 86, 149, 175, 183) Added 2.1341 Criminal Justice Tracking Number Matrix (Page 82, 86, 149, 167, 175, 183, 184) Added 2.1342 Indictment Number, (Page 87, 151, 175, 184) Added 2.1343 Judge, (Page 87, 151, 175, 184) Added 2.1344 County of Court Proceeding, (Page 87, 151, 175, 184) Added 2.1345 Court, (Page 87, 151, 176, 184)	Obsolete (*Removed*), Rephrased (*Updated),* New Requirements EIC (*Added)*
Appendix A-3	Added CARREL, & PICSTR (Page 115-116)	New Requirement (EIC)
Appendix A-3-i	Removed MAPCDV (row) and T7 (column)	Obsolete

Appendix B-2	Removed 2.0006 Attention Indicator,	Obsolete (*Removed*), Rephrased (*Updated*), New Requirements EIC (*Added*)
	Removed 2.0034 Pattern Level Classification,	
	Removed 2.0057 Finger Number Requested,	
	Removed 2.0062 Image Type,	
	Removed 2.1050 Fee Authorization,	
	Removed 2.1051 Payment Method,	
	Removed 2.1052 Payment Amount,	
	Removed 2.1055 Account Number,	
	Removed 2.1071 Number of Photos Requested,	
	Removed 2.1072 Photo Specification,	
	Removed 2.1133 Mothers Maiden Name,	
	Removed 2.1134 Street Name/Nickname,	
	Removed 2.1135 Gang Information,	
	Removed 2.1149 Caution & Medical Conditions,	
	Removed 2.1225 Anticipated Date of Arraignment,	
	Removed 2.1227 Arrest Status,	
	Removed 2.1316 Exit Date,	
	Removed 2.1323 Exit Reason,	
	Removed 2.1502 Civil Contributor Comments,	
	Updated 2.1315 to Release Date,	
	Updated 2.1324 to Incarceration Discharge Reason,	
	Updated 2.1333 to Max Expiration Date,	
	Added 2.1339 Original Admission Date, (Page 149-151)	
	Added 2.1341 Criminal Justice Tracking Number Matrix,	
	Added 2.1342 Indictment Number,	
	Added 2.1343 Judge,	
	Added 2.1344 County of Court Proceeding,	
	Added 2.1345 Court,	
Appendix B-2xxv	Removed tag 2.1303 (Probation Registration Number) footnote (appendix F reference notes) 58- required for Coop Probation.	Obsolete
Appendix B-2	Changed tag 2.1334 (Court Docket Number) character size from 20 to 15.	Requirement Update
Appendix B-5	Removed B-5 Fields for Type 7: Miscellaneous Image Records	Obsolete
Appendix F	Added Reference Number and Note: 71 (Page 167)	New Requirements EIC
	Added Reference Number and Note: 72	
	Added Reference Number and Note: 73	
Appendix F-1	Removed tag 2.1333 (Expiration Date) referenced in footnote 11.	Obsolete

Appendix G-1	Removed 2.0006 Attention Indicator, Removed 2.0034 Pattern Level Classification, Removed 2.0057 Finger Number Requested, Removed 2.0062 Image Type, Removed 2.1050 Fee Authorization, Removed 2.1051 Payment Method, Removed 2.1052 Payment Amount, Removed 2.1055 Account Number, Removed 2.1071 Number of Photos Requested, Removed 2.1072 Photo Specification, Removed 2.1133 Mothers Maiden Name, Removed 2.1134 Street Name/Nickname, Removed 2.1135 Gang Information, Removed tag 2.1149 Caution and Medical Conditions Removed 2.1225 Anticipated Date of Arraignment, Removed 2.1227 Arrest Status, Removed 2.1316 Exit Date, Removed 2.1323 Exit Reason, Removed 2.1502 Civil Contributor Comments, Updated 2.1315 to Release Date, Updated 2.1324 to Incarceration Discharge Reason, Updated 2.1333 to Max Expiration Date, Added 2.1339 Original Admission Date, (Page 175-176) Added 2.1341 Criminal Justice Tracking Number Matrix, Added 2.1342 Indictment Number, Added 2.1343 Judge, Added 2.1344 County of Court Proceeding, Added 2.1345 Court,	Obsolete (*Removed*), Rephrased (*Updated*), New Requirements EIC (*Added*)
Appendix G-2	Removed 2.0006 Attention Indicator, Removed 2.0034 Pattern Level Classification, Removed 2.0057 Finger Number Requested, Removed 2.0062 Image Type, Removed 2.1050 Fee Authorization, Removed 2.1051 Payment Method, Removed 2.1052 Payment Amount, Removed 2.1055 Account Number, Removed 2.1071 Number of Photos Requested, Removed 2.1072 Photo Specification,	Obsolete (*Removed*), Rephrased (*Updated*), New Requirements EIC (*Added*)

	Removed 2.1133 Mothers Maiden Name,	
	Removed 2.1134 Street Name/Nickname,	
	Removed 2.1135 Gang Information,	
	Removed tag 2.1149 Caution and Medical Conditions	
	Removed 2.1225 Anticipated Date of Arraignment,	
	Removed 2.1227 Arrest Status,	
	Removed 2.1316 Exit Date,	
	Removed 2.1323 Exit Reason,	
	Removed 2.1502 Civil Contributor Comments,	
	Updated 2.1315 to Release Date,	
	Updated 2.1324 to Incarceration Discharge Reason,	
	Updated 2.1333 to Max Expiration Date,	
	Added 2.1339 Original Admission Date, (Page 183-184)	
	Added 2.1341 Criminal Justice Tracking Number Matrix,	
	Added 2.1342 Indictment Number,	
	Added 2.1343 Judge,	
	Added 2.1344 County of Court Proceeding,	
	Added 2.1345 Court,	
Table T2	Added 260 – NYSID, CJTN, DIN COMBINATION NOT VALID	Requirement Update
Table T6	Updated Table 6	Requirement Update
Table T10	Added Entry Reason 006 – Sentenced, Initial Entry Civil Offense	Requirement Update
Table T5	Removed CARTXT	Obsolete
4-10	Updated section 4.3 Transmission Requirements	New Requirements
4-19, Appendix A, Appendix B, Appendix G	Added new tag 2.1136 Foreign Home Address.	Record Review Requirement

Specifics of Document Change History for Release 4.0

Page Number	Change and/or Correction	Reason for Change	Date
B-2- xiv	Update the City in Address field in section B-2 to include hyphens.	Update Requirement	4/1/2018
B-2- xiv	Update the City in the Address field to allow 1-35 characters. (The Spec also needs updating)	Update Requirements	4/1/2018
B-2-X (Zip Code), Page 4-21 (Home Address)	Updated to: Zip Code in Home Address Tag 2.1130 - can be 1 to 10 characters in length. It can include alpha and/or numeric characters and only one character for space or hyphen.	Update Requirements	4/1/2018
Table T9 – Name Suffix values	Adding 'V' and 'VI' values to Name-Suffix, updated Name-Suffix values Table T9 with these new values.	Requirement update	4/1/2018
Tag 2.1130 Home Address (Page 4-21)	Update to read as follows: This field is composed of the following information items separated by the <US>. They include, in order of occurrence: Street Name and Number (maximum of 60 characters – At least one alphabetic character is required), Building Component (Apt/Fl/Rm, Building Name, etc. – 60 characters maximum), City (maximum of 35 characters), County (2 numeric – see New York State County Codes Table in Data Dictionary), State/Country (2 characters – see State Codes Table or County Codes Table in Data Dictionary), Zip (can be 1 to 10 characters in length. It can include alpha and/or numeric characters and only	Requirement update	4/1/2018

	one character for space or hyphen). Only letters, numbers, spaces and hyphen are allowed.		
Tag 2.1116 and Appendix F - 28	Updated maximum age allowed to 110 in Tag 2.1116 field definition and Appendix F -28. Also, updated the Age from 2 characters to 2 or 3 characters in Chart B-2- xii	Requirement Update	4/1/2018
Table T6 – Employment/License Type values	Added the following New Civil Type Code/Literal to Table T6. (290 – Fantasy Sports Operator)	New Requirement	4/1/2018
Appendix B – 2.1132 (B) tag	Updated tag 2.1132 (B) – Drivers' License Number should accept minimum of 4 AN characters instead of 7 AN characters.	Requirement Update as per Change Request CR-DCJS-1700087and ER #17035	4/1/2018
Appendix B – 2.1308 and 2.1319 tags	Updated Probation Case Number (2.1308) and Probation Officer Id (2.1319) with minimum allowed value 1.	Requirement Update	4/1/2018
Tag 2.1130 Home Address (Page 4-21)	Updated 2.1130 Tag (Home Address) to allow Space character in Street and City fields.	Requirement Update	4/1/2018
Appendix B – Name and Alias Name	Updated Appendix B to reflect the requirements noted for Name (Tag 2.1110) and Alias/Maiden Name (Tag 2.1111)	Requirement Update	4/1/2018
Appendix A (A-2), Appendix B	Removed Reference note 20 for NYSID tag 2.1101 in Appendix B. Input NYSID is not required as it does not drive, only Ident NYSID drives the downstream fingerprint process.	Requirement Update	04/10/2018
Page 105 – A-2 Record's Fields Expected by Type of Transaction – as per Deb Lovelady's email dated 5/3/2018	Update Tag 2.1101 NYSID Number for CARREL TOT to reflect (M) for Mandatory and remove the 3,20 references	Requirement Update	5/9/2018

B-2-X (Zip Code), Page 4-21 (Home Address)	Update to: Zip Code in Home Address Tag 2.1130 – First character should not be a hyphen .	Requirement update	06/12/2018
Appendix A-2 Appendix F	Updated S&F messages to contributor to add optional fields currently being populated on the SREACK, ERRREJ, and SRENYS Added reference note 75 – Civil submission only	Requirement update	06/29/18
4.3.6.2 Fields for Type-2 Logical Records (Descriptive Information) Tag 2.1054	Removed the following edit from Tag 2.1054 description 'Also NOTE that if this field is 'N', then 2.1053 <u>must</u> be 'Y'. '	Requirement updated - no longer applicable	07/30/18
Appendix A-2 Type 2 Record's Fields Expected by Type of Transaction	Updated MAPCIV and FBICIV for Tag 2.1122 add optional, Tag 2.1123 add footnote 29, Tag 2.1124 add footnote 29, Tag 2.1125 add footnote 29 and Tag 2.1126 add footnote 29	Requirement Update	07/30/18
Section 4.3.4.3 (First paragraph)	Page 65 - Remove the following sentence: "The number of records varies depending on the TOT exchanged."	The different TOT's require 14 records in the transaction (unless a finger is bandaged/amputated.)	12/20/2018
Page 51 and 52	Removed PICSTR from Table 2 and Table 3	Old Requirement (EIC)	3/15/2019
Page 81 and 167	Removed CARREL from Page 81, Removed reference number 65 (Tag 2.1212) from Page 167 and kept as a placeholder	Old Requirement (EIC)	3/22/1019

Page 86, 149, 167, 175, and 183	Removed 2.1341 CJTN Matrix from Page 86, Removed Tag 2.1341 from Page 149, Removed reference to 2.1341 from Reference Number 73 on Page 167, Removed Tag 2.1341 from Page 175 (G-1 Data Elements) and Page 183 (G-1 Data Elements)	Old Requirement (EIC)	3/22/1019
Page 87, 151, 175 and 184	Removed Tag 2.1342, 2.1343, 2.1344 and 2.1345 from Page 87, Page 151 (B-2 Fields) Page 175 (G-1 Data Elements) and Page 184 (G-2 Data Elementa)	Old Requirement (EIC)	3/22/1019
Page 86, 149, 175 and 183	Removed Tag 2.1339 Original Admission Date	Old Requirement (EIC)	3/22/1019
Page 101 through 116	Removed TOT's column (CARREL and PICSTR)	Old Requirement (EIC)	3/22/2019
Appendix A-2	Removed Tag 2.1339 Original Admission Date, Tag 2.1341 Criminal Justice Tracking Number Matrix, Tag 2.1342 Indictment Number, Tag 2.1343 Judge, Tag 2.1344 County of Court Proceeding and Tag 2.1345 Court	Old Requirement (EIC)	3/22/2019
Page 115	Removed CARREL & PICSTR	Old Requirement (EIC)	3/22/2019
Appendix B-2	Removed Tag 2.1339 Original Admission Date, Tag 2.1341 Criminal Justice Tracking Number Matrix, Tag 2.1342 Indictment Number, Tag 2.1343 Judge, Tag 2.1344 County of Court Proceeding and Tag 2.1345 Court	Old Requirement (EIC)	3/22/2019
Appendix F (Page 167)	Removed Reference Number and Note 71 and 72 and left as a placeholder	Old Requirement (EIC)	3/22/2019

Appendix G-1 and G2	Removed Tag 2.1339 (Original Admission Date), Tag 2.1341 (CJTN Matrix), Tag 2.1342 (Indictment Number), Tag 2.1343 (Judge), Tag 2.1344 (County of Court Proceeding) and Tag 2.1345 (Court)	Old Requirement (EIC)	3/22/2019
Table T2 – Error Values, Literals and Resolutions	Added below Rejection codes to the **Table T2 – Error Values, Literals and Resolutions** 27, 154, 208, 248, 301, 309, 322, 488, 491, 500, 607, 608, 749, 750	New requirement	04/16/2019
Pages 83-85	Added additional info to the field definition/description of 2.1212, 2.1213, 2.1214, 2.1220, 2.1223.	New requirement	06/20/2019
Page 108	Added Reference note 76 to the fields 2.1212, 2.1213, 2.1214 in Appendix A-2.	New requirement	06/20/2019
Page 134	Added Reference note 76 to the fields 2.1212, 2.1213, 2.1214 in Appendix B-1.	New requirement	06/20/2019
Page 157	Added Reference note 76 to the Appendix F	New requirement	06/20/2019

SECTION 1 - INTRODUCTION

1.1 Background

Historically, fingerprint cards have long been the medium for exchanging identification information for both criminal and civil purposes between various local, regional, state, and national government agencies. Because of the delays inherent with the physical delivery of fingerprint cards, New York State maintained a statewide facsimile network to expedite the identification process portion of the arrest-to-arraignment cycle. In addition, a number of local and regional computerized on-line booking systems (OLBS) have been developed with electronic links to DCJS for reporting identification and arrest information. The combination of these has provided a means of rapid delivery of fingerprint images and textual data to the state's central repository at the Division of Criminal Justice Services (DCJS), such that identification processing and criminal history compilation and delivery are routinely accomplished in time for defendant arraignment.

New computerized fingerprint searching capabilities have emerged and are being deployed at all levels of government. These Automated Fingerprint Identification Systems (AFISs) have revolutionized fingerprint-based identification processing. DCJS has completed the process of migrating its entire fingerprint operation to the Statewide ABIS (SABIS). Other biometrics, such as palmprints, into the system and to migrate to a Statewide ABIS (SABIS). Presently, the Federal Bureau of Investigation (FBI) CJIS Division is moving toward a system that will ultimately replace the current IAFIS with a Next Generation Identification (NGI) System that will offer new functionality and capability for its users, including additional biometric modalities (e.g., palmprint, facial, and iris).

As part of the IAFIS effort, standards have been developed for Digital Scanners and Printers which met the image quality requirements of the FBI. Equipment certified by the FBI as meeting these standards is currently available in the marketplace. There are two types of equipment available: "cardscan" equipment, which captures the images of inked and rolled fingerprints, and "livescan" fingerprinting systems, which eliminate the inking of fingers to produce the fingerprints. This equipment produces copies of fingerprint cards on FBI-certified printers which are superior to facsimile copies. The scanned/captured images of the fingerprints are already in digital form and can be easily electronically transmitted directly to another location.

The combination of these technologies provides the environment for the paperless submission of fingerprint and palmprint records. The criminal justice community and the National Institute of Standards and Technology (NIST) have developed an American National Standards Institute (ANSI) standard for electronically transmitting fingerprint and palmprint images, photo image, identification, arrest information and other biometrics, the "*Data Format for the Interchange of Fingerprint, Facial, & Other Biometric Information – Part 1*" *(ANSI/NIST - ITL 1-2011 Updated:2013)* and "*Data Format for the Interchange of Fingerprint, Facial, & Other Biometric Information – Part 2 – XML Version*" *(ANSI/NIST-ITL 1-2011 NIST Special Publication 500290).*

1.2 Contents of this Standard

While the ANSI/NIST standard provides a basis for all ABISs to communicate, the purpose of this document is to specify the requirements to which agencies must adhere in order to electronically submit certain types of processing requests to DCJS. These requirements include the specifications to be employed, transmission protocols to be followed, and the format and content of the messages transmitted. In addition, DCJS will support some peer-to-peer ABIS communications with other states based on the FBI's *Electronic Biometric Transmission Specification* (EBTS).

As ANSI/NIST standards for the interchange of fingerprint and other biometric information are revised, this Standard will be revised to match. Changes to vendor systems may be required as tags are added/modified/deleted or as formerly optional fields become required in order to conform to new requirements of DCJS systems. Vendors will be notified of any upcoming changes in advance to allow for implementation of any associated system updates. Failure to comply with changes may result in rejection of the affected transactions.

Prior releases of this standard have detailed electronic submissions in lieu of physical fingerprint cards, as well as electronic Mugshot/photo submissions for arrest fingerprint submissions. Release 1.3 of this standard follows the implementation of the first electronic fingerprint submissions to the FBI from DCJS, in May 2001. DCJS has also added the capability for civil photo submissions in Release 1.3.

Later releases will add additional functionality as it becomes available at DCJS.

FBI EBTS requirements may have more mandatory data fields for civil submissions. Please refer to the FBI EBTS documentation for FBI requirements.

SECTION 2 - SCOPE

This document supports the processing of fingerprints, palm prints and mugshots submitted for identification purposes, and the processing of biographical and event specific textual data relative to the submission. All New York State searching and candidate comparisons will be performed at DCJS by DCJS identification personnel. Suspect comparisons resulting from FBI IAFIS searching will be conducted by FBI examiners.

SECTION 3 - DESCRIPTION OF OPERATIONAL CONCEPTS

As specified by ANSI/NIST, several different logical record types comprise an electronic transaction. The following table lists the different logical records and their corresponding numerical type:

Logical record content	Type
Transaction information	1
Descriptive text	2
Fingerprint Image data (500 dpi gray) (binary - fixed field)	4
Other print images (binary - fixed field)	7
Signatures (binary - fixed field)	8
Photos	10
Latent Fingerprint Image data	13
Fingerprint Image data (≥500 dpi gray)	14
Palm Print Image data	15

Table 1 - Logical Record Types

Which logical records are included with each electronic transaction is detailed in later sections and the appendices.

Most electronic transactions will follow a similar pattern. First, the transaction is forwarded to DCJS and an accept/error response is returned. Note that no other transaction may be sent until this initial response is received. (As will be discussed later, there are also circumstances where nothing should be sent after receipt of some errors.) If the transaction is accepted, DCJS has taken responsibility for the transaction and the transaction should not be resent unless a subsequent rejection response is received. Results of DCJS' processing will be communicated back to the sender, either the results of successful processing or an error notification which requires user action and resubmission of the transaction (Type of Transaction (TOT) depends on the specific

transaction as detailed later). If the transaction is forwarded to the FBI, the results of the FBI processing will be delivered via eJusticeNY.   Other methods of forwarding the FBI response to the appropriate contributing agency/agencies may be developed, but the eJusticeNY Integrated Justice Portal is presently the only method of delivery for FBI responses.

If no message (neither an acceptance nor rejection for this transaction, or a response to another transaction) is received from DCJS after a DCJS-specified timeout interval (15-minute minimum, although it can be longer if desired), the same transaction is resent. Since this may create a duplicate transaction at DCJS, there is the possibility that there may be an extra response from DCJS, one for the original transaction and a reject for the duplicate.

An error response may also be returned following an initial acceptance by DCJS when subsequent processing detects a fatal problem within the transaction. Possible causes include missing required information, invalid data contents, insufficient funds, TOT not valid for that agency, or unusable image quality.

Exactly how each response from DCJS is processed back at the local site is best determined by that agency. Implementations will undoubtedly be customized to meet the particular configuration and work process flow at the site.

3.1 Identification Services

Diagram 1 depicts the typical processing flow for Tenprint submissions. These submissions originate at a livescan/cardscan workstation either at a remote user site or at DCJS. All submissions to DCJS must include the required identification and textual data as detailed later in Section 4 and the Appendices. Results of DCJS' processing will be communicated to the submitter and to the appropriate users. The resulting rapsheet will include available information from DCJS, NCIC, other states, and the FBI, including the results of appropriate queries of the DCJS and NCIC Wanted/Missing Persons files. All qualifying submissions will be forwarded by
DCJS to the FBI for IAFIS processing. The results of the FBI' processing will be returned to DCJS for communication to the submitter and for dissemination to the appropriate users. DCJS and FBI results are delivered via eJusticeNY Integrated Justice Portal. In order to submit electronic fingerprint transactions to DCJS for identification purposes, the contributing agency should be enrolled in eJusticeNY Integrated Justice Portal.

If financial circumstances preclude an agency' enrollment in eJusticeNY, electronic fingerprint submissions to DCJS will still be accepted.

There are several types of Tenprint electronic submissions and responses. The type of submission or response is identified in the Type of Transaction (TOT) field in each transaction. Agencies may only submit transaction types which are consistent with their Use and Dissemination (U&D) agreements as executed with DCJS. Each submission will contain one Type-1 Header record, one Type-2 Event Information record, from zero up to fourteen Type-4, up to two Type-8 Signature

records, up to six Type-10 Photo records, and up to eight Type-15 Palmprint records (future capability).

Diagram 1 - Tenprint Submission
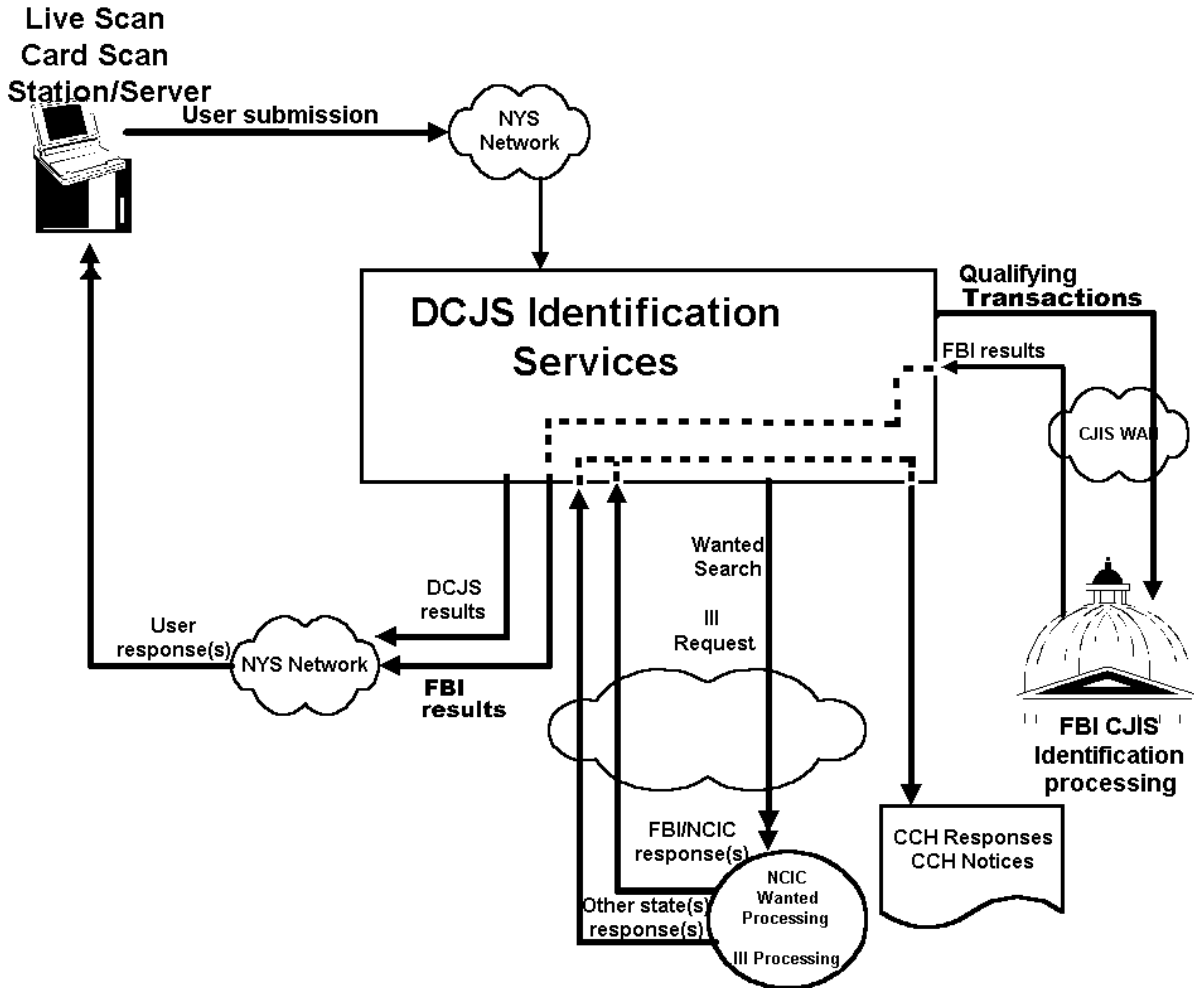
The following are the Tenprint Submission TOTs:
 (Note: see Appendix A-1 for those TOTs currently supported by DCJS)

TOT	Transaction description
CARAAR	Adult New York Arrest Submission
CARADM	New York Institution Admission Submission
CARCIR	Criminal Inquiry from New York Agency (fingerprints images will not be retained by DCJS)
CARJDR	New York Juvenile Delinquent Submission
CARSOR	Sex Offender Registry Submission
CARSUP	Parole Submission, Probation Submission
DEUDEC	Deceased Person Submission
FBICRM	Resubmission of FBI Criminal Prints (prior submission rejected)
FBICIV	Resubmission of FBI Civil Prints (FBI only submission or prior submission rejected)
MAPCIV	License Application Submission, Employment Application Submission, Background Check, etc.

Table 2 - Tenprint Submission TOT Values

Each response will contain one Type-1 header record, and up to one Type-2 Event Information record. The following are the Tenprint Response TOTs: (Note: see Appendix A-1 for those TOTs currently supported by DCJS)

TOT	Transaction Description
ERRFBI	Transaction will not be processed as submitted to the FBI.
ERRREJ	Transaction will not be processed as submitted to DCJS.
SREACK	Transaction received successfully and accepted for processing by DCJS
SRENYS	Results of DCJS Identification processing
SREFBI	DCJS response of FBI Identification processing.

Table 3 - Tenprint Response TOT Values

Not all information is included in the Processing Results response. For example, results of identification processing for New York agencies will be sent as an SRENYS response to the submitter, while the Criminal History response will be delivered through existing DCJS-user communication channels and procedures. With the initial implementation of FBI electronic submissions from DCJS, results of the FBI identification process for those transactions forwarded to the FBI electronically will be forwarded to the submitter by DCJS via eJusticeNY. If an identified FBI history may have any other information not supplied in the DCJS criminal history fingerprint response (rapsheet response), the additional criminal history will be forwarded to the submitter via eJusticeNY.   III criminal history and NCIC-wanted suspect information are also forwarded by DCJS through eJusticeNY.

3.1.1 Type of Transaction (TOT) Definitions

Before submitting any transactions, users must have entered into necessary Use and Dissemination Agreements and have made financial agreements relative to the fees associated with many of these transactions.

3.1.1.1 - Adult Arrest Submission (CARAAR)

This transaction is used for fingerprintable arrest submissions[1] from New York police agencies for adult and juvenile offender arrestees. The transaction contains ten rolled and four plain impressions, optional palmprints (future capability), available mugshots/photos, scars, marks, and tattoos data, and signatures, biographical data, and the arrest-related information. The biographical data and fingerprints are used to search DCJS' files for potential identification candidates. Candidates are reviewed by DCJS fingerprint personnel and an identification/nonidentification decision is made. The CCH is updated with the biographical and arrest information, and the SABIS and Mugshot files may also be updated. The criminal history record is disseminated via established DCJS channels. Where applicable, the transaction will also be forwarded to the FBI's NGI for processing. NOTE: Only one submission is made for an arrest; multiple dates and places of crimes, as well as assisting arresting agencies, are reportable within the single transaction.

Note: To determine when to use this TOT, examine the charges from the NYS Coded Law File (regularly available from DCJS) for which the individual is being charged, and then examine the Fingerprintable Indicator in the law file for each charge being submitted. If any qualify as fingerprintable, then the Type of Transaction (TOT) to be sent to DCJS would be CARAAR; otherwise, use CARCIR.

3.1.1.2 - Institution Admission (CARADM)

This transaction is used for submissions for admissions to New York's state and local correctional facilities and contains biographical data and event related information, and may also contain ten rolled and four plain impressions, optional palmprints (future capability), scars, marks, and tattoos data, and/or mugshots. The biographical data and fingerprints are used to search DCJS' files for potential identification candidates. Candidates are reviewed by DCJS fingerprint personnel and an identification/non-identification decision is made. The CCH is updated with the biographical and admission information and the SABIS and mugshot files may also be updated. The criminal history record is disseminated via established DCJS channels. Where applicable, the transaction will be forwarded to the FBI's NGI for processing.

Note: To determine whether to submit the CARADM or CARCIR TOT for a local correctional facility admission, the rules are as follows:
(1) If the admission is just for a detention and not post-sentence, submit a CARCIR.
(2) If the sentence is for a fingerprintable offense, then submit a CARADM.
(3) If the sentence is for 15 days or more, then submit a CARADM.
(4) Otherwise, submit a CARCIR (non-fingerprintable offenses only, and sentence < 15 days).

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[1] At least one of the arrest charges in the submission must be fingerprintable under New York State law.

3.1.1.3 - Criminal Inquiry (CARCIR)

This transaction is used for fingerprint submissions from authorized New York agencies to ascertain an individual's identity for criminal justice purposes, and contains ten rolled and four plain impressions, optional palmprints (future capability), available mugshots, scars, marks, and tattoos data, and biographical data. The biographical data and fingerprints are used to search DCJS' files for potential identification candidates. Candidates are reviewed by DCJS fingerprint personnel and an identification/non-identification decision is made. The results are disseminated via established DCJS channels. No updating of CCH, SABIS, or Mugshot files is performed.

3.1.1.4 - Juvenile Delinquent Submission (CARJDR)

This transaction is used for fingerprint submissions from New York police agencies for juvenile delinquents and contains ten rolled and four plain impressions, optional palmprints (future capability), available mugshots, scars, marks, and tattoos data, biographical data, and the offense related information. The biographical data and fingerprints are used to search DCJS' files for potential identification candidates. Candidates are reviewed by DCJS fingerprint personnel and an identification/non-identification decision is made. The CCH is updated with the biographical and offense information and the SABIS and Mugshot files may also be updated. The criminal history record is disseminated via established DCJS' channels.

Note: To determine when to use this TOT, examine the charges from the NYS Coded Law File (regularly available from DCJS) for which the individual is being charged, and also their age on the date of the crime (NOT their age at date of arrest), and then examine the JD Indicator in the law file for each charge being submitted. If any qualify as JD, then the Type of Transaction (TOT) to be sent to DCJS would be CARJDR; otherwise, use CARAAR.

3.1.1.5 - Institution Release Submission (CARREL)

This transaction is used for submissions for releases from New York's state and local correctional facilities and contains biographical data and event related information, and may also contain ten rolled and four plain impressions, scars, marks, and tattoos data, and/or mugshots. The biographical data and fingerprints are used to search DCJS' files for potential identification candidates.   Candidates   are   reviewed   by   DCJS   fingerprint   personnel   and   an identification/nonidentification decision is made. The CCH is updated with the biographical and release information, and the SABIS and Mugshot files may also be updated. The criminal history record is disseminated via established DCJS channels.

3.1.1.6 - Parole/Probation/Corrections Supervision (CARSUP)

This transaction is used for submissions for individuals placed under the supervision of /in the custody of Parole or Probation in New York State and contains biographical data and event related information, and may also contain ten rolled and four plain impressions, optional palmprints (future capability), scars, marks, and tattoos data, and/or mugshots. The biographical data and fingerprints are used to search DCJS' files for potential identification candidates. Candidates are reviewed by DCJS fingerprint personnel and an identification/non-identification decision is made. The CCH is updated with the biographical and supervision information and the SABIS and Mugshot files may also be updated. The criminal history record is disseminated via established DCJS' channels.

3.1.1.7 - Deceased Person Submission (DEUDEC)

This transaction is used for fingerprint submissions to report the death of a known individual or to ascertain a deceased individual's identity for criminal justice purposes, and may contain ten rolled and four plain impressions, optional palmprints (future capability), and available mugshots, scars, marks, and tattoos data, and biographical data. The biographical data and fingerprints are used to search DCJS' files for potential identification candidates. Candidates are reviewed by DCJS fingerprint personnel and an identification/nonidentification decision is made. The results are disseminated via established DCJS channels. If an identification is made, CCH will be updated with the death information. Where applicable, the transaction will be forwarded to the FBI's NGI for processing.

3.1.1.8 - Civil Submission (MAPCIV)

This transaction is used for submissions from New York agencies for specific instances where a background check is required or authorized, and contains biographical data, fee related information and the event related information, ten rolled and four plain impressions, and photos and may also contain available scars, marks, and tattoos data. The biographical data and fingerprints are used to search DCJS' files for potential identification candidates. Candidates are reviewed by DCJS fingerprint personnel and an identification/nonidentification decision is made. The CCH may be updated with the biographical and employment/license information and the SABIS and Photo files may also be updated. The criminal history record is disseminated via established DCJS channels. Where applicable, the transaction will be forwarded to the FBI's NGI for processing.

3.1.1.9 Transaction Accepted (SREACK)

This message is returned to indicate that DCJS has taken responsibility for the transaction and it may be removed from the Sender's transmission queue and the next transaction (any acceptable

TOT) may now be submitted. If the submitted TOT is CARAAR or CARJDR, this response will include the Criminal Justice Tracking Number(s) now associated with the submission. The results of DCJS' processing will later be communicated back to the sender, either the results of successful processing or an error notification which requires user action and resubmission of the transaction[2]. (If this message is not received within a DCJS specified timeout interval (15-minute minimum), the transaction should be resent, unless a message suspending traffic has been received.)

3.1.1.10 - New York State's Identification Processing Results (SRENYS)

This message contains the results of DCJS' identification process, including whether an identification was made, and when available, an indication of New York State wanted and/or missing person suspects, the present status of the individual on III, and a non-criminal only indicator.   On rare occasions, sometime after the initial SRENYS response is sent by DCJS, a fingerprint transaction may be reprocessed by DCJS for possible consolidations of criminal histories.   This could be because, for example, an identification clerk at DCJS notices a sex/signature/name discrepancy, or the FBI notifies DCJS of a missed identification.   If a fingerprint transaction is reprocessed at DCJS, another SRENYS response will be sent. Also, if DCJS subsequently makes a consolidation of criminal histories or reprocesses the identification for any reason a later response may be received.

3.1.1.11 - Transaction Error (ERRREJ)

This transaction is returned by DCJS to indicate either that the submitted transaction cannot be accepted or that a transaction error was detected during processing. Regardless of the reason for the rejection, the transaction cannot be resubmitted unchanged. Transactions with image and/or data problems may be corrected and resubmitted; unacceptable transactions require direct contact with DCJS by the submitter to resolve the problem. Transactions rejected as duplicates are, of course, not resubmitted.

Resubmissions will be sent to DCJS with the TOT of the original submission, the TCR of the ERRREJ as the TCR in the resubmission, and the same values in the arrest number, fax number, and CJTN tagged fields of the original submission if present. The Resubmission indicator tagged field must also be included in the resubmission.

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[2]   If the transaction is rejected during DCJS' processing and the data/image problem is rectified, the SAME Criminal Justice Tracking Number MUST be included in the resubmitted transaction as has been associated with the transaction by the original submission's SREACK.

3.1.1.12 - Resubmission of FBI Criminal Prints (FBICRM)

This transaction is used to submit arrest, correction admission, criminal inquiry & dead prints to the FBI. When this transaction is sent to DCJS, the original prints were previously accepted and fully processed by DCJS, but subsequently rejected by the FBI. This TOT gives Store Forward sites the ability to resubmit prints for FBI processing only thru livescan/cardscan process.   Cross edits are performed on the name, SSN, date of birth, sex and race against what was originally received and processed by DCJS.   Any difference will cause a rejection message noting the discrepancy back to the contributing agency. A rejected transaction will not be forwarded to the FBI. Please note that DCJS requires agencies to have the ability to submit this TOT.

3.1.1.13 - Resubmission of FBI Civil Prints (FBICIV)

This transaction is used to submit civil prints to the FBI for prints that were previously accepted and fully processed by DCJS, but subsequently rejected by the FBI. This TOT gives Store Forward sites the ability to resubmit print for FBI processing only thru livescan/cardscan process. For civil applicant transactions where a FBI processing fee is imposed, the FBI will allow one subsequent resubmission free of charge before another FBI processing fee is imposed. This cycle will repeat for each subsequent resubmission to the FBI.   A resubmission rejected by DCJS as a result of the above-mentioned edits will not be forwarded to the FBI, and therefore will not incur a FBI processing fee if one would otherwise be collected. Cross edits are performed on the name, SSN, date of birth, fingerprint reason, sex and race against what was originally received and processed by DCJS.   Any difference will cause a rejection message noting the discrepancy back to the contributing agency. A rejected transaction will not be forwarded to the FBI.   Please note that DCJS requires agencies to have the ability to submit this TOT.

3.1.1.14 - DCJS Response of FBI Identification Processing (SREFBI)

This *optional* message is returned for some civil submissions to DCJS, and as a result of the FBI identification process.   The message will contain an FBI number as determined by the FBI identification process, if the subject may have criminal history outside of New York State.   The message will contain an indication of whether a III criminal history request was made at the time the FBI Identification results were received by DCJS. This response message may also contain 'text messages' as forwarded from the FBI.   At present, the option to receive this message implies that the site will also receive ERRFBI responses.

If a criminal submission site would like to receive this message, please contact DCJS to request implementation of this message for criminal submissions and discuss your needs.   Changes may be necessary to the content of this message, based on a criminal submission site's needs.

3.1.1.15 - DCJS Error Response of FBI Identification Processing (ERRFBI)

This *optional* message is returned for some civil submissions to DCJS and as a result of errors from the FBI Identification process. The message will contain the FBI number (optional), the error(s), and an indication of whether a III request was made. At present, the option to receive this message implies that the site will also receive the SREFBI responses.

3.1.1.16 - Sex Offender Registry Submission (CARSOR)

This transaction is used for fingerprint and photo submissions from authorized New York agencies related to individuals on the New York State Sex Offender Registry. The transaction contains ten rolled and four plain impressions, optional palmprints a current photo, and biographical data. The biographical data, fingerprints, and optional palmprints are used to search DCJS' files for potential identification candidates. Candidates are reviewed by DCJS fingerprint personnel and an identification/non-identification decision is made. The results are disseminated to DCJS' New York State Sex Offender Registry unit; an electronic response is generated to the submission agency. The photo will be updated to the New York State Sex Offender Registry, but paper sex offender registration forms must still be mailed to DCJS.

Note: A digital photo (Type 10 record) is *required* for all CARSOR transactions. See Appendix H for Photo Specifications.

SECTION 4 - REQUIREMENTS

4.1 Fingerprint Image Requirements

4.1.1 Tenprint Images

All fingerprint images must be captured (digitized) in accordance with the ANSI/NIST standard for Information Systems (ANSI/NIST-ITL 1-2011), and must employ the Wavelet Scalar Quantization (WSQ) Grayscale Image Compression Specification algorithms (dated October 2010). All digital scanner equipment (livescan and cardscan) and WSQ algorithms must be FBI certified as having passed the testing as described in the *Test Procedures for Verifying IAFIS Image Quality Requirements for Fingerprint Scanners and Printers* document published by the FBI. DCJS will not certify any equipment or vendor claims as to compliance with these standards.

4.2 Other Images

4.2.1 Photos and Mugshots

Scanned color facial (mugshot) or SMT images shall consist of nominal 24-bit RGB pixels, with each component of the RGB quantified to eight bits, and must employ JPEG Compression. DCJS
requires that users adhere to the "*Policy for Acquisition and Implementation of Livescan, Cardscan and Photo Imaging Systems for Electronic Submissions to DCJS and Facial Images*" published by DCJS, which is available on the DCJS website.

Agencies which contract for mugshot development should insure that vendors comply with the current standards, NIST's *"Best Practice Recommendation for the Capture of Mugshots", version 2.0*. DCJS will not certify any vendor claim as to compliance with these standards.

**Please note a current photo image (or mugshot) is required for each EFTS transaction from any new NYSCJEFTS submission site

4.2.2 Palmprint Images (Future Release)

All Palmprint images must be captured (digitized) in accordance with the ANSI/NIST standard for Information Systems (ANSI/NIST-ITL 1-2011), and must employ the Wavelet Scalar Quantization (WSQ or WSQ20) Grayscale Image Compression Specification algorithms (dated October 2010). All digital scanner equipment (livescan and cardscan) and WSQ algorithms must be FBI-certified as having passed the testing as described in the *Test Procedures for Verifying IAFIS Image Quality Requirements for Fingerprint Scanners and Printers* document published by the FBI. DCJS will not certify any equipment or vendor claims as to compliance with these standards.

4.3 Transmission Requirements

4.3.1 Technology Changes and Future Direction

DCJS supports submissions of EBTS transactions (Store & Forward or S&F) connectivity via the following networks:
- NYS Office of Information Technology Services (ITS) NYeNet
- NYS Office of Information Technology Services (ITS) OneNet

- Internet

Transactions should be sent to DCJS over one of the above networks using either of the following protocols:
- Secure Web Service (HTTPS)
- Secure MQ Series (MQ over SSL)

For those contributors implementing new vendor equipment or software:
- Verify that selected vendor is certified for providing EBTS (Store & Forward) hardware and software
- If using NYeNET, work with DCJS and ITS to confirm physical connection via NYeNet
- Contact DCJS Customer Contact Center at 1-800-262-3257, to set up a new EBTS connection to DCJS, confirm model to be used, and confirm requirements for connectivity.

4.3.2 Network Connectivity and Security

Specific hardware requirements, and the data link and physical layer specifics are dependent on a number of factors, including what services are available at the contributor's location. Therefore, these must be determined in conjunction with DCJS on a case-by-case basis.

- If implementing Secure Web Services:
  o Register your Domain Name with appropriate authority for Internet or NYeNet use
  o Ensure customer side Web Service hosting and connectivity to DCJS
  o Procure and install a Certificate from a mutually agreed upon Certificate Authority.
  o Configure the web service host to accept DCJS Server Certificate
- If implementing Secure MQ Series:
  o Register your Domain Name with appropriate authority for Internet or NYeNet use
  o Ensure customer side MQ connectivity to DCJS
  o Obtain and configure a self-signed certificate from the NYS Office of Information Technology Services (ITS), or procure and install a Certificate from a mutually agreed upon Certificate Authority.
  o Configure the MQ host to accept DCJS Server Certificate

Contributors and their vendors will need to conduct testing with DCJS prior to final implementation.

4.3.2.1 IP Addresses

All routing on TCP/IP networks is based on unique IP network addresses. Either a or b is required:

a. Internet IP Addresses must be registered by the contributing agency with the American Registry for Internet Numbers (ARIN). For further information on address registration, please see the ARIN website at http://www.arin.net

b. NYeNet IP Addresses must be registered with the NYS Office of Information Technology Services (ITS). Office of ITS maintains a private class A 10 address space. Any government agency that uses the NYeNet, or connects to an agency that uses the NYeNet, can obtain an IP network address from ITS Customer Relations at 1-866-789-4638.

4.3.2.2 Domain Name Services

Domain Name Services (DNS) associate an IP address with a domain name. The domain name used by the contributing agency for the EBTS system must be registered with the appropriate authority. This will reserve the name for use only by the agency that reserves it. If the name is not registered, another party can register it and propagate it on the Internet causing routing problem.

DCJS requires all new contributors to use Internet or NYeNet DNS.

For information on registering domain names, see http://www.internic.net.For.gov domain name registration information, see http://www.usa.gov.

For information on NYeNet DNS, contact ITS Customer Relations at 1-866-789-4638.

4.3.2.3 Physical Connectivity

Each contributor is responsible for acquiring and maintaining the appropriate communication equipment to establish network connectivity via the Internet or NYeNet to the DCJS EBTS system. Contributors looking to establish such a connection must contact the DCJS Customer Contact Center at 1-800-262-3257, to set up a new EBTS connection to DCJS. You will need to provide the following information:
- Name and phone number of site's network coordinator
- Location and type of network equipment
- Network transport (Internet, NYeNet, OneNet)
- Transport Type (MQ, Web Service)
- DNS hostname and IP address of the hosts that will connect to the DCJS EBTS system
- Number of anticipated of livescan/cardscan devices that will be used

Based on the supplied information and a follow-up interview with the network coordinator, DCJS staff will assist the contributor in confirming specific requirements and setting up connectivity. DCJS will provide the contributor with a network diagram of the planned connection which will be used for future troubleshooting purposes. Ultimately the contributor will be responsible for all support and maintenance of their equipment including whatever equipment is used for the connection.

4.3.2.4 Security

DCJS requires that transmissions be secure through application layer security, transport layer security, or network security, depending upon the specific connectivity options that have been implemented by customers.

Secure Web Service customers will need to procure and install a Certificate from a commercial Certificate Authority (such as VeriSign), and configure the Host Server to accept DCJS Server Certificate. Secure MQ customers will need to create and configure a self-signed certificate, or procure and install a Certificate from a commercial Certificate Authority (such as VeriSign), and configure the Host Server to accept DCJS Server Certificate.

Contributors who use unsecured mail or MQ connect to DCJS via secure network VPN tunnels. In order to secure the traffic between networks, DCJS and the contributor will need to exchange appropriate IP addresses and DNS host names for servers at each site. On the DCJS side, all traffic will pass thru a firewall; therefore, only devices which are known to DCJS will be allowed to pass. If one or more firewalls exist within the contributor's network, the contributor will need to make the appropriate updates to their own firewalls. [4.3.2.5 Routing Information DCJS, ITS, and the customer may need to exchange additional routing information as appropriate.

4.3.2.5 Network Monitoring

For sites with VPN tunnel implementations, DCJS requests that certain network monitoring devices within the contributor's network be allowed to accept ICMP traffic. This is for troubleshooting and normal network purposes and is a negotiable item. DCJS will consider the same type of request for the contributor's network management.

4.3.3 Application Layer Interface

DCJS has requested that vendors develop Web Service (WS) alternative versions of S&F software, to replace SMTP messaging versions currently deployed. Secure Web Service will allow

contributors to take advantage of new S&F software that can send Transport Layer Security (TLS) encrypted traffic over the Internet or NYeNet, and eliminates the need to implement or maintain virtual private network (VPN) tunnels. This option also eliminates the need for contributors and DCJS to implement and maintain SMTP messaging components, allowing for easier, simpler, and lower cost maintenance.

4.3.3.1 Secure Web Service

DCJS will provide S&F vendors with an Interface Control Document (ICD) and a web service definition language (WSDL) interface that will allow Contributor S&F software to submit application secured S&F EBTS transactions over any available network connection (NYeNet, OneNet, or Internet).

WS version of S&F software would be characterized by the following:
- S&F Contributor WS and DCJS WS authenticate using server certificates and assigned user name and passwords.
- S&F Contributor WS and DCJS WS send transactions and responses via industry standard encryption and exchange of public key infrastructure (PKI) information
- S&F Contributor WS takes a prepared EBTS transaction in XML format and sends that transaction to the DCJS WS developed to receive that transaction.
- DCJS WS processes the transaction.
- DCJS WS prepares and stores NYS responses (SREACK, ERRREJ, SRENYS).
- S&F Contributor WS polls the DCJS WS for NYS responses.

4.3.3.2 Legacy Unsecure SMTP

NOTE: This option is deprecated for any new implementations.
For Legacy SMTP-based implementations, SMTP is used to transfer E-mail to DCJS. SMTP is independent of the transmission subsystem and only requires a reliable ordered data stream channel, which is provided by the transport layer's TCP. The transport service provides an inter-process communication used by SMTP to transfer mail from one system to another. SMTP extensions permitting transfer of unencoded binary are presently in the experimental stage.

A criminal submission to DCJS shall be addressed as:

> To: nyscjefts@cj.ny.gov
> From: <account_name>@<domain>
> Subject:
> <originating_agency_identifier>.<transaction_control_number>.<type_of_transaction>
> <account_name>@<domain_name> is the submitter's registered E-Mail address
> <originating_agency_identifier> is the ORI of the agency (upper case, 9 alphanumeric)

                <transaction_control_number> (upper case, 12 alphanumeric)
                <type_of_transaction> is one of the valid types as specified in Section 3 above (upper case, up to 7 alphabetic)

Note that any mail received at this account which does not conform to this Standard document will receive NO response.

DCJS responses will be addressed as:

                To: <account_name>@<domain>
                From: nyscjefts@cj. ny.gov
                Subject: NY001015Y.<transaction_control_number>.<type_of_transaction>.
                <destination_agency_identifier>.<transaction_control_reference>

                <destination_agency_identifier> is the <originating_agency_identifier> from the submission <transaction_control_reference> is the <transaction_control_number> from the submission

For all civil submissions (MAPCIV) to DCJS, replace all references to the above address nyscjefts@cj.ny.gov with nyscivil@cj. ny.gov.

For all FBI resubmissions (FBICRM & FBICIV) to DCJS, replace all references to the above address nyscjefts@cj. ny.gov with fbionly@cj. ny.gov.

4.3.4 Content

This standard defines the contents of each transaction which can be submitted to DCJS. (Appendix A contains a list of all acceptable TOT values.) Each transaction will consist of one or more logical records, each conveying a specific type of related information concerning either the subject of the transaction or the transaction itself. (Appendix A-3 specifies which logical records must/may be included for each TOT.)

4.3.4.1 Type-1 Transaction Information

A Type-1 record is mandatory for all transactions, and provides information relative to the type of transaction involved. It lists each logical record being included in the transaction, as well as other pertinent transaction-related information. Each transaction will have only one Type-1 record.

4.3.4.2 Type-2 Descriptive Information

A Type-2 record contains identification and descriptive information about the subject of the transaction or the processing results for the transaction. Contents vary by the TOT. Most transactions will have no more than one Type-2 record.

4.3.4.3 Type-4 Fingerprint Images

Type-4 logical records contain the high-resolution fingerprint images compressed using WSQ. For example, for a CARAAR submission, there may be up to 14 of these records in the transaction, ten rolled impressions of the individual fingers, plain impressions of each thumb, and plain impressions of the four simultaneously-obtained remaining fingers of each hand. A maximum of fourteen Type-4 records is allowed.

The following table gives the values for each acceptable finger position:

Finger Position	Value
Unknown Position	0
Right Thumb	1
Right Index	2
Right Middle	3
Right Ring	4
Right Little	5
Left Thumb	6
Left Index	7
Left Middle	8
Left Ring	9

Left Little	10
Plain Right Thumb	11
Plain Left Thumb	12
Plain Right Four Fingers	13
Plain Left Four Fingers	14

Table 4 - Finger Position Values

4.3.4.4 Type-8 Signatures

Type-8 logical records contain a signature, either the subject of the transaction, or the person taking the fingerprints. Signatures may be either scanned or vectorized. There may be up to two Type-8 records in a transaction. (NOTE: These are digitized signatures, not to be confused with digital signatures.)

4.3.4.5 Type-10 Photos

Type-10 logical records contain the mugshot image, gang graffiti image, or an image of the scar, mark, or tattoo (SMT), compressed using JPEG Sequential Baseline; and the related information and characteristics concerning the photo. There may be more than one Type-10 record in a transaction. For valid codes for SMT, please refer to NCIC.
**Please note that a current photo image (or mugshot) is required for each EFTS transaction from any new NYSCJEBTS submission site.

4.3.4.6 Type-14 Fingerprint Images

Type-14 logical records contain high-resolution fingerprint images compressed using WSQ. The number of records varies depending on the TOT exchanged. For example, for a CARAAR submission, there may be up to 14 of these records in the transaction, ten rolled impressions of the individual fingers, plain impressions of each thumb, and plain impressions of the four simultaneously-obtained remaining fingers of each hand. A maximum of fourteen Type-14 records is allowed. This record is similar to the Type-10 record in that there will be tags designated for each field and information separators will be used for delimiting information items.

4.3.4.7 Type-15 Palm Print Images (Future Release)

Type-15 logical records contain high-resolution palm print images compressed using WSQ. A maximum of eight Type-15 records is allowed. This record is similar to the Type-10 record in that there will be tags designated for each field and information separators will be used for delimiting information items.

4.3.5 Record Format

Each type of logical record contains several information fields, which may in turn be subdivided into information items. With the exception of Type-4, Type-7 and Type-8 records, all logical records use information separators for delimiting information items. The characters used are defined in ANSI X3.4, and are used to separate and qualify information in a logical, hierarchical relationship. The File Separator (<FS>) is the most inclusive and is used to separate logical records. This is followed by the Group Separator (<GS>), the Record Separator (<RS>), and the Unit Separator (<US>). These four characters will be used only as separators of data items. When an optional information item in a field is not present, the separator character is still included such that there may be two adjacent separator characters; for example, a <US> character immediately preceding an <RS> character, or a <US> <US> sequence.

Also, again with the exception of the Type-4, Type-7 and Type-8 record, the format for each field will consist of a tag number followed by a colon (:), followed by the information, followed by the <GS> character. There may be multiple occurrences of the information separated by the <RS> character. Information items within a field are separated by the <US> character. When information for a nonmandatory field is unavailable, that field and field number are omitted. When a non-mandatory information item within a field is unavailable, the item is omitted but the <US> separator character is still included. The tag number has the form N.n, where "N" is the logical record type (Table 11) and "n" is the assigned field number for that information type. Note that the tag number should **not** be viewed as having a fixed number of digits. Field numbers should always be parsed as all digits between the period and the colon (maximum size is nine). For example, 2.3, 2.03 and 2.003 are all equivalent and are interpreted as field 3 of record type 2. Also, there is no requirement that the tagged fields be present in any given order, with the exception of LENGTH which must be in the first position of the record and the INCREMENTAL DATA COUNTER which must appear second in all records other than the Type-1.

The Type-4, Type-7 and Type-8 logical records contains ordered binary fields. The length of the entire record is specified by the record length field within the record itself. Also, as the field locations and lengths are fixed and specified, no field number or its following colon or any separator characters are employed.

For Type-1, Type-2, Type-10, Type-14 and Type-15 logical records, with the exception of the Image field in the Type-10, Type-14 and Type-15 records, all fields are in the ASCII (ANSI X3.4) character subset between hexadecimal 20 and 7E.   However, ASCII characters between hexadecimal 61 and 7A (**lower case alphabetic**) are not permitted.

4.3.6 Record Descriptions

The following paragraphs describe the data contained in the fields of the various logical records. (Appendix G contains alphabetic and numeric listings respectively of all fields. Appendices B through J specify which fields must/may be submitted by TOT.) When numbered, each field begins with the number of the record type, followed by a period, followed by the field number, followed by a colon.

Submitters of fingerprint-related transactions from outside of New York should refer to the record descriptions in the *Criminal Justice Information Services (CJIS) Electronic Fingerprint Transmission Specification* [CJIS-RS-0010], published by the U.S. Department of Justice - Federal Bureau of Investigation. Only those fields which are **mandatory** for all TOTs are so designated; specific TOTs have their own set of mandatory fields as listed in the Appendices.

Please note that there are fields contained in this descriptive portion of the document that are not contained in the tables in the Appendices. While these fields are part of the standard, they are not currently being accepted by DCJS. Vendors should always use the tables in the back to determine which fields DCJS requires.

4.3.6.1 Fields for Type-1 Logical Records (Transaction Information)

1.1 Logical Record Length. This **mandatory** four-byte ASCII field contains the total number of bytes in this record. This includes every character of every field and the information separators contained in this record.

1.2 Version Number. This **mandatory** ASCII field specifies the standard and version number implemented by the software/system creating the file. The format of this field is four ASCII characters.

1.3 File Content. This **mandatory** field lists each of the logical records in the logical file making up this submission by record type. It also specifies the order in which the remaining logical records appear in the logical file. It has one or more occurrences separated by the <RS>, each containing two items, separated by the <US>, which describe a single logical record. The first occurrence always describes the Type-1 record itself. The first two ASCII characters are always "01" followed

by the <US>, while the second item is the sum of the remaining records in the logical file. This number is also the count of remaining occurrences in **1.3**.

The remaining occurrences describe the other records in the logical file. The first item identifies the record type (see Table 1); while the second item is the incremental data counter. Where only one occurrence of the logical record type is permitted, the incremental data count is zero. For multiple occurrences of a logical record type, this field is a positive integer greater than zero.

1.4 Type of Transaction. This **mandatory** field identifies the type of transaction and processing required for this logical file, as specified in Section 3.

1.5 Date. This **mandatory** field contains the date the transaction was initiated. The date appears as eight digits in the format <year><month><day> (CCYYMMDD).

1.6 Priority. This **optional** one-byte field can be used to specify the urgency with which a response is desired. The format is a positive integer in the range of 1-9 inclusive.

1.7 Destination Agency Identifier. This **mandatory** 9-byte field contains DCJS= ORI identifier for submissions to DCJS and the originating agency=s identifier for responses from DCJS.

1.8 Originating Agency Identifier. This **mandatory** 9-byte field contains the ORI identifier of the originating agency for submissions to DCJS and the DCJS ORI identifier in responses from DCJS. The originating agency is defined as the agency housing the equipment used to transmit to and receive from DCJS. The equipment may be either a booking Astation@ or a centralized server.

1.9 Transaction Control Number. This **mandatory** 12-byte alphanumeric field contains the Transaction Control Number (TCN) assigned by the submitting agency. It MUST be unique for the ORI (1.8).

1.10 Transaction Control Reference. This field (TCR) is used to refer to the Transaction Control Number of a previously submitted transaction that requires a response.

1.11 Native Scanning Resolution. This field contains 5 bytes specifying the native scanning resolution (in pixels per millimeter) of the submitter. Format is NN.NN. (Value 00.00 = no fingerprint images in transaction).

1.12 Nominal Transmitting Resolution. This field contains 5 bytes specifying the nominal transmitting resolution (in pixels per millimeter) of the image being transmitted. Format is NN.NN. (Value 00.00 = no fingerprint images in transaction).

1.13 Domain/Version. This **optional** field consists of two information items, separated by the <US>. The Domain is the standard being adhered to for this transmission (either NYSCJEFTS or FBIEFTS depending on the TOT) and the second is version of the standard being transmitted.

1.14 Greenwich Mean Date/Time. This **optional** field consists of 15 bytes specifying the GMT time of transmission (CCYYMMDDHHMMSSZ). The date cannot be later than the current date.

1.15 Directory of Character Sets. This **optional** field is a list of the character sets that may appear within this transaction and contains three information items, separated by the <US>: character set index number, common name for character set, and specific version of character set. At present, the only allowable values for this field are 0, ASCII, X3.4-1992 respectively.

4.3.6.2 Fields for Type-2 Logical Records (Descriptive Information)

New York State has already in existence a resource document known as the *Statewide Criminal Justice Data Dictionary* which establishes standards to be used for the electronic transfer of data elements between criminal justice agencies. These standards specify the format, size, data type, and allowable values for each field. Agencies may store and maintain information in any way that meets their needs so long as they apply the established standards when they electronically transfer data. As the informational needs of criminal justice agencies change, the *Dictionary* is updated to reflect identified requirements. The *Dictionary* is presently in its *Tenth Edition*[3]. Each field in the Type-2 logical record will be denoted as to whether it conforms to the standard. Fields covered will make reference to the standard, while fields not conforming will have full descriptions below. The following is a list of all Type-2 data fields, in tag number order. Appendix G-2 includes a cross-reference of fields in alphabetic order by field name. Note that not all fields listed below are presently used in the transactions supported by the present version of this standard. Also, please note that if a field is not mandatory and no value is known for that tag, then the tag itself should not be included in the transmission to DCJS, even if null, spaces or zeros are used as the data value (i.e., don't send tag 2.29 'Weight' with a value of 000 in tag 2.29).

2.1 Logical Record Length. This **mandatory** two-to-four-byte ASCII field contains the total number of bytes in this record. This includes every character of every field and the information separators contained in this record.

2.2 Incremental Data Counter. This **mandatory** two-byte field is used to identify the text information contained in this logical record. The value in this field is the same as that in the file content field (1.3) of the Type-1 record.

2.14 FBI Number. This field is covered by the *Data Dictionary*.

2.16 Social Security Number. This field is covered by the *Data Dictionary*. It can be included whenever the information is known to the contributor.

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[3]   To obtain a copy of the *Dictionary*, check the DCJS web site at: criminaljustice.state.ny.us/crimnet/pubs.htm.

2.17 Miscellaneous Number. Certain miscellaneous numbers associated with the subject which have no specific assigned field may be entered in this twenty-byte field. Format is a two-letter identifying code for type of number, followed by a hyphen, followed by the number. Multiple numbers are separated by the <RS>. Table T1 lists the identifying codes for acceptable numbers. **Please take special note that the I-94 non-Immigrant Admission Number is to be provided in this tag, and <u>not</u> in tag 2.1119 (Alien Registration Number).   Format for the I-94 number is AN-99999999999X where >X= is a valid character (A-F) representing a non-immigrant exception code.**

2.21 Country of Citizenship. This field contains the name of the country of which the subject is a citizen. Entry must be a valid country code from Code Table POB in Part IV of the NCIC Code Manual. If submitted, this field will be used to determine whether the subject is a U.S. Citizen or not

2.22 Date of Birth. This field is covered by the *Data Dictionary*. (See also 2.1116)

2.27 Height. This field is covered by the *Data Dictionary*. (Value 000 for unknown)

2.29 Weight. This field is covered by the *Data Dictionary*
.
2.35 Palm Print Availability. This one-byte field can be used to designate when palm prints are available from the contributor (Value Y, if available).

2.45 Date of Arrest. This field is covered by the *Data Dictionary*.

2.60 Message Text. This variable length field is used in responses and will contain transaction error information.

2.67 Image Processing Field.   This is a **mandatory** three-to-one-hundred-byte field for transactions containing fingerprint images. It contains three information items separated by the <US>. It is used to report the make, model, and serial number of the equipment used to acquire the fingerprint images.

2.84 Amputated/Bandaged Finger(s).   This field is included whenever there are less than fourteen images in certain transactions. It contains two information items, separated by the <US>: finger position (see Table 6) and reason missing (VALUES=XX [amputated], UP [unable to print]). A maximum of ten occurrences may be submitted if more than one finger image is missing; each occurrence is separated by the <RS>.

2.85 FBI Civil Record Number. This field is used to designate the number assigned by the FBI to individuals who are the subject of civil transactions, who are not identified by the FBI, and whose fingerprints are being retained by the FBI. These fingerprints are maintained in a separate file from criminal submissions.

2.100 Date of Death. This field is covered by the *Data Dictionary*. If not known, then tag 2.102 should be used.

2.102 Reported Date of Death - This field is used to report the date the death was reported. It should also be used if Death Date is unknown. This date may or may not be the same as 2.100 (Date of Death) & 2.1006 (Date Fingerprints Taken)

2.1000 Resubmission Indicator. This two-byte field is used only when this is a re-transmission of the transaction and is the result of the fingerprint images having been reacquired at the request of DCJS (Value RR) or a rejection by DCJS for erroneous data within the transaction having been corrected (Value DC). Note that if the TOT is CARAAR, or CARJDR the contents of the Arrest Number (field 2.1210), Criminal Justice Tracking Number (field 2.1217), and Fax Control Number (field 2.1221) must be the same as the value originally transmitted or returned in the ERRREJ for the previous submission.

There is an exception to this requirement for identical data for the above three fields in a resubmission.   If one of those fields was in error (as indicated in the ERRREJ from DCJS) on the previous submission, it must be corrected before the transaction is resubmitted.

Note to vendors: Please design the end-user/customer's server to indefinitely hold transactions which do not receive a final DCJS SRENYS response or FBI response, if applicable.   This is necessary as the contributors need to be able to supply corrected data and/or new fingerprint images in order to properly resubmit a previously rejected transaction. It is possible that the subject may be unavailable for a new roll or scan of fingerprints or the correction of rejected data might not happen for weeks or even months after a transaction is rejected by DCJS and/or the FBI.

2.1001 Fingerprinter.   This thirty-byte field is the name of the person actually rolling the subject=s fingers. This field may be included in transactions containing fingerprints.

2.1002 Fingerprinter's ID.   This 10-byte field is the unique Identifier of the person actually rolling the subject's fingers. This field must be present in all criminal transactions containing fingerprints.

2.1003 Person Submitting.   This 30-byte field is the name of the person logged on to the fingerprint scanning/data entry equipment which is actually causing the transmission to DCJS. Format is *<surname>*, *<given_name>*.

2.1004 ID of Person Submitting. This 10-byte field is the unique ID of the person logged on to the fingerprint scanning/data entry equipment which is actually causing the transmission to DCJS. This field must be present in all transactions containing fingerprints.

2.1006 Date Fingerprints Taken. This field is used to record the date the fingerprints were captured/rolled.

2.1008 Image Size Override. This field is used to record the fact that the scanner operator performed an override of the unit's fingerprint image size thresholding. There are fourteen occurrences, separated by the <RS>, each containing two information items separated by the <US>, finger number (see Table 6) and override action (value Y=override performed; N=no override; U=test not performed).

2.1009 Image Quality Override. This field is used to record the fact that the scanner operator performed an override of the unit's fingerprint image quality thresholding. There are fourteen occurrences, separated by the <RS>, each containing two information items separated by the <US>, finger number (see Table 6) and override action (value Y=override performed; N=no override U=test not performed).

2.1010 Finger Positioning Override. This field is used to record the fact that the scanner operator performed an override of the unit's finger positioning check. There are ten occurrences, one for each individual finger separated by the <RS>, each containing two information items separated by the <US>, finger number (see Table 6) and override action (value Y=override performed; N=no override U=test not performed).

2.1030 Local Identifier Designation. Please note that this tag is no longer used due to the requirement that Arrest Number (tag 2.1210) is now mandatory and Local Person ID (2.1102) should not be used as the Arrest Number. See note 68.

2.1053 FBI Submission. This one-byte field is included in MAPCIV submissions and is used to indicate whether submission for processing by the FBI is desired. (Value Y=Yes, N=No) NOTE: FBI processing can only be requested for those transactions which are acceptable to the FBI. Also NOTE that if this field is 'N', then 2.1054 must be 'Y'.

2.1054 State Submission. This one-byte field is included in all MAP submissions and is used to indicate whether submission for processing by DCJS is desired. (Value Y=Yes, N=No) NOTE: DCJS processing can only be requested for those transactions which are acceptable under the originator's U&D agreement with DCJS.

2.1073 Image Type. This 4-to-6-byte ASCII field indicates the type of image. Values are 'FACE', 'SCAR', 'MARK', 'TATTOO', 'OTHER'.

2.1074 Photo Date. This 8-byte ASCII field contains the date that the photo was captured. Format is CCYYMMDD. The date must be a legitimate date and must not exceed the current date.

2.1075 Subject's Pose. This 1-byte ASCII field describes the pose of the subject: Full face frontal (Value F), right profile (Value R), left profile (Value L), or Angled pose (Value A).

2.1076 Photo Description. These 3-to-20-byte ASCII fields can be used to describe special attributes of the facial image when Image Type is 'FACE'. Attributes for the facial image are Wearing Glasses (value GLASSES), Wearing a Hat (Value HAT), or Wearing a Scarf (Value

SCARF). For Physical characteristics, the Value 'PHYSICAL' is entered, followed by the <US>, followed by the appropriate characteristic's code from the *NCIC Code Manual, Part 4 Section 13*. For physical characteristics not covered by the NCIC manual, the values found in Table T3 may be used, or 'OTHER' may be entered, followed by the <US>, followed by text describing the attribute. Note that this last case cannot be searched on.

2.1090 Error Explanation. Three-byte field designating the reason for receiving a response TOT of 'ERRREJ' from DCJS.   There is a corresponding literal in 2.60.   There may be multiple occurrences, separated by the <RS>. Values, literals, and action to be taken are listed in Table T2.

2.1091 DCJS Processing Results. This field is returned by DCJS for certain TOTs, at the successful conclusion of DCJS' identification processing. It has four information items, separated by the <US>, DCJS search results, NYSID Number, Wanted/Missing Status, and Interstate Identification Index Status. Note that there may be two occurrences of the Wanted/Missing Status information item, separated by the <US>.

2.1092 Interstate Identification Index (III) Search Indicator. This one-byte field is returned by DCJS as part of the response to certain TOTs, and indicates whether a III criminal history request was done. The III request is launched whenever there is an indication of possible criminal history outside of New York. Value Y = III search, Value N = no III search.   See   the   note below (after tag 2.1098) for further information related to III requests.

2.1093 FBI Processing Message Text. This optional variable length field is returned by DCJS as part of the response to certain TOTs. If this field is present, it will contain information relating to the processing of the FBI submission.

2.1094 NCIC Person Indicator. This one-byte field is returned by DCJS as part of the SRENYS and indicates the result of an NCIC search. Value Y = NCIC Person, Value N = No NCIC Person, Value U = NCIC Person Status Not Determined.

2.1095 NCIC Person Status. This is returned by DCJS as part of the SRENYS and is an informational item that corresponds with 2.1094 above.   Possible results are 'NCIC PERSON', 'NO NCIC PERSON' or 'NCIC PERSON STATUS NOT DETERMINED'.

2.1096 Name/SSN Match. This one-byte field is returned by DCJS as part of the response to DCJS Identification results for those civil applicant identifications to non-criminal only histories. Value 'Y' = exact name/ssn match, 'N' = discrepancy of name and/or ssn.

2.1097 Non-Criminal Only Indicator. This one-byte field is returned by DCJS as part of the response to DCJS Identification results, and indicates if identification history is non-criminal only. Value Y = noncriminal history only, Value N = criminal history exists.

2.1098 Interstate Identification Index (III) Status. This one-byte field is returned by DCJS as part of the response to certain TOTs, and indicates the present III status of the subject. Value S =

New York State history only; Value M = New York and FBI/Other State(s) History; Value U = Undetermined III status. Note: If the III status is known to be multi-state at the time of a New York State identification, a III criminal history request is done at that time.   For those contributors that also submit electronically thru DCJS to the FBI, if the FBI returns identification for a subject that DCJS had not identified, then a III criminal history request is done by DCJS at the time DCJS receives the FBI identification results.   This happens when a subject had no prior New York State criminal history, but had history in another state.

2.1099 Wanted/Missing Status. This one-byte field is returned by DCJS as part of the response to certain TOTs, and indicates the possible status of the subject. Value M = possible missing person; N=no possible wanted/missing match found; U=status not determined; W = possible wanted person. (NOTE that it is possible for the same transaction to have both a wanted and a missing person match, in which case there will be two occurrences of this information item, separated by the <RS>.

2.1100 Search Results. This field is used in responses to designate the results of searching and has up to two information items separated by the <US>, one for NYS results and one for the FBI's. Each item is a single character (value I=identification, value N=non-identification).

2.1101 NYSID Number. This field is covered by the *Data Dictionary*[4]. It can be included in submissions whenever the information is known to the contributor. Note that the field is right-justified (Zero filled).

2.1102 Local Person ID Number. This 15-byte field is used to report the unique (to the contributor) identifier given to a particular individual. It is the identifier given to that individual at first contact and used to designate that same individual at all subsequent contacts (the local version of a NYSID number). For criminal inquiry submissions (CARCIR), the maximum length of this field is 11 bytes. Please see Appendix A and note 68 regarding requirement changes to this field.

2.1109 Citizenship Status. This one-byte field is used to report Immigration status. Please refer to Table T12 for valid values.

2.1110 Name. This field contains five information items separated by the <US>: Last Name only is mandatory for all fingerprint types (1 to 35 characters), First Name (no item or 1 to 25

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[4]   A modulus eleven routine with geometric weights is used to validate the rightmost alphabetic character of the NYSID and Criminal Justice Tracking Numbers. Each digit is multiplied by 2 raised to the power represented by that digit's relative position within the NYSID (from right to left); the products are added together; the sum is divided by 11 and the remainder is obtained. The remainder is then converted to an alphabetic character which should match the alphabetic character in the NYSID. Conversion is: 0⇒H,1⇒R, 2⇒Q, 3⇒P, 4⇒Y, 5⇒N, 6⇒M, 7⇒L, 8⇒K, 9⇒J, 10⇒Z.   For example, the calculation for NYSID 6742826K (starting from the right) would be: $(6*2) + (2*4) + (8*8) + (2*16) + (4*32) + (7*64) + (6*128) = 1460$ 1460/11=132, remainder 8⇒K

characters), Middle Name (no item or 1 to 25 characters), Prefix (no item, or 2 to 10 characters, and the value must come from Table T8), Suffix (no item, or 2 to 3 characters, and the value must come from Table T9**).**

2.1111 Alias/Maiden Name. This field contains five information items separated by the <US>: Last Name only is mandatory for all fingerprint types (1 to 35 characters), First Name (no item or 1 to 25 characters), Middle Name (no item or 1 to 25 characters), Prefix (no item, or 2 to 10 characters, and the value must come from Table T8), Suffix (no item, or 2 to 3 characters, and the value must come from Table T9). (Multiple occurrences are allowed, separated by the <RS>.)

2.1112 Sex. This field is covered by the *Data Dictionary*.

2.1113 Race. This field is covered by the *Data Dictionary*.

2.1114 Skin Tone. This field is covered by the *Data Dictionary*.

2.1115 Ethnic Origin. This field is covered by the *Data Dictionary*.

2.1116 Age/Estimated Age. This three-byte is used to report the individual's age. A value of 110 is interpreted as '>109 years old'. Age being reported should be based on age at date of crime. (See also 2.22 Date of Birth)

2.1117 State/Country of Birth. This field is covered by the *Data Dictionary*. (Note: Do not send any value which NCIC has documented as For Reference Only.)

2.1118 US Citizenship. Please note that this tag is now considered historical. DCJS is requiring that all new NYSCJEFTS contributors submit tag 2.21 Country of Citizenship which will be used to determine US Citizenship. Tag 2.1109 Citizenship Status should now be used to report Immigration status. If Citizenship is US born, place of birth cannot be outside of the United States.

For existing contributors, if this tag is submitted and not 2.21, we will determine US Citizenship by the contents. This one-byte field has the following values:

Status	Value
U.S. Born	U
Naturalized citizen	N
Legal Alien	L
Illegal Alien	I
Immigrant Worker	W

Table 5 - US Citizenship Values

If the value submitted is "U" or "N", US Citizen will be set to "Y".
If the value submitted is "L", "I" or "W", US Citizen will be set
to "N".   Any other value will be considered unknown "U".

2.1119 Alien Registration Number. This ten-byte alphanumeric field is the subject's Alien Registration Number assigned by Immigration & Customs Enforcement. If this number is known, it should be included in the submission.   The format for this field is one alpha followed by 9 numeric. In the *Data Dictionary*, this field is referred to as the Immigration and Naturalization (INS) Number. **Please take special note that the I-94 non-Immigrant Admission Number cannot be provided in this tag**. The I-94 number must be provided in tag 2.17 Miscellaneous Number. Format for the I-94 number is AN-99999999999X where >X= is a valid character (A-F) representing a non-immigrant exception code.

2.1120 Eye Color. This field is covered by the *Data Dictionary*.

2.1121 Hair Color. This field is covered by the *Data Dictionary*.

2.1122 Photo Availability. This one-byte ASCII field can be used to designate if photos are available and how/if they can be retrieved. This field will designate if they are available by inquiry only against the DCJS Central Mugshot Index (Value 1; index entry will be created and Type-10 record(s) must be included), or by request from the contributor (Value 2; if transaction contains mugshots, they will be entered into the DCJS Mugshot Archive and Central Mugshot Index).

2.1123 SMT Descriptors. This 15-to-50-byte, multi-occurring ASCII field is used to describe the Scar, Mark, Tattoo or other characteristics in tag 2.1126. This tag should be used if there is no photo. If an image is being included, this information is placed in the Type-10 record with the image. Tag 10.42 should be used when submitting a photo. Each field contains up to 4 information items, source, general class, subclass, and descriptive text, each separated by the <US>. A

maximum of 10 occurrences are allowed and separated by the <RS>. Each occurrence should coincide with the occurrences in tag 2.1126. SMT Sources are:

Source	Value
Common tattoo or indelible image resulting from pricking the skin with a coloring matter.	TATTOO
Chemical burning of the skin to form the image	CHEMICAL
Burning of the skin with a branding iron or other non-chemical form of heating to form the image	BRANDED
Scars	SCAR
Moles, needle ('track') marks, birthmarks and other skin discolorations	MARK
Other physical characteristics/oddities	OTHER

Table 6 - SMT Source Values

SMT general classes and sub-classes areas detailed in Table T3. Up to nine descriptions can be entered to fully describe the scar, mark, or tattoo, each separated by the <RS>.

Please refer to NCIC for valid codes.

2.1124 SMT Color. This field is used to designate the color(s) for each description in the SMT Descriptors field (2.1123). Two colors, separated by the <US>, may be given for each description. The predominant color should be given first. A maximum of 10 occurrences are allowed and the color(s) should coincide with the Descriptor occurrences separated by the <RS>. Acceptable colors are listed in Table T4.

2.1125 SMT Size. This ASCII field specifies the size of the scar, mark, or tattoo in centimeters. It consists of two information items, height (1-3 bytes) and width (1-3 bytes), separated by the <US>. This field should be included whenever a photo (Type-10 record) is not included, or when the photo contains no point of reference whereby size can be estimated. A maximum of 10 occurrences are allowed and the sizes should coincide with the Descriptor occurrences separated by the <RS>.

** **PLEASE NOTE: Tags 2.1123 - 2.1125 should not be present without tag 2.1126. For multiple occurrences in tag 2.1126, any corresponding descriptor, color or size should be entered in the same occurrence in those tags. If an entry in tag 2.1126 does not need a descriptor, size or color (i.e. MISS L TOE) the <RS> will be present to indicate no entry for that occurrence. See example in Appendix B for further clarification.**

2.1126 Scars, Marks, Tattoos, and Other Characteristics. This multi-occurring field is covered by the *Data Dictionary*. It can be included whenever the information is known to the contributor. Also, for specific tattoo description fields, see 2.1123-2.1125 above. When no facial photo is being included, this field may also be used to report characteristics not covered by the NCIC Table referenced by the *Data Dictionary*, as follows:

CHARACTERISTICS	VALUE
Beard	BEARD
Mustache	MUSTACHE
Sideburns	SIDEBURNS
Wig/hairpiece	WIG

Table 7 - Facial Characteristics Values

2.1130 Home Address. This field is composed of the following information items separated by the <US>. They include, in order of occurrence: Street Name and Number (maximum of 60 characters – at least one alphabetic character is required, and 1 character for space is allowed), Building Component (Apt/Fl/Rm, Building Name, etc. - 60 characters maximum), City (maximum of 35 characters and 1 character for space is allowed), County (2 numeric - see New York State County Codes Table in *Data Dictionary*), State/Country (2 characters - see State Codes Table or Country Codes Table in *Data Dictionary*), Zip Code (can be 1 to 10 characters in length. It can include alpha and/or numeric characters and only one character for space or hyphen, and first character should not be a hyphen.). Only letters, numbers, spaces and hyphen are allowed.

2.1131 Marital Status. This field is covered by the *Data Dictionary*.

2.1132 Driver's License Number. This field consists of two information items separated by the <US>. The first is the State of Issuance (2 characters - see State Codes Table in Data Dictionary) and the second is the License Number (20 bytes). Multiple occurrences are allowed, each separated by the <RS>.

2.1136 Foreign Home Address. This field is to capture Foreign Home Address for certain civil transactions. This is a free text field, allowed up to 200 characters.

2.1199 Contributor[5]. This field has two information items separated by the <US>, the 9-byte ORI of the contributor and the literal agency name of the contributor (literal names are no longer required by DCJS since version 1.4 of this document).

2.1200 Reason Submitted. This field is required for certain TOTs. The reason must be one allowed by the contributor's *Use and Dissemination* agreement with DCJS. While all acceptable information item values are detailed in Table T5, the reason code submitted for a specific inquiry will be validated based on the ORI in tag 2.1199. Please refer to the specific U&D agreement for each contributor when determining permissible reasons. (Not used when TOT is MAPCIV - see tag 2.1500).

2.1201 Arresting Agency. This field contains the Arresting Agency Identifier (see *Data Dictionary)* of the agency which employs the officer who made the arrest. Assisting agencies may be submitted using 2.1222 - Assisting Agencies.

2.1203 Arresting Agency Unit. This 12-byte field may be used to designate a sub-division within the arresting agency, such as division, precinct, squad, command, etc.

2.1204 Arresting Officer ID. This contains the Officer ID number (see *Data Dictionary*). This or 2.1205 must be present when the TOT is CARAAR, or CARJDR.

2.1205 Arresting Officer. This contains the Arresting Officer's name (see *Data Dictionary*). This or 2.1204 must be present when the TOT is CARAAR, or CARJDR.

2.1206 Place of Arrest Code. This field contains the Location Code of Arrest (see *Data Dictionary)*.

2.1207 Crime Time. This 4-byte numeric field contains the time at which the crime took place expressed in military time (HHMM).

2.1208 Arrest Time. This field is covered by the *Data Dictionary*.

2.1209 Arrest Type. This field is covered by the *Data Dictionary*. Please note that NYSEBTS uses "06" as the code for Other.

2.1210 Arrest Number. This mandatory 12-byte field is used to report the unique identifier assigned to this particular arrest of a particular individual. (See 2.1030 - Local Identifier Designation, and also 2.1213 - Incident Number and 2.1102 Local ID Number.) For criminal inquiry submissions (CARCIR), the maximum length of this field is 11 bytes. Please see Appendix A and note 68 regarding requirement changes to this field.

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[5]   The contributor is the agency initiating the transaction regardless of whose equipment is actually used to submit it. This field must contain an approved NCIC ORI as it is used by DCJS and/or the FBI for rap sheet addressing.

2.1211 Weapon. This field, covered by the *Data Dictionary* as Weapon(s). At Arrest, is used to report the weapon(s) used/possessed by the arrestee at time of arrest.

2.1212 Charges. This field is composed of the following information items which are covered by the *Data Dictionary*: Arrest/Incarceration Charge (Law Title, Article & Section, Subsection, Statutory Class, Category, Degree, and Attempt Indicator), NCIC Offense Code, and a four-character counts field. Each information item is separated by the <US>, while each charge is separated by <RS>. (See also 2.1223 - Crime Matrix.) CARAAR should use this field for arrest charges and CARADM, should use this field for incarceration charges. DCJS will ignore this field when 2.1223 – Crime Matrix is provided.   A list of the current charges can be found at: http://www.criminaljustice.ny.gov/crimnet/clf/codelaw.htm

When reporting a local law, the specific local law is not included; rather it must be mapped to one of the 'generic' local law entries in the DCJS Coded Law File (Law Title is 'LOC').

2.1213 Incident Number. This 15-byte field is the unique number assigned to a particular incident or complaint. It is also the same number as is used to report Incident Data to DCJS.   Where there are multiple incident numbers relating to one arrest, use Tag 2.1223 (Crime Matrix) instead. DCJS will ignore this field when 2.1223 – Crime Matrix is provided.

2.1214 Crime Date/Place. This field contains two information items, separated by the <US>, which identify the date and place of the crime(s). The first is the Incidence Occurrence Date (see *Data Dictionary)*, and the other is the Location Code of the Incident (see *Data Dictionary)*. Where there is more than one crime date/place for the arrest, report the earliest date (and associated place) here and use Tag 2.1223 (Crime Matrix) to report all occurrences. DCJS will ignore this field when 2.1223 – Crime Matrix is provided.

2.1215 Warrant(s). This field consists of three information items separated by the <US>. The first is the ORI of the agency which issued the warrant, followed by the Date of Warrant (see *Data Dictionary)*, followed by the Warrant Number (see *Data Dictionary)*.

2.1216 Court of Arraignment. This field contains the Court Identifier- ORI- (see *Data Dictionary)* of the Court. If the submission is for a juvenile delinquent arrest, this field must contain the ORI of a family court.

2.1217 Criminal Justice Tracking Number. This 9-byte self-checking[6] field (CJTN, formerly known as the OBTS number or Court Control Number) is the unique number associated with this particular arrest transaction for this particular individual, and is the identifier which **MUST** be reported to the Court of Arraignment/Jurisdiction. Where the fingerprint images are the result of scanning an inked NYS arrest fingerprint card, this number MUST be the number pre-printed on that card. This field is included in SREACK and SRENYS responses to CARAAR and CARJDR

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[6]   See NYSID Number for specifics on self-checking routine.

submissions[7].   If the transaction is rejected for image quality or data errors, corrected and resubmitted, the same number must be used. NOTE: This number is associated with a specific arrest; other submissions should reference this number if it is known to the contributor. This number is 8 numeric followed by 1 alphabetic check digit. The alphabetic check digit is system generated based on the combination of numbers. Vendors can get the CJTN algorithm by contacting DCJS.

2.1218 Court of Jurisdiction. This field contains Court ID Number (see *Data Dictionary)* of the Court. For arrests, this field is omitted only where the Court of Arraignment (2.1216) is the Court of Jurisdiction.

2.1220 Arrestee Number. This field is covered by the *Data Dictionary*, and must be the same number as reported under Incident Based Reporting for this particular individual. Multiple occurrences are reported as part of Crime Matrix (2.1223). DCJS will ignore this field when 2.1223 – Crime Matrix is provided.

2.1221 Fax Control Number. This field consists of three information items, separated by the <US>, and is used to submit an identifier used by DCJS' and some local legacy systems. Its use is restricted to those contributors specifically told by DCJS to submit same. The first information item is the four-byte current year, followed by the two-byte Fax Prefix (left-justified, space filled), followed by a unique (for the current year) seven-byte number (right-justified, zero filled).
This fax number should be sequentially assigned, starting with number one at midnight on January 1st of each year.

2.1222 Assisting Agencies. This nine-byte multiple-occurring field can be used to report the ORI of agencies which assisted in the arrest of the subject. Each occurrence is separated by the <RS>.

2.1223 Crimes Matrix. This multiple-occurring field, which is only used to report an arrest covering multiple incidents/crimes, contains thirteen information items, separated by the <US>. Each occurrence is separated by the <RS>. All the items are mandatory except Arrestee Number. The information items, in order of inclusion, are:

> Incident/Crime Report Number - a fifteen-byte alphanumeric information item containing the number associated with the crime(s) or incident(s) which will be presented to the preliminary court of arraignment/jurisdiction.

> Incident/Crime Date - covered by the *Data Dictionary* as Incident Occurrence Date.

> Incident/Crime Place - covered by the *Data Dictionary* as Location Code of Incident.

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[7]   If a CJTN is included in a CARAAR or CARJDR submission, it will be the one returned. If the CARAAR or CARJDR submission does not contain a CJTN, one will be assigned and returned by DCJS. In either case, this is the number which must be forwarded to the Court for their use.

Arrestee Number - covered by the *Data Dictionary* as Arrestee Number. This is an optional item, DCJS will ignore if provided.

Arrest Charge consisting of the following information items:

Charge/Offense -	Law Title - covered by the *Data Dictionary*.
Charge/Offense -	Article and Section - covered by the *Data Dictionary*.
Charge/Offense -	Subsection - covered by the *Data Dictionary*.
Charge/Offense -	Statutory Class - covered by the *Data Dictionary*.
Charge/Offense -	Category - covered by the *Data Dictionary*.
Charge/Offense -	Degree - covered by the *Data Dictionary*.
Charge/Offense -	Attempt Indicator - covered by the *Data Dictionary*.
Charge/Offense -	NCIC Offense Code - covered by the *Data Dictionary*.
Charge/Offense -	Counts - four-byte information item used to report the number of times this offense was committed for this incident.

Note that DCJS will ignore the 2.1212 (Arrest Charges), 2.1213 (Incident Number), 2.1214 (Crime Date/Place), or 2.1220 (Arrestee Number) when the Crime Matrix field is provided/used.

When reporting a local law, the specific local law is not included; rather it must be mapped to one of the 'Generic' local law entries in the DCJS Coded Law File (Law Title is 'LOC').

2.1224 FP Image Capture ORI. Agency identifier for location of equipment where fingerprint image was captured.

2.1226 Domestic Incident Report. This field would indicate whether or not a domestic incident report was filed related to an arrest. It is a one-byte field with 'Y' indicating a report was filed, and 'N' indicating a report was not filed.

2.1301 Client Identification Number. This field is covered by the *Data Dictionary*.

2.1302 Inmate Identification Number. This field is covered by the *Data Dictionary*.

2.1303 Probation Registration Number. This field is covered by the *Data Dictionary*.

2.1304 Custodial Supervision Number. This field is covered by the *Data Dictionary*.

2.1305 Department Identification Number. This field is covered by the *Data Dictionary*.

2.1306 Parole ID Number. Co-op (interstate) and Federal Parole cases – this seven-byte field is used to report the ID Number assigned by the Division of Parole. Format is alpha "C" (co-op) or "F" (federal) followed by 6 numbers.

2.1307 DFY Case Number. This field is covered by the *Data Dictionary*.

2.1308 Probation Case Number. This twenty-byte field is used to report the internal case number assigned by each Probation office. It is mandatory for a CARSUP when the Contributor ORI is that of a probation agency. It should not be included in a CARSUP submitted where the contributor ORI is that of a Parole agency.

2.1309 Probation Date. This field is used to report the date that probation supervision began; format is CCYYMMDD.

2.1310 Parole Release Date. This field is covered by the *Data Dictionary* Parole Supervision Start Date.

2.1311 Parole Discharge Date. This field is covered by the *Data Dictionary* as Case Closing Date (nonCustodial).

2.1312 Entry Date. This field is covered by the *Data Dictionary*.

2.1313 Admission Date. This field is covered by the *Data Dictionary*.

2.1314 Sentence Date. This field is covered by the *Data Dictionary*.

2.1315 Latest Release Date. This field is covered by the *Data Dictionary*.

2.1317 Admission Reason. This field is covered by the *Data Dictionary*.

2.1318 Supervision Reason. This three-byte field gives the reason for non-custodial supervision (TOT = CARSUP). Values are: 001 (Sentenced to Probation), 002 (Released to Parole), 003 (Co-operative Parole NYS supervision), 004 (Co-operative Parole non-NYS supervision), 005 (Co-operative Probation NYS supervision), 006 Cooperative Probation non-NYS supervision).

2.1319 Probation/Parole Officer ID. This maximum 10-byte field is used to report the Probation or Parole Officer ID when submitting a Coop-Probation or Coop-Parole (CARSUP).

2.1320 Co-Op State. This field is covered by the *Data Dictionary*.

2.1321 Parole Area of Assignment. This field is covered by the *Data Dictionary*. Please note that the NYSEBTS uses the 3AN "Previous Code" for submissions.

2.1322 Entry Reason. This field is covered by the *Data Dictionary,* and is related to incarcerations in a local correctional facility. Table T10 documents the values for this field that are valid for a CARADM transaction.

2.1324 Incarceration Discharge Reason. This field is covered by the *Data Dictionary*.

2.1325 Release Reason. This field is covered by the *Data Dictionary*.

2.1326 Parole Release Type. This field is covered by the *Data Dictionary*.

2.1327 Case Closing Reason. This field is covered by the *Data Dictionary*. Note: There are a set of values for Parole cases and another for Probation cases.

2.1328 Defendant Release Status. This field is covered by the *Data Dictionary*.

2.1330 Custodial/Supervising Agency. This field is covered by the *Data Dictionary*. (See Agency/Facility Code.)

2.1331 Covered Arrest Date. This field is used to report additional arrest dates, when there is more than one Arrest associated with an incarceration. This date must be a valid date, and may not be in the future. The primary date of arrest will be in tag 2.45.

2.1332 Conditional Release Date. This field is covered by the *Data Dictionary* in section C-27 for state facilities and L-11 for local facilities.

2.1333 Max Expiration Date. This field is covered by the *Data Dictionary* in section M-3.

2.1334 Court Docket Number. This field is covered by the *Data Dictionary* in section C-24. Please note that DCJS is requiring that docket number be increased to 15 characters by September 1, 2012 and 20 characters by an estimated date of June 2013.

2.1335 Admission Sentence Type. This one-byte field is used to report whether the sentence is Consecutive ("T") or Concurrent ("F").

2.1336 Minimum Sentence. This mandatory field is used to report the minimum sentence imposed.

2.1337 Maximum Sentence. This field is used to report the maximum sentence imposed. When used 2.1336 must also be present.

2.1338 Other Sentence. This one-byte field indicates other sentences imposed for a Correction Admission submission (CARADM), Coop Parole & Coop Probation. Please refer to Table T11 for valid values allowed for this submission.

2.1400 Offender ID Number. This 7-byte field is used to report the identifier associated with the individual for the Sex Offender Registry.

2.1500 Civil Type. This field contains a 3-byte code denoting the type of license or employment for which a MAPxxx submission has been made. Only those Codes covered by the contributor's *Use and Dissemination* agreement with DCJS will be accepted. Table T6 lists the acceptable codes and their literals. Please note that the literal of the code will be sent back in the SREACK (e.g. 2.1500:94 **Local Service Applicant**).

2.1501 Agency ID Number. This 11-byte field is used to report the identifier associated with this individual by the civil transaction's contributor.

2.1503 Civil Unique Identifier. A unique transaction identifier generated by the contributor to link online data to the physical card. 1 to 11 numeric characters.

2.1510 Dead Number. This 6-byte field is mandatory for New York City Death submissions. For NYC only, the first character must be a 'D' and the second character must be numeric.

4.3.6.3 Fields for Type-4 Logical Records (Fingerprint Image Records)

Fields in this record have no tags and must occur in the order listed below. The first eight fields are fixed in length, with the image data in the ninth field.

Logical Record Length. This **mandatory** 4-byte binary field contains the length of the logical record. It is the total number of bytes of all seven fields in this record.

Incremental Data Counter. This **mandatory** 1-byte binary field identifies the image data contained in this logical record. This is a binary representation of the IDC found in the file content field (1.03) of the Type 1 record.

Impression Type. This **mandatory** 1-byte field indicates how the image information was obtained. Values are:

Description	Value
Livescan plain impression	0
Livescan rolled impression	1
Cardscan plain impression	2
Cardscan rolled impression	3

Table 8 - Fingerprint Impression Type Values

Finger Position. This **mandatory** 6-byte binary field designates the possible position(s) of the finger. Possible positions each occupy one byte with the most probable position designated in the first byte. Additional possibilities are given in the next 5 bytes, with any unused byte being set to the binary equivalent of "255".

Values for finger position and their maximum image sizes are:

Finger position	Value	Width in pixels (inches)	Height in pixels (inches)
Unknown position	0	800 (1.6)	1000 (2.0)
Right Thumb	1	800 (1.6)	750 (1.5)
Right Index	2	800 (1.6)	750 (1.5)
Right Middle	3	800 (1.6)	750 (1.5)
Right Ring	4	800 (1.6)	750 (1.5)
Right Little	5	800 (1.6)	750 (1.5)
Left Thumb	6	800 (1.6)	750 (1.5)
Left Index	7	800 (1.6)	750 (1.5)
Left Middle	8	800 (1.6)	750 (1.5)
Left Ring	9	800 (1.6)	750 (1.5)
Left Little	10	800 (1.6)	750 (1.5)
Plain Right Thumb	11	500 (1.0)	1000 (2.0)
Plain Left Thumb	12	500 (1.0)	1000 (2.0)
Plain Right four fingers	13	1600 (3.2)	1000 (2.0) or 1500 (3.0)
Plain Left four fingers	14	1600 (3.2)	1000 (2.0) or 1500 (3.0)

Table 9 - Finger Position and Image Area Values

Image Scanning Resolution.   This **mandatory** 1-byte field designates whether minimum scanning resolution (value 0) or native scanning resolution (value 10) is used.

Horizontal Line Length.   This **mandatory** 2-byte binary field designates the number of pixels contained on a single horizontal line of the transmitted fingerprint image.

Vertical Line Length.   This **mandatory** 2-byte binary field designates the number of vertical lines contained in the transmitted fingerprint image

Grayscale Compression Algorithm.   This **mandatory** 1-byte binary field is used to specify the type of compression algorithm used. Uncompressed images have a value of zero. At present, the only acceptable compression algorithm is Wavelet Scalar Quantization (WSQ) with a value of 1.

Image data.   This **mandatory** field contains the high-resolution grayscale image data.

4.3.6.4 Fields for Type-8 Logical Records (Signatures)

Fields in this record have no tags and must occur in the order listed below. The first seven fields are fixed in length, with the image data in the eighth field.

Logical Record Length.   This **mandatory** 4-byte binary field contains the length of the logical record. It is the total number of bytes of all seven fields in this record.

Incremental Data Counter.   This **mandatory** 1-byte binary field identifies the image data contained in this logical record. This is a binary representation of the IDC found in the file content field (1.03) of the Type 1 record.

Signature Type.   This **mandatory** 1-byte binary field designates whether the signature in this record is that of the subject of the transaction (value 0) or that of the person taking the fingerprints (value 1).

Signature Representation Type.   This **mandatory** 1-byte field designates whether the image is vector data (value 2), the image is scanned and compressed (value 1), or the image is scanned but not compressed (value 0).

Image Scanning Resolution.   This **mandatory** 1-byte field designates whether minimum scanning resolution (value 0) or native scanning resolution (value 1) is used.

Horizontal Line Length. This **mandatory** 2-byte binary field designates the number of pixels contained on a single horizontal line of the transmitted signature image. For vectorized signatures, the value is 0.

Vertical Line Length. This **mandatory** 2-byte binary field designates the number of vertical lines contained in the transmitted signature image. For vectorized signatures, the value is 0.

Signature Image Data. This **mandatory** field contains the signature image data. If uncompressed (Signature Representation Type = 0), the data shall be packed at 8 pixels per byte. If compressed (Signature Representation Type = 1), the data shall be compressed using the facsimile compression algorithm as described in *ANSI/EIA 538.* If vectorized (Signature Representation Type = 2), this field is a list of 5-byte vectors describing the pen position and pen pressure of line segments within the signature as described in *ANSI/NIST-ITL 1-2000.*

4.3.6.5 Fields for Type-10 Logical Records (Mugshots, Scars, Marks, Tattoos)

10.1 Logical Record Length. This **mandatory** 3-to-7-byte ASCII field contains the length of the logical record. It is the total number of bytes of all fields in this record.

10.2 Incremental Data Counter. This **mandatory** 1-to-4-byte ASCII field identifies the image data contained in this logical record. This is a binary representation of the IDC found in the file content field (1.03) of the Type 1 record.

10.3 Image Type. This **mandatory** 4-to-6-byte ASCII field indicates the type of image being transmitted in this logical record. Values are 'FACE', 'SCAR', 'MARK', 'TATTOO', 'OTHER'.

10.4 Source Agency. This **mandatory** ASCII field designates the ORI of the agency or organization which originally captured the image in this logical record.

10.5 Photo Date. This **mandatory** 8-byte ASCII field contains the date that the photo was captured. Format is CCYYMMDD. The date must be a legitimate date and not exceed the current date.

10.6 Horizontal Line Length. This **mandatory** 3-to-5-byte ASCII field designates the number of pixels contained on a single horizontal line of the transmitted image.

10.7 Vertical Line Length. This **mandatory** 3-to-5-byte ASCII field designates the number of vertical lines contained in the transmitted image.

10.8 Scale Units. This **mandatory** 1-byte ASCII field specifies the units describing the image resolution, pixels/inch (Value 1), pixels/centimeter (Value 2), or {horizontal pixel scale} divided by {vertical pixel scale} (Value 0).

10.9 Horizontal Pixel Scale.   This **mandatory** 2-to-5-byte ASCII field specifies either the horizontal resolution of the image (Scale Units not equal to 0), or the horizontal component of the pixel aspect ratio.

10.10 Vertical Pixel Scale. This **mandatory** 2-to-5-byte ASCII field specifies either the vertical resolution of the image (Scale Units not equal to 0), or the vertical component of the pixel aspect ratio.

10.11 Compression Algorithm.   This **mandatory** 4-to-6-byte ASCII field designates the compression algorithm used for the photo in this logical record. At present, only two versions of the JPEG algorithm are accepted, baseline (Value JPEGB) and lossless (Value JPEGL). If the image is not compressed, the value is
NONE.

10.12 Colorspace. This **mandatory** 4-to-5-byte ASCII field designates the colorspace used for this image. At present, there are three acceptable values, non-interleaved red-green-blue pixels (Value RGB), grayscale (Value GRAY), and YcbCr[5] (Value YCC).

10.20 Subject's Pose. This **optional** 1-byte ASCII field describes the pose of the subject: Full face frontal (Value F), right profile (Value R), left profile (Value L), or Angled pose (Value A). This field **must be included** when Image Type (10.3) is 'FACE'.

10.21 Pose Offset Angle. This 1-to-4-byte ASCII field is **only** included when Image Type (10.3) is 'FACE' and Subject's pose (10.020) is 'A'. It specifies the angular offset measured from the full-face position and has a value between -179º (full rotation to the left) and +180º (full rotation to the right), except +90º (right profile) and -90⁰ (left profile).

10.22 Photo Description.   These **optional** 3-to-20-byte ASCII fields can be used to describe special attributes of the captured facial image (Image Type (10.3) must be 'FACE'). Up to nine attributes can be reported. Attributes for the facial image are Wearing Glasses (value GLASSES), Wearing a Hat (Value HAT), or Wearing a Scarf (Value SCARF). For Physical characteristics, the Value 'PHYSICAL' is entered, followed by the <US>, followed by the appropriate characteristic's code from the *NCIC Code Manual, Part 4 Section 13*. For physical characteristics not covered by the NCIC manual, the values found in Table T3 may be used, or 'OTHER' may be entered, followed by the <US>, followed by text describing the attribute. Note that this last case cannot be searched on.

** PLEASE NOTE: Tags 10.41 - 10.43 should not be present without tag 10.40. If an image of the SMT is not being included, this information is placed in the Type-2 record in tag 2.1126 (Currently DCJS is not capturing multiple images so any SMT information should be provided in tags 2.1123 - 2.1126.

10.40 NCIC SMT Designation Code. These **optional** ASCII fields are used to identify the general location and type of the captured scar, mark, or tattoo. Up to three codes may be entered,

separated by the <RS>. For codes, see the *NCIC Code Manual, Part 4 Section 13*. This field **must be included** when Image Type (10.3) is not "SCAR", "MARK" or "TATOO" only. Each code is 3-to-10 bytes in length. This data should also be submitted in the Type-2 record in tag 2.1126.

10.41 SMT Size. This field specifies the size of the scar, mark, or tattoo in centimeters. It consists of two information items, height and width, separated by the <US>. For multiple occurrences of the Descriptors, size(s) should be given in the same order, separated by the <RS>. Each size is from 3-to-5 bytes in length.

10.42 SMT Descriptors. This multi-occurring ASCII field is used to describe the SMT in tag 10.40. Each 15-to-50-byte occurrence of the field contains up to 4 information items, source, general class, sub-class, and descriptive text, each separated by the <US>. If an SMT image contains several parts/images (i.e. tattoo consisting of a snake and a sword in a heart), there may be multiple subfields - one describing the snake, one describing the sword and one describing the heart. A maximum of 9 occurrences are allowed. This tag should be used when submitting a photo. If no photo is submitted, tag 2.1123 should be used. Please refer to the NCIC for valid codes

SMT Sources are as described in Table 8, and the Classes and Sub-Classes are as listed in Table T3.

10.43 SMT Color. This field is used to designate the color(s) for each description in the SMT Descriptors field (10.42). Multiple colors, separated by the <US>, may be given for each description. The predominant color should be given first. For multiple occurrences of the Descriptors, color(s) should be given in the same order, separated by the <RS>. Each occurrence is from 3-to-20 bytes in length. Acceptable colors are as listed in Table T4. A maximum of 9 occurrences are allowed.

10.200 Photo Number. This field can be used to report the unique local number assigned to the photo in this record.

10.201 NYSID Number. This field is covered by the *Data Dictionary.*

10.202 Reason for Photo. This two-byte field is used to describe the circumstances which resulted in the photo or mugshot now being submitted. Values are: SR (Sex Offender registration), AR (arrest[8]), and CR (license/application).

10.210 Photo Descriptors. This multi-occurring ASCII field is used to describe the photo if an image type (10.3) is OTHER (i.e. picture of Graffiti). Each 15-to-50-byte occurrence of the field contains up to 4 information items, source, general class, sub-class, and descriptive text, each separated by the <US>. Multiple occurrences (up to five) are separated by the <RS>.

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[8]   The full arrest information and fingerprints may be included in this transaction, or may have been previously submitted.

SMT Source is 'GRAFFITI', the Classes and Sub-Classes are as listed in Table T3.

10.211 Photo Size. This field specifies the size of the image type of OTHER in centimeters. This 6-10-byte field consists of two information items, height (3-5 bytes) and width (3-5 bytes), separated by the <US>.

10.212 Photo Color. This field is used to designate the color(s) for each description in the Photo Descriptors field (10.210). Multiple colors, separated by the <US>, may be given for each description. The predominant color should be given first. For multiple occurrences of the Descriptors (10.210), color(s) should be given in the same order, separated by the <RS>. Each occurrence is from 3-to-20 bytes in length. Acceptable colors are as listed in Table T4.

10.999 Image Data. This mandatory field contains the grayscale or color data, from a face; scar, mark, or tattoo; or other image as specified by the Image Type field (10.3), in binary representation.

4.3.6.6 Fields for Type-14 Logical Records (Tenprint Images)

14.1 Logical Record Length. This **mandatory** 3-to-7-byte ASCII field contains the length of the logical record. It is the total number of bytes of all fields in this record.

14.2 Incremental Data Counter. This **mandatory** 1-to-4-byte ASCII field identifies the image data contained in this logical record. This is a binary representation of the IDC found in the file content field (1.03) of the Type 1 record.

14.3 Impression Type. This **mandatory** 4-to-6-byte ASCII field indicates the type of image being transmitted in this logical record. Values are found in Table 11.

14.4 Source Agency. This **mandatory** ASCII field designates the ORI of the agency or organization which originally captured the image in this logical record.

14.5 Capture Date. This **mandatory** 8-byte ASCII field contains the date that the fingerprint image was captured. Format is CCYYMMDD. The date must be a legitimate date and not exceed the current date.

14.6 Horizontal Line Length. This **mandatory** 3-to-4-byte ASCII field designates the number of pixels contained on a single horizontal line of the transmitted image.

14.7 Vertical Line Length. This **mandatory** 3-to-4-byte ASCII field designates the number of vertical lines contained in the transmitted image.

14.8 Scale Units. This **mandatory** 1-byte ASCII field specifies the units describing the image resolution, pixels/inch (Value 1), pixels/centimeter (Value 2), or {horizontal pixel scale} divided by {vertical pixel scale} (Value 0).

14.9 Horizontal Pixel Scale. This **mandatory** 2-to-4-byte ASCII field specifies either the horizontal resolution of the image (Scale Units not equal to 0), or the horizontal component of the pixel aspect ratio.

14.10 Vertical Pixel Scale. This **mandatory** 2-to-4-byte ASCII field specifies either the vertical resolution of the image (Scale Units not equal to 0), or the vertical component of the pixel aspect ratio.

14.11 Compression Algorithm.   This **mandatory** 4-to-6-byte ASCII field designates the compression algorithm used for the grayscale fingerprint image in this logical record. Uncompressed images have a value of zero. At present, the only acceptable compression algorithm is Wavelet Scalar Quantization (WSQ) with a value of 1.

14.12 Bits per Pixel. This **mandatory** field contains the number of bits used to represent a pixel. An entry of "8" is used for normal grayscale. Any entry in this field greater than or less than "8" represents a grayscale pixel with increased or decreased precision.

14.13 Finger Position. This **mandatory** field contains the finger position; see Table 6.

14.20 Comment. This optional field can be used to insert comments.

14.999 Image data. This **mandatory** field contains the grayscale data from a captured tenprint image.

4.3.6.7 Fields for Type-15 Logical Records (Palmprint Images)

15.1 Logical Record Length. This **mandatory** 3-to-7-byte ASCII field contains the length of the logical record. It is the total number of bytes of all fields in this record.

15.2 Incremental Data Counter. This **mandatory** 1-to-4-byte ASCII field identifies the image data contained in this logical record. This is a binary representation of the IDC found in the file content field (1.3) of the Type 1 record.

15.3 Impression Type. This **mandatory** 1-to-2-byte ASCII field indicates the type of image being transmitted in this logical record. Values according to ANSI/NIST-ITL 1-2007 are:

Description	Value
Livescan Palm	10
Non-Livescan palm	11

Table 10 - Palmprint Impression Type Values

15.4 Source Agency. This **mandatory** ASCII field designates the ORI of the agency or organization which originally captured the image in this logical record.

15.5 Capture Date. This **mandatory** 8-byte ASCII field contains the date that the palmprint image was captured. Format is CCYYMMDD. The date must be a legitimate date and not exceed the current date.

15.6 Horizontal Line Length. This **mandatory** 3-to-4-byte ASCII field designates the number of pixels contained on a single horizontal line of the transmitted image.

15.7 Vertical Line Length. This **mandatory** 3-to-4-byte ASCII field designates the number of vertical lines contained in the transmitted image.

15.8 Scale Units. This **mandatory** 1-byte ASCII field specifies the units describing the image sampling frequency, pixels/inch (Value 1), pixels/centimeter (Value 2), or {horizontal pixel scale} divided by {vertical pixel scale} (Value 0).

15.9 Horizontal Pixel Scale. This **mandatory** 1-to-4-byte ASCII field specifies either the horizontal resolution of the image (Scale Units not equal to 0), or the horizontal component of the pixel aspect ratio.

15.10 Vertical Pixel Scale. This **mandatory** 1-to-4-byte ASCII field specifies either the vertical resolution of the image (Scale Units not equal to 0), or the vertical component of the pixel aspect ratio.

15.11 Compression Algorithm. This **mandatory** 3-to-5-byte ASCII field designates the compression algorithm used for the grayscale palmprint image in this logical record. Uncompressed images have a value of 'NONE'. At present, the only acceptable compression algorithm is Wavelet Scalar Quantization (WSQ) with a value of 1.

15.12 Bits per Pixel. This **mandatory** field contains the number of bits used to represent a pixel. An entry of "8" is used for normal grayscale. Any entry in this field greater than or less than "8" represents a grayscale pixel with increased or decreased precision.

15.13 Palm Position. This 2-byte **mandatory** field contains the palmprint position for the image contained in this record. The following table gives the acceptable values as well as the maximum image area that can be transmitted per ANSI/NIST-ITL 1-2007.

Palm Position	Value	Image Area (mm^2)
Unknown	20	139.7,203.2
Right Full Palm	21	139.7,203.2
Right Writer's Palm	22	44.5,127.0
Left Full Palm	23	139.7,203.2
Left Writer' Palm	24	44.5,127.0
Right Lower Palm	25	139.7,139.7
Right Upper Palm	26	139.7,139.7
Left Lower Palm	27	139.7,139.7
Left Upper Palm	28	139.7,139.7
Right Other	29	139.7,203.2
Left Other	30	139.7,203.2

Table 11 - Palmprint Position Values

15.20 Comment. This optional field can be used to insert comments.

15.999 Image data. This **mandatory** field contains the grayscale data from a captured palmprint image.

APPENDICES

APPENDIX A
Type of Transaction Lists

A-1 Alphabetical listing of currently supported types of transactions

TOT	Transaction Description
CARAAR	Adult Arrest Submission
CARADM	Incarceration Admission Submission
CARCIR	Criminal Inquiry from New York State Agency
CARJDR	Juvenile Delinquent Submission
CARSOR	Sex Offender Registry Submission
CARSUP	Parole Submission, Probation Submission
DEUDEC	Deceased Person Submission
ERRFBI	DCJS Response for FBI Processing Errors
ERRREJ	Error Response
FBICRM	Resubmission of FBI Criminal Prints
FBICIV	Resubmission of FBI Civil Prints
MAPCIV	Civil Submission (Including Fingerprint Images)
SREACK	Transaction Accepted
SREFBI	DCJS Response for Results of FBI Processing
SRENYS	Results of DCJS Processing

A-2 Type 2 Record's Fields Expected by Type of Transaction

FIELD NUMBER AND NAME	CARAAR / Arrest Sub	FBICRM / Resub -Criminal	CARCIR / Criminal Inq.	CARJDR / Juvenile Sub	ERRREJ / Error Reject	MAPCIV & FBICIV / Civil Inq. & Resub Civil	SREACK / DCJS Ack.	SRENYS / DCJS Ident. Proc.	CARADM / Correction Adm.	CARSUP / Parole Sub, Prob Sub	CARSOR / Sex Registry Sub	DEUDEC / Deceased Sub	SREFBI / DCJS- FBI Ident. Proc	ERRFBI / FBI Error Rej
1 Logical Record Length	M	M	M	M	M	M	M	M	M	M	M	M		
2 Incremental Data Counter	M	M	M	M	M	M	M	M	M	M	M	M		
14 FBI Number	O	O	O					21	O	O			O	O
16 Social Security Number	3,54	3,54	3	3		M,54			O	O	O	O		
17 Miscellaneous Number	O	O	O	O	O	64	O	O	O	O	O		O	O
21 Country of Citizenship	O	O	O	O		63			25	25	O			
22 Date of Birth	M,54	M,54	M	M		M,54			M	M	M			
27 Height	3	3	3	3		3			M	3	O	3		
29 Weight	3	3	3	3		3			3	3	O	3		
35 Palm Print Availability	O	O	O	O					O	O	O	O		
45 Date of Arrest	M	O	37	M						3,11				

A-2 Type 2 Record's Fields Expected by Type of Transaction

FIELD NUMBER AND NAME		CARAAR (Arrest Sub)	FBICRM (Resub -Criminal)	CARCIR (Criminal Inq.)	CARJDR (Juvenile Sub)	ERRREJ (Error Reject)	MAPCIV & FBICIV (Civil Inq. & Resub Civil)	SREACK (DCJS Ack.)	SRENYS (DCJS Ident. Proc.)	CARADM (Correction Adm.)	CARSUP (Parole Sub, Prob Sub)	CARSOR (Sex Registry Sub)	DEUDEC (Deceased Sub)	SREFBI (DCJS- FBI Ident. Proc)	ERRFBI (FBI Error Rej)
60	Message Text					M									M
67	Image Processing Field	M	M	M	M		M			M	M	M	M		
84	Amputated / Bandaged Finger(s)	12	12	12	12		12			12	12	12	12		
100	Date of Death												56		
102	Reported Date of Death												56		
1000	Resubmission Indicator	13	13	13	13		13			13	13	13	13		
1001	Fingerprinter	3	3	3	3		O			3	3	3	3		
1002	Fingerprinter's ID	M	O	M	M		O			O	O	O	O		
1003	Person Submitting	3	3	3	3		3			3	3	3	3		
1004	ID of Person Submitting	M	M	M	M	O,75	M	O,75	O, 75	M	M	M	M		

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FIELD NUMBER AND NAME	CARAAR	FBICRM	CARCIR	CARJDR	ERRREJ	MAPCIV & FBICIV	SREACK	SRENYS	CARADM	CARSUP	CARSOR	DEUDEC	SREFBI	ERRFBI
	Arrest Sub	Resub -Criminal	Criminal Inq.	Juvenile Sub	Error Reject	Civil Inq. & Resub Civil	DCJS Ack.	DCJS Ident. Proc.	Correction Adm.	Parole Sub, Prob Sub	Sex Registry Sub	Deceased Sub	DCJS- FBI Ident. Proc	FBI Error Rej
1006 Date Fingerprints Taken	M	M	M	M	O,75	M	O,75	O,75	M	M	M	M		
1008 Image Size Override	M	M	M	M		M			M	M	M	M		
1009 Image Quality Override	M	M	M	M		M			M	M	M	M		
1010 Finger Position Override	M	M	M	M		M			M	M	M	M		
1030 Local Identifier Designation	O,68	O,68	O,68	O,69				23						
1053 FBI Submission					O,75	53	O,75	O,75						
1054 State Submission					O,75		O,75	O,75						
1090 Error Explanation					M									
1091 DCJS Processing Results								M						

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A-2 Type 2 Record's Fields Expected by Type of Transaction

FIELD NUMBER AND NAME		CARAAR / Arrest Sub	FBICRM / Resub -Criminal	CARCIR / Criminal Inq.	CARJDR / Juvenile Sub	ERRREJ / Error Reject	MAPCIV & FBICIV / Civil Inq. & Resub Civil	SREACK / DCJS Ack.	SRENYS / DCJS Ident. Proc.	CARADM / Correction Adm.	CARSUP / Parole Sub, Prob Sub	CARSOR / Sex Registry Sub	DEUDEC / Deceased Sub	SREFBI / DCJS- FBI Ident. Proc	ERRFBI / FBI Error Rej
1092	Interstate Identification Index (III) Search Indicator													M	M
1093	FBI Processing Message Text													O	
1094	NCIC Person Indicator								M					M	
1095	NCIC Person Status								M					O	
1096	Name/SSN Match								62						
1097	Non-Criminal Only Indicator								M						
1098	Interstate Identification Index (III) Status								M						
1099	Wanted / Missing Status								M						
1100	Search Results								M						

A-2 Type 2 Record's Fields Expected by Type of Transaction

FIELD NUMBER AND NAME	CARAAR Arrest Sub	FBICRM Resub -Criminal	CARCIR Criminal Inq.	CARJDR Juvenile Sub	ERRREJ Error Reject	MAPCIV & FBICIV Civil Inq. & Resub Civil	SREACK DCJS Ack.	SRENYS DCJS Ident. Proc.	CARADM Correction Adm.	CARSUP Parole Sub, Prob Sub	CARSOR Sex Registry Sub	DEUDEC Deceased Sub	SREFBI DCJS- FBI Ident. Proc	ERRFBI FBI Error Rej
1101 NYSID Number	3	3	3	3	O, 75	3	O, 75	22	3,20	3	3	3		
1102 Local Person ID Number	O,68	O,68	O,68	O,68		O,68								
1109 Citizenship Status	3	3	3	3		3			3	3	3	3		
1110 Name	M,54	M,54	M	M		M,54			M	M	M	M		
1111 Alias / Maiden Name	O	O	O	O		O			O	O	O	O		
1112 Sex	M,54	M,54	M	M		M,54			M	M	M	M		
1113 Race	M,54	M,54	M	M		M,54			M	M	M	M		
1114 Skin Tone	3	3	3	3		3			3	3	3	3		
1115 Ethnic Origin	M,67	M,67	M,67	M,67		M,67			M,67	M,67	M,67	M,67		

A-2 Type 2 Record's Fields Expected by Type of Transaction

FIELD NUMBER AND NAME		CARAAR — Arrest Sub	FBICRM — Resub -Criminal	CARCIR — Criminal Inq.	CARJDR — Juvenile Sub	ERRREJ — Error Reject	MAPCIV & FBICIV — Civil Inq. & Resub Civil	SREACK — DCJS Ack.	SRENYS — DCJS Ident. Proc.	CARADM — Correction Adm.	CARSUP — Parole Sub, Prob Sub	CARSOR — Sex Registry Sub	DEUDEC — Deceased Sub	SREFBI — DCJS- FBI Ident. Proc	ERRFBI — FBI Error Rej
1116	Age/Estimated Age	28	28	28	28		O			M,28	O	O	O		
1117	State / Country of Birth	3	3	3	3		63			O	3	3	3	O	
1118	US Citizenship	O	O		O		63			3	3	3	3	O	
1119	Alien Registration Number	25	25	25	25		64			25	25	25	25	O	
1120	Eye Color	3	3	3	3		3			M	3	3	3		
1121	Hair Color	3	3	3	3		3			M	3	3	3		
1122	Photo Availability	O	O		O		O			O	O	O	O		
1123	SMT Descriptors	29	29	29	29		29			29	29	29	29		
1124	SMT Color	29	29	29	29		29			29	29	29	29		

A-2 Type 2 Record's Fields Expected by Type of Transaction

FIELD NUMBER AND NAME		CARAAR	FBICRM	CARCIR	CARJDR	ERRREJ	MAPCIV & FBICIV	SREACK	SRENYS	CARADM	CARSUP	CARSOR	DEUDEC	SREFBI	ERRFBI
		Arrest Sub	Resub -Criminal	Criminal Inq.	Juvenile Sub	Error Reject	Civil Inq. & Resub Civil	DCJS Ack.	DCJS Ident. Proc.	Correction Adm.	Parole Sub, Prob Sub	Sex Registry Sub	Deceased Sub	DCJS- FBI Ident. Proc	FBI Error Rej
1125	SMT Size	29	29	29	29		29			29	29	29	29		
1126	Scars, Marks, Tattoos, Other Characteristics	O	O	O	O		29			O	O	O	O		
1130	Home Address	3	3	3	3		3			3	3	M	3		
1131	Marital Status									O					
1132	Driver's License Number	O	O	O	O	O	O	O	O	O	O	O		O	O
1136	Foreign Home Address						74								
1199	Contributor	M	M	M	M	O,75	M	O,75	O,75	M	M	M	M		
1200	Reason Submitted			M								M			
1201	Arresting Agency	M	M		M										
1203	Arresting Agency Unit	O	O		O										

A-2 Type 2 Record's Fields Expected by Type of Transaction

FIELD NUMBER AND NAME		CARAAR (Arrest Sub)	FBICRM (Resub -Criminal)	CARCIR (Criminal Inq.)	CARJDR (Juvenile Sub)	ERRREJ (Error Reject)	MAPCIV & FBICIV (Civil Inq. & Resub Civil)	SREACK (DCJS Ack.)	SRENYS (DCJS Ident. Proc.)	CARADM (Correction Adm.)	CARSUP (Parole Sub, Prob Sub)	CARSOR (Sex Registry Sub)	DEUDEC (Deceased Sub)	SREFBI (DCJS- FBI Ident. Proc)	ERRFBI (FBI Error Rej)
1204	Arresting Officer ID	30	30		30										
1205	Arresting Officer	30	30		30										
1206	Place of Arrest	M	M		M										
1207	Crime Time	3	3		3										
1208	Arrest Time	3	3		3										
1209	Arrest Type	3	3		3										
1210	Arrest Number	M,68	M,68		M,68			23							
1211	Weapon	O	O		O										
1212	Charges	M, 76	M, 76		M, 76						3				
1213	Incident Number	3, 76	3, 76		3, 76										
1214	Crime Date / Place	M, 76	M, 76		M, 76										
1215	Warrant(s)	O	O		O					O					
1216	Court of Arraignment	M	M		M										

A-2 Type 2 Record's Fields Expected by Type of Transaction

FIELD NUMBER AND NAME		CARAAR	FBICRM	CARCIR	CARJDR	ERRREJ	MAPCIV & FBICIV	SREACK	SRENYS	CARADM	CARSUP	CARSOR	DEUDEC	SREFBI	ERRFBI
		Arrest Sub	Resub -Criminal	Criminal Inq.	Juvenile Sub	Error Reject	Civil Inq. & Resub Civil	DCJS Ack.	DCJS Ident. Proc.	Correction Adm.	Parole Sub, Prob Sub	Sex Registry Sub	Deceased Sub	DCJS- FBI Ident. Proc	FBI Error Rej
1217	Criminal Justice Tracking Number	31	31		31	24		24	24	M,73					
1218	Court of Jurisdiction	32	32		32			24	24						
1220	Arrestee Number	O	O		O										
1221	Fax Control Number	33	33	33	33	23		23	23	3,11,20					
1222	Assisting Agencies	O	O		O			23	23	O					
1123	Crime Matrix	55	55		O										
1124	FP Image Capture ORI	O	O	O	O	O						O			
1126	Domestic Incident Report	3,24	3.24		3.24										

A-2 Type 2 Record's Fields Expected by Type of Transaction

FIELD NUMBER AND NAME		CARAAR Arrest Sub	FBICRM Resub -Criminal	CARCIR Criminal Inq.	CARJDR Juvenile Sub	ERRREJ Error Reject	MAPCIV & FBICIV Civil Inq. & Resub Civil	SREACK DCJS Ack.	SRENYS DCJS Ident. Proc.	CARADM Correction Adm.	CARSUP Parole Sub, Prob Sub	CARSOR Sex Registry Sub	DEUDEC Deceased Sub	SREFBI DCJS- FBI Ident. Proc	ERRFBI FBI Error Rej
1301	Client Identification Number			34		23		23	23		58				
1302	Inmate Identification Number			35		23		23	23	9					
1303	Probation Registration Number			34		23		23	23						
1304	Custodial Supervision Number			36		23		23	23						
1305	Department Identification Number (DIN)														
1306	Parole ID Number			36		23		23	23		57				
1307	DFY Case Number			36		23		23	23	9					
1308	Probation Case Number										58				
1309	Probation Date										58				

A-2 Type 2 Record's Fields Expected by Type of Transaction

FIELD NUMBER AND NAME		CARAAR	FBICRM	CARCIR	CARJDR	ERRREJ	MAPCIV & FBICIV	SREACK	SRENYS	CARADM	CARSUP	CARSOR	DEUDEC	SREFBI	ERRFBI
		Arrest Sub	Resub -Criminal	Criminal Inq.	Juvenile Sub	Error Reject	Civil Inq. & Resub Civil	DCJS Ack.	DCJS Ident. Proc.	Correction Adm.	Parole Sub, Prob Sub	Sex Registry Sub	Deceased Sub	DCJS- FBI Ident. Proc	FBI Error Rej
1310	Parole Release Date										57				
1312	Entry Date									10					
1313	Admission Date									10					
1314	Sentence Date									3					
1315	Release Date														
1317	Admission Reason									14					
1319	Probation/Parole Officer ID										58				
1320	Co-Op State										M				

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A-2 Type 2 Record's Fields Expected by Type of Transaction

FIELD NUMBER AND NAME		CARAAR Arrest Sub	FBICRM Resub -Criminal	CARCIR Criminal Inq.	CARJDR Juvenile Sub	ERRREJ Error Reject	MAPCIV & FBICIV Civil Inq. & Resub Civil	SREACK DCJS Ident. Proc.	SRENYS	CARADM Correction Adm.	CARSUP Parole Sub, Prob Sub	CARSOR Sex Registry Sub	DEUDEC Deceased Sub	SREFBI DCJS- FBI Ident. Proc	ERRFBI FBI Error Rej
1321	Parole Area of Assignment										57				
1322	Entry Reason									14					
1325	Release Reason														
1326	Parole Release Type										57				
1330	Custodial / Supervising Agency									O					
1331	Covered Arrest Date List									O					
1332	Conditional Release Date									O					
1333	Max Expiration Date									11	60				
1334	Court Docket Number									11,70					

A-2 Type 2 Record's Fields Expected by Type of Transaction

FIELD NUMBER AND NAME		CARAAR Arrest Sub	FBICRM Resub -Criminal	CARCIR Criminal Inq.	CARJDR Juvenile Sub	ERRREJ Error Reject	MAPCIV & FBICIV Civil Inq. & Resub Civil	SREACK DCJS Ack.	SRENYS DCJS Ident. Proc.	CARADM Correction Adm.	CARSUP Parole Sub, Prob Sub	CARSOR Sex Registry Sub	DEUDEC Deceased Sub	SREFBI DCJS- FBI Ident. Proc	ERRFBI FBI Error Rej
1335	Admission Sentence Type									O					
1336	Minimum Sentence									M					
1337	Maximum Sentence									O					
1338	Other Sentence									O	61				
1400	Offender ID Number											O			
1500	Civil Type					O,75	M,54	O,75	O,75						
1501	Agency ID Number					23,75	23	23,75	23,75						
1503	Civil Unique Identifier						O	23,75							
1510	Dead Number												59		

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A-3 Records Expected by Type of Transaction

TOT	T1	T2	T4/14*	T8	T10	T15	Response 1	Response 2	Error Response	
CARAAR	1	1	0 - 14	0-2	0-6	0-8	SREACK	SRENYS	ERRREJ	
CARADM	1	1	0 -14	0	0-6	0-8	SREACK	SRENYS	ERRREJ	
CARCIR	1	1	0 - 14	0-2	0	0-8	SREACK	SRENYS	ERRREJ	
CARJDR	1	1	0 - 14	0-2	0-6	0-8	SREACK	SRENYS	ERRREJ	
CARSOR	1	1	0 - 14	0-2	0-6	0-8	SREACK	SRENYS	ERRREJ	
CARSUP	1	1	0 -14	0	0-6	0-8	SREACK	SRENYS	ERRREJ	
DEUDEC	1	1	0 -14	0	0-6	0-8	SREACK	SRENYS	ERRREJ	
ERRFBI	1	1	0	0	0	0				
ERRREJ	1	1	0	0	0	0				
FBICRM	1	1	0-14	0-2	0-6	0-8	SREACK		ERRREJ	
FBICIV	1	1	0-14	0	0-1	0	SREACK		ERRREJ	
MAPCIV	1	1	0-14	0	0-1	0	SREACK	SRENYS	ERRREJ	
SREACK	1	1	0	0	0	0				
SREFBI	1	1	0	0	0	0				
SRENYS	1	1	0	0	0	0				A SRENYS response (DCJS identification results) is always expected for the TOTs so noted

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									above. Additionally, in the uncommon scenario where DCJS internally reprocesses a transaction for any reason, a secondary SRENYS response may result.

* Types 4 and 14 cannot be mixed within a single transaction. All images must be submitted as either one record type or the other.

APPENDIX B
NIST Record Fields

B-1 Fields for Type-1 Transaction Information Records

Tag Number	Field Name	Type	Occ**	Size**	Special Characters (if Allowed)	Example	Inclusion *
1.0100	Logical Record Length	N	1	2/3		1.1:230<GS>	M
1.0200	Version Number	N	1	4		1.2:0300<GS>	M 38
1.0300	File Contents	N	1	6/180		1.3:1<US>19<RS>2<US>00<RS>14<US>01<RS>14<US>02<RS>14<US>03<RS>14<US>04<RS>14<US>05<RS>14<US>06<RS>14<US>07<RS>14<US>08<RS>14<US>09<RS>14<US>10<RS>14<US>11<RS>14<US>12<RS>14<US>13<RS>14<US>14<RS>10<US>01<RS>10<US>02<RS>8<US>00<GS>	M
(A)	Record Type	N	2/30	1/2			M 5
(B)	Incremental Data Counter	N	2/30	2			M 6
1.0400	Type of Transaction	A	1	3/8		1.4:CARAAR<GS>	M
1.0500	Date	N	1	8		1.5:19990615<GS>	M
1.0600	Priority	N	0/1	1/2		1.6:3<GS>	O

Type = A(lphabetic) space, A-Z (upper case only)
    N(umeric) 0 thru 9, decimal point whenever
    S(pecial) see Special Char. Column
     AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.
**If two values are given, they are the minimum and maximum allowed.
*Key for inclusion information for each tagged field: O=Optional, M=Mandatory, numeric values – see numbered Reference notes in Appendix F
Please note that there are fields contained in the descriptive portion of the document that are not contained in the tables in the Appendices. While these fields are part of the standard, they are not currently being accepted by DCJS. Vendors should always use the tables in the back to determine which fieldOp DCJS requires.

B-1 Fields for Type-1 Transaction Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if Allowed)	Example	Inclusion *
1.0700	Destination Agency Ident.	AN	1	9		1.7:NY001015Y<GS>	M
1.0800	Originating Agency Ident.	AN	1	9		1.8:NY0303000<GS>	M
1.0900	Transaction Control Number	AN	1	12/40		1.9:123456789101<GS>	M 39
1.1000	Transaction Control Reference	AN	1	12/40		1.10:101234567890<GS>	C 48
1.1100	Native Scanning Resolution	NS	1	5		1.11:20.00<GS>	M 7
1.1200	Nominal Scanning Resolution	NS	1	5		1.12:20.00<GS>	M 7

Type =   A(lphabetic) space, A-Z (upper case only)

N(umeric) 0 thru 9, decimal point whenever

S(pecial) see Special Char. Column

AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.

**If two values are given, they are the minimum and maximum allowed.

*Key for inclusion information for each tagged field: O=Optional, M=Mandatory, numeric values – see numbered Reference notes in Appendix F

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B-1 Fields for Type-1 Transaction Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if Allowed)	Example	Inclusion *
1.1300	Domain/Version	ANS	1	9/15		1.13:NYSCJEFTS<US>01.01<GS>	O 40
(A)	Domain	A	1	4/10			
(B)	Version	NS	1	5			
1.1400	Greenwich Mean Date/Time	AN	1	15		1.14:199906151330Z<GS>	O
1.1500	Character Sets	A	1	13/38	Hyphen	1.15:000<US>ASCII<US>X3.4-1992<GS>	M
(A)	Set Index	N	1	3			M
(B)	Set Name	A	1	5/15			M
(C)	Set Description	ANS	1	5/30	Parentheses, hyphen, forward slash		M

Type =   A(lphabetic) space, A-Z (upper case only)
N(umeric) 0 thru 9, decimal point whenever
S(pecial) see Special Char. Column
AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.
**If two values are given, they are the minimum and maximum allowed.
*Key for inclusion information for each tagged field: O=Optional, M=Mandatory, numeric values – see numbered Reference notes in Appendix F

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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
2.0001	Logical Record Length	N	1	2/4		2.1:9999<GS>	
2.0002	Incremental Data Center	N	1	2		2.1:00<GS>	
2.0014	FBI Number	AN	1	9	Any printable 7-bit ASCII character with the exception of the period	2.14:3134564<GS> 2.14:789C<GS> 2.14:2468J6<GS> 2.14:222144KA0<GS>	69
2.0016	Social Security Number	N	1	9		2.16:220565855<GS>	3, 54
2.0017	Miscellaneous Number	ANS	1	4/20	Hyphen	2.17:PP-1234567890P<GS>	64
2.0021	Country of Citizenship	A	1	2		2.21:FR<GS>	25, 63
2.0022	Date of Birth	N	1	8		2.22:19840815<GS>	54, 66

Type = A(lphabetic) space, A-Z (upper case only)
   N(umeric) 0 thru 9, decimal point whenever
   S(pecial) see Special Char. Column
   AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.
**If two values are given, they are the minimum and maximum allowed.
*Key for inclusion information for each tagged field: O=Optional, M=Mandatory, numeric values – see numbered Reference notes in Appendix F

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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
2.0027	Height	N	1	3		2.27:601<GS>	3
2.0029	Weight	N	1	3		2.29:184<GS>	
2.0035	Palm Print Availability	A	1	1		2.35:Y<GS>	
2.0045	Date of Arrest	N	1	8		2.45:19991127<GS>	3, 11, 37
2.0060	Message Text	AN	1/N	5/300		2.60: UNABLE TO PROCESS : POOR IMAGE QUALITY<GS>	
2.0067	Image Processing Field	ANS	1	9/100		2.67:DBI<US>1134<US>12345<GS>	1, 12
(A)	Make	ANS	1	3/25	Forward Slash, Hyphen		
(B)	Model	ANS	1	3/25	Forward Slash, Hyphen		
(C)	Serial Number	ANS	1	3/50	Forward Slash, Hyphen		

Type = A(lphabetic) space, A-Z (upper case only)
   N(umeric) 0 thru 9, decimal point whenever
   S(pecial) see Special Char. Column
    AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.
**If two values are given, they are the minimum and maximum allowed.
*Key for inclusion information for each tagged field: O=Optional, M=Mandatory, numeric values – see numbered Reference notes in Appendix F

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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
2.0084	Missing Fingers	AN	1	4/40		2.84:01<US>XX<RS>05<US>UP<GS	8, 12
(A)	Finger Position	N	1/10	2			
(B)	Reason Missing	A	1/10	2			
2.0085	FBI Civil Record number	AN	1	9		2.85:V12345678<GS>	21
2.0100	Date of Death	N	1	8		2.100:20040101<GS>	56
2.0102	Reported Date of Death	N	1	8		2.101:20040101<GS>	56
2.1000	Resubmission Indicator	A	1	2		2.1000:DC<GS>	13
2.1001	Fingerprinter	AS	1	6/30	Comma, Space	2.1001:DOE, JOHN PAUL<GS>	3
2.1002	Fingerprinter's ID	AN	1	3/10		2.1002:730JPD<GS>	

Type =   A(lphabetic) space, A-Z (upper case only)
        N(umeric) 0 thru 9, decimal point whenever
        S(pecial) see Special Char. Column
        AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.
**If two values are given, they are the minimum and maximum allowed.
*Key for inclusion information for each tagged field: O=Optional, M=Mandatory, numeric values – see numbered Reference notes in Appendix F

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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
2.1003	Person Submitting	AS	1	6/30	Comma, Space	2.1003:DOE, JANE FRANCES<GS>	3
2.1004	ID of Person Submitting	AN	1	3/10		2.1004:652JFD<GS>	
2.1006	Date Fingerprint Taken	N	1	8		2.1006:19991215<GS>	
2.1008	Image Size Override	AN	1	42		2.1008:01<US>N<RS>02<US>N<RS>03<US>N<RS>04<US>N<RS>05<US>Y<RS>05<US>N<RS>07<US>N<RS>08<US>N<RS>09<US>N<RS>10<US>Y<RS>11<US>N<RS>12<US>N<RS>13<GS>	1, 27
(A)	Finger Number	N	14	2			
(B)	Override Action	A	14	1			
2.1009	Image Quality Override	AN	1	42		2.1009:01<US>N<RS>02<US>N<RS>03<US>N<RS>04<US>N<RS>05<US>Y<RS>06<US>N<RS>07<US>N<RS>08<US>N<RS>09<US>N<RS>10<US>Y<RS>11<US>N<RS>12<US>N<RS>13<US>N<RS>14<US>N<GS>	1, 27

Type = A(lphabetic) space, A-Z (upper case only)

N(umeric) 0 thru 9, decimal point whenever

S(pecial) see Special Char. Column

AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.

**If two values are given, they are the minimum and maximum allowed.

*Key for inclusion information for each tagged field: O=Optional, M=Mandatory, numeric values – see numbered Reference notes in Appendix F

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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
(A)	Finger Number	N	14	2			
(B)	Override Action	A	14	1			
2.1010	Finger Positioning Override	AN	1	30		2.1010:01<US>N<RS>02<US>N<RS>03 <US>N<RS>04<US>N<RS>05<US>Y <RS>06<US>N<RS>07<US>N<RS>08 <US>N<RS<09<US>N<RS>10<US>Y <GS>	1, 27
(A)	Finger Number	N	10	2			
(B)	Override Number	A	10	1			
2.1030	Local Identifier Designation	N	1	1		2.1030:1<GS>	68
2.1053	FBI Submission	A	1	1		2.1053:Y<GS>	53
2.1054	State Submission	A	1	1		2.1054:Y<GS>	

Type =   A(lphabetic) space, A-Z (upper case only)
        N(umeric) 0 thru 9, decimal point whenever
        S(pecial) see Special Char. Column
       AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.
**If two values are given, they are the minimum and maximum allowed.
*Key for inclusion information for each tagged field: O=Optional, M=Mandatory, numeric values – see numbered Reference notes in Appendix F

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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
2.1073	Image Type	A	1	4/6		2.1073:SCAR\<GS>	
2.1074	Photo Date	N	1	8		2.1074:19991121\<GS>	
2.1075	Subject's Pose	A	1	1		2.1075:L\<GS>	
2.1077	Photo Number	ANS	1	3/12	Hyphen	2.1077:99A-103\<GS>	
2.1090	Error Code	N	1/N	3		2.1090:700\<GS>	
2.1091	DCJS Processing Results	AN	1	56/145		2.1091:IDENTIFICATION \<US>NYSID=03679186H \<US>POSSIBLE MISSING PERSON \<RS>POSSIBLE WANTED PERSON \<US>MULTI-STATE \<GS>	
(A)	Identification Processing	A	1	14/17			

Type =   A(lphabetic) space, A-Z (upper case only)
          N(umeric) 0 thru 9, decimal point whenever
          S(pecial) see Special Char. Column
            AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.
**If two values are given, they are the minimum and maximum allowed.
*Key for inclusion information for each tagged field: O=Optional, M=Mandatory, numeric values – see numbered Reference notes in Appendix F

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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
(B)	NYSID Number	AN	0/1	0/15	0		
(C)	Wanted/Missing Status	A	2	22/62			
(D)	III Status	A	1	11/54			
2.1092	III Search Indicator	A	1	1		2.1092:Y<GS>	
2.1093	FBI Processing Message Text	AN	1	5/100		2.1093:FBI CARD REPRINTED - SENT TO FBI MANUALLY<GS>	
2.1094	NCIC Person Indicator	A	1	1		2.1094:Y<GS>	
2.1095	NCIC Person Status	A	1	11/35		2.1095:NCIC PERSON<GS>	
2.1096	Name SSN Match	A	1	1		2.1096:Y<GS>	62
2.1097	Non-Criminal Only Indicator	A	1	1		2.1097:Y<GS>	

Type =   A(lphabetic) space, A-Z (upper case only)
        N(umeric) 0 thru 9, decimal point whenever
        S(pecial) see Special Char. Column
         AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.
**If two values are given, they are the minimum and maximum allowed.
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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
2.1098	III Status Code	A	1	1		2.1098:S<GS>	
2.1099	Wanted/Missing Status Code	A	1/2	1		2.1099:M<RS>W<GS>	
2.1100	Search Results	A	1	2		2.1100:N<US>N<GS>	
(A)	NYS Search Results	A	1	1			
(B)	FBI Search Results	A	1	1			
2.1101	NYSID Number	AN	1	9		2.1101:03679186H<GS>	3, 22
2.1102	Local Person ID Number	AN	1	3/15		2.1102:SU3471Y<GS>	68
						NOTE: For criminal inquiry submissions (CARCIR), the maximum length of this field is 11 bytes.	
2.1109	Citizenship Status	AN	1	1		2.1109:L<GS>	63
2.1110	Name	A	1	1/98		2.1110:SMITH JONES<US>BILLY BOB <US>PAUL<US>REVEREND<US>III <GS>	54

Type =   A(lphabetic) space, A-Z (upper case only)
         N(umeric) 0 thru 9, decimal point whenever
         S(pecial) see Special Char. Column
          AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.
**If two values are given, they are the minimum and maximum allowed.
*Key for inclusion information for each tagged field: O=Optional, M=Mandatory, numeric values – see numbered Reference notes in Appendix F

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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
(A)	Last Name	A	1	1/35			
(B)	First Name	A	0/1	1/25			
(C)	Middle Name	A	0/1	1/25			51
(D)	Name Prefix	A	0/1	2/10			51
(E)	Name Suffix	A	0/1	2/3			
2.1111	Alias / Maiden Name	A	0/10	1/98		2.1111:SMITH <US>BILLY<US>JOAN <US>REVEREND<US>III <GS>	
(A)	Last Name	A	1/10	1/35			
(B)	First Name	A	0/10	1/25			
(C)	Middle Name	A	0/10	1/25			
(D)	Name Prefix	A	0/10	2/10			
(E)	Name Suffix	A	0 /10	2/3			

Type =   A(lphabetic) space, A-Z (upper case only)
          N(umeric) 0 thru 9, decimal point whenever
          S(pecial) see Special Char. Column
          AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.
**If two values are given, they are the minimum and maximum allowed.
*Key for inclusion information for each tagged field: O=Optional, M=Mandatory, numeric values – see numbered Reference notes in Appendix F

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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
2.1112	Sex	A	1	1		2.1112:M<GS>	54
2.1113	Race	A	1	1		2.1113:W<GS>	
2.1114	Skin Tone	A	1	3		2.1114:OLV<GS>	3
2.1115	Ethnic Origin	A	1	1		2.1115:H<GS>	67
2.1116	Age/Estimated Age	N	1	2/3		2.1116:43<GS	28, 66
2.1117	State/Country of Birth	A	1	2		2.1117:NY<GS>	3, 63
2.1118	US Citizenship	A	1	1		2.1118:L<GS>	3, 63
2.1119	Alien Registration Number	AN	1	10		2.1119:A123456789<GS>	25, 64
2.1120	Eye Color	A	1	3		2.1120:BLU<GS>	3
2.1121	Hair Color	A	1	3		2.1121:BRO<GS>	3
2.1122	Photo Availability Indicator	A	1	1		2.1122:2<GS>	

Type =   A(lphabetic) space, A-Z (upper case only)
    N(umeric) 0 thru 9, decimal point whenever
    S(pecial) see Special Char. Column
    AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.
**If two values are given, they are the minimum and maximum allowed.
*Key for inclusion information for each tagged field: O=Optional, M=Mandatory, numeric values – see numbered Reference notes in Appendix F

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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
2.1123	SMT Descriptors	AS	1/10	11/50	Comma, Hyphen, Parentheses	2.1123:\<RS\>\<RS\>TATTO\<US\> ANIMAL\<US\>DRAGON\<US\> DRAGON\<US\>FIRE BREATHING\<RS\>TATTO\<US\> OTHER IMAGES\<US\>WORDS\<US\> MOM\<GS\>	29
(A)	Source	A	1	4/8			
(B)	General Class	A	1	4/8			
(C)	Subclass	A	1	3/9			
(D)	Descriptive Text	AS	0/1	4/25	Comma, Hyphen, Parentheses		
2.1124	SMT Color	A	1/10	3/20		2.1124:\<RS\>\<RS\>GREEN\<US\>RED \<RS\>RED\<GS\>	29
2.1125	SMT Size	N	1/10	2/6		2.1125:\<RS\>\<RS\>12\<US\>12\<RS\>13\<US\>13 \<GS\>	29
(A)	SMT Height	N	1	1/3			

Type =   A(lphabetic) space, A-Z (upper case only)
      N(umeric) 0 thru 9, decimal point whenever
      S(pecial) see Special Char. Column
      AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.
**If two values are given, they are the minimum and maximum allowed.
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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
(B)	SMT Width	N	1	1/3			
2.1126	Scars, Marks, Tattoos	A	1/10	3/10		2.1126:MISS L TOE<RS>ART L ARM<RS>TAT L LEG<RS>TAT R LEG <RS>ART R ARM<GS>	
2.1130	Home Address	AN	1	8/168	Pound Forward Slash	2.1130:192 THIRD STREET <US>APT 2C SPAULDING TOWERS <US>NEW ROCHELLE<US>60<US>NY <US>12276<GS>	3
(A)	Number and Street Name	AN	0/1	4/60	Apostrophe Hyphen, Space		
(B)	Apartment # / Building Name	AN	0/1	4/60			
(C)	City Name	A	1	1/35	Hyphens, Space		
(D)	County	N	1	2			
(E)	State / Country	AN	1	2			
(F)	ZIP code	AN	0/1	1/10	Space, Hyphen, first char should not be a hyphen.		

Type = A(lphabetic) space, A-Z (upper case only)

N(umeric) 0 thru 9, decimal point whenever

S(pecial) see Special Char. Column

AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.

**If two values are given, they are the minimum and maximum allowed.

*Key for inclusion information for each tagged field: O=Optional, M=Mandatory, numeric values – see numbered Reference notes in Appendix F

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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
2.1132	Driver's License Number	AN	1	6/22	No spaces allowed for (B) Number	2.1132:NY\<US\>404719342\<GS\>	
(A)	State of Issuance	A	1	2			
(B)	Number	AN	1	4/20			
2.1136	Foreign Home Address	AN	1	200		2.1136: 192 THIRD STREET APT 2C SPAULDING TOWERS SASKATOON SK CANADA S7K 0J5\<GS\>	
2.1199	Contributor	AN	1	9		2.1199:NY0303000\<GS\>	
(A)	ORI	AN	1	9			
(B)	Literal Name	AN	0/1	0/65			

Type =   A(lphabetic) space, A-Z (upper case only)
         N(umeric) 0 thru 9, decimal point whenever
         S(pecial) see Special Char. Column
          AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.
**If two values are given, they are the minimum and maximum allowed.
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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
2.1200	Reason Submitted	AN	1	2		2.1200:45<GS>	
2.1201	Arresting Agency	AN	1	9		2.1201:NY03030W1<GS>	
2.1203	Arresting Agency Unit	ANS	1	3/12	Hyphen, Comma	2.1203:FELONY SQUAD<GS>	
2.1204	Arresting Officer ID	AN	1	3/10		2.1204:13572AHQ<GS>	30
2.1205	Arresting Officer	AS	1	5/30	Comma	2.1205:SMITH,ALEX R<GS>	30
2.1206	Place of Arrest	AN	1	4		2.1206:3401<GS>	
2.1207	Crime Time	N	1	4		2.1209:2215<GS>	3
2.1208	Arrest Time	N	1	4		2.1208:1315<GS>	3
2.1209	Arrest Type	N	1	2		2.1209:02<GS>	3
2.1210	Arrest Number	ANS	1	4/12	Hyphen	2.1210:9812314<GS>	68
						For criminal inquiry submissions (CARCIR), the maximum length of this field is 11 bytes.	

Type =   A(lphabetic) space, A-Z (upper case only)

N(umeric) 0 thru 9, decimal point whenever

S(pecial) see Special Char. Column

AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.

**If two values are given, they are the minimum and maximum allowed.

*Key for inclusion information for each tagged field: O=Optional, M=Mandatory, numeric values – see numbered Reference notes in Appendix F

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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
2.1211	Weapon	AN	1	2		2.1211:15<GS>	
2.1212	Charges	ANS	1/10	28	Hyphen	2.1212:PL 120.05 00 AM1C <US>0399<US>02<GS>	76
(A)	Charge	ANS	1	22	Hyphen, Period		
(B)	NCIC Offense Code	N	1	4			
(C)	Counts	N	1	2			
2.1213	Incident Number	N	1	15		2.1213:	15, 76
2.1214	Crime Date / Place	N	1	12		2.1214:19981216<US>3401<GS>	15, 76
(A)	Date	N	1	8			
(B)	Place	N	1	4			
2.1215	Warrant(s)	ANS	1/5	20/26	Hyphen, Parentheses	2.1215:NY001015C<US>19981011 <US>AT-97005(2) <GS>	
(A)	Wanting Agency	AN	1	9			

Type = A(lphabetic) space, A-Z (upper case only)
        N(umeric) 0 thru 9, decimal point whenever
        S(pecial) see Special Char. Column
         AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.
**If two values are given, they are the minimum and maximum allowed.
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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
(B)	Date of Warrant	N	1	8	Hyphen, Parentheses		
(C)	Warrant Number	ANS	1	3/9			
2.1216	Court of Arraignment	AN	1	9		2.1216:NY051011J<GS>	
2.1217	Criminal Justice Tracking Number	AN	1	9		2.1217:28144654J<GS>	3, 11, 20,31,73
2.1218	Court of Jurisdiction	AN	1	9		2.1218:051011J<GS>	32
2.1220	Arrestee Number	AN	1	2		2.1220:02<GS>	
2.1221	Fax Control Number	AN	1	13		2.1221:2000<US>MP<US>0001465 <GS>	33
(A)	Fax Year	N	1	4			
(B)	Fax Prefix	A	1	2			
(C)	Fax Number	N	1	7			

Type = A(lphabetic) space, A-Z (upper case only)

N(umeric) 0 thru 9, decimal point whenever

S(pecial) see Special Char. Column

AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.

**If two values are given, they are the minimum and maximum allowed.

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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
2.1222	Assisting Agencies	AN	1/3	9/27			
2.1223	Crimes Matrix	AN	2/25	106 / 1325		2.1223:123456789012345<US>19991231<US>3401 <US>02<US>PL <US>120.05 <US>00 <US>A<US>M<US>0<US> <US>3499<US>02<GS>	55
(A)	Incident Number	N	0/1	15			
(B)	Incident / Crime Date	N	1	8			
(C)	Incident / Crime Place	N	1	4			
(D)	Arrestee Number	N	0/1	2			
(E)	Charge/Offense Law Title	AN	1	3			
(F)	Charge/Offense Article & Section	AN	1	9	Hyphen, Period		

Type =   A(lphabetic) space, A-Z (upper case only)
          N(umeric) 0 thru 9, decimal point whenever
          S(pecial) see Special Char. Column
     AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.
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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
(G)	Charge/Offense Subsection	AN	1	6	Hyphen, Period		
(H)	Charge/Offense Class	AN	1	1			
(I)	Charge/Offense Category	AN	1	1			
(J)	Charge/Offense Degree	N	1	1			
(K)	Charge/Offense Attempt Ind.	AN	1	1			
(L)	NCIC Code	N	1	4			
(M)	Counts	N	1	4			
2.1224	FP Capture ORI	AN	1	9		2.1224:NY0303000<GS>	
2.1226	Domestic Incident Report	A	1	1		2.1226:Y<GS>	

Type =   A(lphabetic) space, A-Z (upper case only)

N(umeric) 0 thru 9, decimal point whenever

S(pecial) see Special Char. Column

AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.

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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
2.1301	Client Identification Number	AN	1	5/7		2.1301:10234<GS>	9, 35
2.1302	Inmate Identification Number	ANS	1	3/10	Hyphen	2.1302:104-98-03<GS>	
2.1303	Probation Registration No.	N	1	3/7		2.1303:22051<GS>	34, 58
2.1304	Custodial Supervision Number	ANS	1	4/7	Hyphen	2.1304:98R10475<GS>	36
2.1305	Department identification No.	AN	1	4/7		2.1305:97G0293<GS>	9
2.1306	Parole ID Number	AN	1	7		2.1306:C123456<GS> 2.1306:F123456<GS>	36, 57
2.1307	DFY Case Number	AN	1	4/9		2.1307:1234<GS>	9, 36
2.1308	Probation Case Number	AN	1	1/20		2.1308:P123456789<GS>	

Type =   A(lphabetic) space, A-Z (upper case only)
          N(umeric) 0 thru 9, decimal point whenever
          S(pecial) see Special Char. Column
          AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.
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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
2.1309	Probation Date	N	1	8		2.1309:19991014<GS>	58
2.1310	Parole Release Date	N	1	8		2.1310:20000125<GS>	57
2.1311	Parole Discharge Date	N	1	8		2.1311:20020125<GS>	
2.1312	Entry Date	N	1	8		2.1312:19991230<GS>	10
2.1313	Admission Date	N	1	8		2.1313:19980715<GS>	10
2.1314	Sentence Date	N	1	8		2.1314:19990417<GS>	3
2.1315	Release Date	N	1	8		2.1315:20000115<GS>	14
2.1317	Admission Reason	AN	1	3		2.1317:001<GS>	
2.1318	Supervision Reason	N	1	3		2.1318:003<GS>	
2.1319	Probation/Parole Officer ID	AN	1	1/10		2.1319:MS12345278<GS>	58
2.1320	Co-op State	A	1	2		2.1320:AK<GS>	

Type =   A(lphabetic) space, A-Z (upper case only)
         N(umeric) 0 thru 9, decimal point whenever
         S(pecial) see Special Char. Column
         AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.
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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
2.1321	Parole Area Assignment	AN	1	3		2.1321:076<GS>	57
2.1322	Entry Reason	AN	1	3		2.1322:01<GS>	14
2.1324	Incarceration Discharge Reason	AN	1	2/3		2.1324:206<GS>	
2.1325	Release Reason	AN	1	3		2.1325:234<GS>	
2.1326	Parole Release Type	AN	1	2		2.1326:03<GS>	57
2.1327	Case Closing Reason	AN	1	2		2.1327:18<GS>	
2.1328	Defendant Release Status	N	1	2		2.1328:03<GS>	
2.1330	Custodial / Supervising Agency	AN	1	9		2.1330:NY001015C<GS>	
2.1331	Covered Arrest Date	N	1-N	8		2.1331:19991127<GS>	
2.1332	Conditional Release Date	N	1	8		2.1332:20011127<GS>	

Type =   A(lphabetic) space, A-Z (upper case only)
         N(umeric) 0 thru 9, decimal point whenever
         S(pecial) see Special Char. Column
         AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.
**If two values are given, they are the minimum and maximum allowed.
*Key for inclusion information for each tagged field: O=Optional, M=Mandatory, numeric values – see numbered Reference notes in Appendix F

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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
2.1333	Max Expiration Date	N	1	8		2.1333:20021127	
2.1334	Court Docket Number	ANS	1	1-15		2.1334:XM991127005<GS>	70
2.1335	Admission Sentence Type	A	1	1		2.1335:T<GS>	
2.1336	Minimum Sentence					2.1336:001<US>006<US>000<GS>	
	(A) Years	N	1	3			
	(B) Months	N	1	3			
	(C) Days	N	1	3			
2.1337	Maximum Sentence					2.1337:001<US>006<US>000<GS>	
	(A) Years	N	1	3			
	(B) Months	N	1	3			
	(C) Days	N	1	3			

Type =   A(lphabetic) space, A-Z (upper case only)
N(umeric) 0 thru 9, decimal point whenever
S(pecial) see Special Char. Column
AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.
**If two values are given, they are the minimum and maximum allowed.
*Key for inclusion information for each tagged field: O=Optional, M=Mandatory, numeric values – see numbered Reference notes in Appendix F

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B-2 Fields for Type-2 Textual Information Records

Tag Number	Field Name	Type	Occ **	Size **	Special Characters (if allowed)	Example	Inclusion *
2.1338	Other Sentence	N	1	1		2.1338:1<GS>	61
2.1400	Offender ID Number	N	1	7		2.1400:1234567<GS>	
2.1500	Civil Type	N	1	3		2.1500:124<GS>	54
2.1501	Agency ID Number	ANS	1	3/11	Hyphen	2.1501:SE980135<GS>	
2.1503	Civil Unique Id	N	1	1/11		2:1503:053608713<GS>	
2.1510	Dead Number	AN	1	2/6		2:1510:D12345<GS>	59

Type = A(lphabetic) space, A-Z (upper case only)
N(umeric) 0 thru 9, decimal point whenever
S(pecial) see Special Char. Column
 AN(AlphaNumeric) combination of Alphabetic and Numeric. Most AN fields do not allow for spaces. Please see example for guidance on format.
**If two values are given, they are the minimum and maximum allowed.
*Key for inclusion information for each tagged field: O=Optional, M=Mandatory, numeric values – see numbered Reference notes in Appendix F

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B-3 Fields for Type-4 Fingerprint Image Records

Tag Number	Field Name	Type	Occ **	Size **	Special Char.	Example	*
	Logical Record Length	B	1	4		{Binary data}	M
	Incremental Data Counter	B	1	2		{Binary data}	M
	Impression Type	B	1	1		{Binary data}	M
	Finger Position	B	1	6		{Binary data}	M
	Image Scanning Resolution	B	1	1		{Binary data}	M
	Horizontal Line Length	B	1	2		{Binary data}	M
	Vertical line Length	B	1	2		{Binary data}	M
	Grayscale Compression Algorithm	B	1	1		{Binary data}	M
	Image Data	B	1	0		{Binary data}	?

** If two values are given, they are the minimum and maximum allowed

Type = A(lphabetic) space, A-Z (upper case only)
B(inary)
N(umeric) 0 thru 9, decimal point
S(pecial) see Special Char. column

When used
M(andatory) then always include field
O(ptional) then include field whenever known
C(onditional) see notes for specifics
Also, see Appendix F

B-4 Fields for Type-14 Tenprint Image Records

Tag Number	Field Name	Type	Occ **	Size **	Special Char.	Example	*
14.001	Record Length	N	1	4/7		14.1:56213<GS>	M
14.002	Incremental Data Counter	N	1	2/4		14.2:01<GS>	M
14.003	Impression Type	N	1	1		14.3:03<GS>	M
14.004	Source Agency ORI	AN	1	9		14.4:NY03030W1<GS>	M
14.005	Tenprint Capture Date	N	1	8		14.5:19991223<GS>	M
14.006	Horizontal Line Length	N	1	4		14.6:0600<GS>	M
14.007	Vertical line Count	N	1	4		14.7:500<GS>	M
14.008	Scale Units	N	1	1		14.8:1<GS>	M
14.009	Horizontal Pixel Scale	N	1	1/4		14.9:1<GS>	M
14.010	Vertical Pixel Scale	N	1	1/4		14.10:1<GS>	M
14.011	Compression Algorithm	AN	1	3/6		14.11:WSQ<GS>	M
14.012	Bites Per pixel	N	1	1/3		14.12:8<GS>	M
14.013	Finger Position	N	1	2		14.13:01<GS>	M
14.020	Comment	ANS	1	1/127	Hyphen, Parentheses	14.20:BADLY ERODED RIDGES<GS>	O
14.999	Image	B	1	0		{Binary data}	M

** If two values are given, they are the minimum and maximum allowed

Type = A(lphabetic) space, A-Z (upper case only)
B(inary)
N(umeric) 0 thru 9, decimal point
S(pecial) see Special Char. column

When used
M(andatory) then always include field
O(ptional) then include field whenever known
C(onditional) see notes for specifics
Also, see Appendix F

B-6 Fields for Type-15 Palm Print Image Records

Tag Number	Field Name	Type	Occ **	Size **	Special Char.	Example	*
15.001	Logical Record Length	N	1	4/8		15.1:157125<GS>	M
15.002	Incremental Data Counter	N	1	2		15.2:12<GS>	M
15.003	Impression Type	A	1	2		15.3:0<GS>	M
15.004	Source Agency ORI	AN	1	9/21		15.4:NY0303000<GS>	M
15.005	Capture Date	N	1	8		15.5:20000131<GS>	M
15.006	Horizontal Line Length	N	1	4/5		15.6:512<GS>	M
15.007	Vertical line Length	N	1	4/5		15.7:1024<GS>	M
15.008	Scale Units	N	1	2		15.8:01<GS>	M
15.009	Horizontal Pixel Scale	N	1	3/5		15.9:197<GS>	M
15.010	Vertical Pixel Scale	N	1	3/5		15.10:197<GS>	M
15.011	Compression Algorithm	A	1	5/7		15.11:WSQ <GS>	M
15.012	Bites Per Pixel	N	1	2/3		15.12:08<GS>	M
15.013	Palm Print Position	N	1	2/3		15.13:29<GS>	M
15.020	Comment	A	1	2/128		15.20:BADLY SCARRED<GS>	O
15.999	Image Data	B	1	0		{Binary data}	M

** If two values are given, they are the minimum and maximum allowed

Type = A(lphabetic) space, A-Z (upper case only)
B(inary)
N(umeric) 0 thru 9, decimal point
S(pecial) see Special Char. column

When used
M(andatory) then always include field
O(ptional) then include field whenever known
C(onditional) see notes for specifics
Also, see Appendix F

B-7 Fields for Type-8 Signature Image Records

Tag Number	Field Name	Type	Occ **	Size **	Special Char.	Example	*
	Logical Record Length	B	1	4		{Binary data}	M
	Incremental Data Counter	B	1	1		{Binary data}	M
	Signature Type	B	1	1		{Binary data}	M
	Signature Representation Type	B	1	1		{Binary data}	M
	Image Scanning Resolution	B	1	1		{Binary data}	M
	Horizontal Line Length	B	1	2		{Binary data}	M
	Vertical line Length	B	1	2		{Binary data}	M
	Image data	B	1	0		{Binary data}	?

** If two values are given, they are the
   minimum and maximum allowed

Type = A(lphabetic) space, A-Z (upper case only)
   B(inary)
   N(umeric) 0 thru 9, decimal point
   S(pecial) see Special Char. column

When used
   M(andatory) then always include field
   O(ptional) then include field whenever known
   C(onditional) see notes for specifics
   Also, see Appendix F

B-8 Fields for Type-10 Mugshot/SMT Photo Image Records

Tag Number	Field Name	Type	Occ **	Size **	Special Char.	Example	*
10.001	Logical Record Length	N	1	3/7		10.1:157125<GS>	M
10.002	Incremental Data Counter	N	1	1/2		10.2:12<GS>	M
10.003	Image Type	A	1	4/7		10.3:TATTOO<GS>	M
10.004	Source Agency ORI	AN	1	8/21		10.4:NY0303000<GS>	M
10.005	Photo Date	N	1	8		10.5:20000131<GS>	M
10.006	Horizontal Line Length	N	1	3/5		10.6:512<GS>	M
10.007	Vertical line Length	N	1	3/5		10.7:1024<GS>	M
10.008	Scale Units	N	1	1/2		10.8:1<GS>	M
10.009	Horizontal Pixel Scale	N	1	2/5		10.9:197<GS>	M
10.010	Vertical Pixel Scale	N	1	2/5		10.10:197<GS>	M
10.011	Compression Algorithm	A	1	4/7		10.11:JPEG <GS>	M
10.012	Color Space	A	1	4/5		10.12:YCC<GS>	M
10.020	Subject Pose	A	1	1/2		10.20:F<GS>	M 16
10.021	Pose Offset Angle	AS	1	1/4	Plus, Minus	10.21:+30<GS>	O 16 17
10.022	Photo Description	A	1/9	3/20		10.22:HAT<RS>SCARF<GS>	O 16

** If two values are given, they are the
   minimum and maximum allowed

Type = A(lphabetic) space, A-Z (upper case only)
   B(inary)
   N(umeric) 0 thru 9, decimal point
   S(pecial) see Special Char. column

When used
   M(andatory) then always include field
   O(ptional) then include field whenever known
   C(onditional) see notes for specifics
   Also, see Appendix F

B-8 Fields for Type-10 Mugshot/SMT Photo Image Records

Tag Number	Field Name	Type	Occ **	Size **	Special Char.	Example	*
10.040	NCIC Designation Code	A	1/3	3/10		10.40:ABDOM<GS>	O 2 18
10.041	SMT Size	N	0/1	4/6		10.41:12<US>15<GS>	O 2 18
(A)	Height	N	1	2/3			M
(B)	Width	N	1	2/3			M
10.042	SMT Descriptors	AN	0/9	11/50		10.42:TATTOO<US>ANIMAL<US>DRAGON<US>FIRE BREATHING<GS>	O 2 18
(A)	SMT Source	A	1	4/8			M
(B)	SMT General Class	A	1	4/8			M
(C)	SMT Sub-class	A	1	3/9			M
(D)	SMT Descriptive text	AN	0/1	0/25			O
10.043	SMT Color	A	0/9	3/20		10.43:GREEN<US>RED<GS>	O 2

** If two values are given, they are the minimum and maximum allowed

Type = A(lphabetic) space, A-Z (upper case only)
B(inary)
N(umeric) 0 thru 9, decimal point
S(pecial) see Special Char. column

When used
M(andatory) then always include field
O(ptional) then include field whenever known
C(onditional) see notes for specifics
Also, see Appendix F

B-8 Fields for Type-10 Mugshot/SMT Photo Image Records

Tag Number	Field Name	Type	Occ **	Size **	Special Char.	Example	*
10.201	NYSID Number	AN	0/1	1/9		10.201:3679186H<GS>	O 3 3
10.202	Reason For Photo	A	1	2		10.202:SR<GS>	M
10.210	Photo Descriptors	A	0/5	15/50		10.210:GRAFFITI<US>OTHER<US> FREEFRM<US>FREEDOM<RS> GRAFFITTI<US>GRAFFITTI<US> SYMBOL<US>GANG<US>GANG INSIGNIA(GS>	C 4 19
(A)	Photo Source	A	1	8			M
(B)	Photo General Class	A	1	4/8			O
(C)	Photo Sub-class	A	1	3/9			O
(D)	Photo Descriptive text	A	0/1	0/25			O
10.211	Photo Size	N	0/1	6/10		10.211:134<US>1018<GS>	C 4 19
(A)	Height	N	1	3/5			M

** If two values are given, they are the minimum and maximum allowed

Type = A(lphabetic) space, A-Z (upper case only)
B(inary)
N(umeric) 0 thru 9, decimal point
S(pecial) see Special Char. column

When used
M(andatory) then always include field
O(ptional) then include field whenever known
C(onditional) see notes for specifics
Also, see Appendix F

B-8 Fields for Type-10 Mugshot/SMT Photo Image Records

Tag Number	Field Name	Type	Occ **	Size **	Special Char.	Example	*
(B)	Width	N	1	3/5			M
10.212	Photo color	A	0/5	3/20		10.212:ORANGE<US>GREEN<RS> BLUE<US>RED<GS>	O 19
10.999	Image Data	B	1	0		{Binary data}	M

** If two values are given, they are the
   minimum and maximum allowed

Type = A(lphabetic) space, A-Z (upper case only)
       B(inary)
       N(umeric) 0 thru 9, decimal point
       S(pecial) see Special Char. column

When used
 M(andatory) then always include field
 O(ptional) then include field whenever known
 C(onditional) see notes for specifics
Also, see Appendix F

APPENDIX F

Reference Notes for All Appendices

Reference Number	Reference Note
1	This field may be included when fingerprint images are included in the transmission
2	If a photo of the SMT is NOT being included, this information is placed in the Type-2 record.
3	This information should be included whenever known.
4	This field is required when the IMAGE TYPE is OTHER
5	The first occurrence must be Record Type 1.
6	For the first occurrence, this is a count of the remaining occurrences. For all other occurrences, it is the Incremental Data Counter of the record.
7	If no fingerprint images are being included, value is 00.00.
8	This field is mandatory whenever Fingerprint Images are included in the transaction and any finger is amputated or rolled impression was not made for some reason.
9	Either 2.1302 or 2.1305 or 2.1307 must be present. 2.1302 is used for local facilities, 2.1305 or 2.1307 are used for state facilities.
10	Either 2.1312 or 2.1313 must be present. 2.1312 is used for local facilities and 2.1313 is used for state facilities.
11	Either 2.0045, 2.1217, or 2.1334 must be present
12	This field MUST be included if less than 14 Type-4 / Type-14 records are present.

Reference Number	Reference Note
13	Only include this field when the transaction is a resubmission for a previously rejected transaction.
14	Either 2.1317 or 2.1322 must be present. 2.1317 is used for state facilities and 2.1322 is used for local facilities.
15	Multiple occurrences of this information use 2.1223 (Crime matrix).
16	Used for Facial Photos.
17	Used when subjects pose (10.20) is Angled.
18	Used for SMT Photos.
19	Used when Image Type (10.3) is Other.
20	NYSID and CJTN required if type 10 records (mugshot) are present.
21	If FBI response is not available at the conclusion of DCJS processing, and the transaction was sent electronically to the FBI, a separate message will be forwarded by DCJS via eJusticeNY when the FBI responds to DCJS.
22	Certain types of transactions do not always result in NYSID number. For example, a Criminal Inquiry that is not identified will not have a NYSID Number assigned.
23	Whichever of these fields was in the transaction to DCJS may be included in the response
24	Field is only for arrest processing - other types of transactions will not have this field.
25	If not a US Citizen, must provide if known to contributor

Reference Number	Reference Note
26	Obsolete – this reference note is no longer utilized
27	This field is only included when fingerprint images are being submitted and the scanning device is equipped with the necessary supporting software.
28	A value of 110 is interpreted as older than 109.
29	If a photo of SMT is being submitted, place this information in the type-10 record with photo.
30	Either 2.1204 or 2.1205 MUST be present
31	If one is not included, one will be assigned by DCJS and returned in response.
32	Include only if different from Court of Arraignment (2.1216)
33	Only include when directed to do so by DCJS.
34	When reason submitted (2.1200) is Probation Report investigation or Violation of Probation, either 2.1301 or 2.1303 MUST be present.
35	When reason submitted (2.1200) is Early Discharge Request, either 2.1302 or 2.1305 Must be present.
36	When reason submitted (2.1200) is Parole Investigation or Revocation Investigation, either 2.1304, 2.1306, or 2.1307 MUST be present.
37	Field MUST be present when reason submitted (2.1200) is Arrest for non-fingerprintable offense(s).
38	Version of NIST standard being adhered to.
39	Must be unique for Originating Agency (1.8).

Reference Number	Reference Note
40	Version of New York standard being adhered to.
41	Only use if First Reference is already populated.
42	If this information item is submitted, contact DCJS for proper contents.
43	Left justify, space fill.
44	Officer must be employed by the arresting agency (2.1201).
46	Obsolete
47	Contents MUST be different for 2.1110 (Name).
48	A TCR is contained in all response from DCJS. If a transaction needs to be resubmitted to DCJS, this TCR should be included in the new message.
49	DCJS does not support this field at this time. Contact DCJS before development of Civil Submission Begins.
50	Field must be present on initial entries and for resubmissions that were previously rejected for payment reasons.
51	See Table T8 for valid Prefix codes and Table T9 for valid Suffix codes
52	Field is for civil submissions and is included only when directed to do so by DCJS.
53	Field is required for civil submissions requesting FBI processing when the submitter has a U&D agreement which allows submission to FBI but is not required.

Reference Number	Reference Note
54	For FBI resubmission, both Civil and Criminal, the data will be compared with original data sent to DCJS to ensure the resubmission is for the same person. Any discrepancy will cause a rejection message to be returned to the submitter.
55	For New Systems that have capability to report multiple incidents
56	For Date of Death, if unknown, use tag 2.102 Reported Date of Death.
57	Required for CoopParole Submissions
58	Required for CoopProbation Submissions
59	Required for NYC Death Submissions. For NYC only, the first character must be a D and the second character must be numeric
60	Required unless given an undetermined sentence (Life, Indefinite, etc.). See Table T11 for Other Sentence Values.
61	If given and undetermined sentence (Life, Indefinite, etc.), tag 2.1338 (Other Sentence) must contain a 1 and no Expiration Date submitted. See Table T11 for Other Sentence Values.
62	Supplied for DCJS Identifications results for MAPCIV submissions which are identified to Non-Criminal only histories
63	Required for Pistol Permits. For all other civil licenses/applicants, should be supplied when known. For Pistol Permits, US Citizenship information must be supplied in either (tag 2.1118 US Citizenship Status) or (tag 2.21 Country of Citizenship and tag 2.1109 Citizenship Status). It is preferred that tag 2.1109 be supplied when supplying tag 2.21.

Reference Number	Reference Note
64	Alien Number required for Pistol Permits if not of US Citizen status. For US Citizenship requirements, refer to descriptions for tag 2.21, 2.1118, and 2.1109 under 4.3.6 Record Descriptions section. The Alien Number can be provided in either tag 2.17 Miscellaneous Number or tag 2.1119 Alien Registration Number. I-94 Non-Immigrant Number can only be provided in tag 2.17 at this time.
65	PLACEHOLDER
66	For DEUDEC, either Date of Birth (Tag 2.22) or estimated Age (Tag 2.1116) must be provided.
67	Ethnicity is now mandatory for all submissions. DCJS requests that vendors installing new systems include the ethnicity tag (2.1115) in all submissions.
68	Arrest number (2.1210) will now be a mandatory field. Local Person ID Number (2.1102) should not be used as the arrest number since it causes duplicate arrests when a subject is arrested more than once in the same day. DCJS is requesting that vendors make any necessary changes to accomplish this by July 23, 2012
69	This number is generated by the FBI based on specific rules they apply during the number creation process. Number formats and check digit-routines are described in Appendix H of the NFF/III manual.
70	DCJS is requiring that docket number be increased to 15 characters by September 1, 2012 and 20 characters by an estimated date of June 2013. The EBTS will be updated as the 2013 date becomes confirmed.
71	PLACEHOLDER
72	PLACEHOLDER
73	2.1217 MUST be present for DOCCS submissions
74	Only utilized by MAPCIV transactions with a civil type of 174 (Public Record Review - Unsuppressed) or 274 (Public Record Review - Suppressed)
75	Civil Submission Only
76	This field is mandatory for arrest transactions unless crime matrix (2.1223) is included in the fingerprint submission. This field will be ignored if crime matrix is submitted with the submission.

APPENDIX G
Field Xref

G-1 Data Elements Xref by Field Tag

Tag Number	Field Name	Obsolete
2.0001	Logical Record Length	
2.0002	Incremental Data Counter	
2.0014	FBI Number	
2.0016	Social Security Number	
2.0017	Miscellaneous Number	
2.0022	Date of Birth	
2.0027	Height	
2.0029	Weight	
2.0035	Palm Print Availability	
2.0045	Date of Arrest	
2.0060	Message Text	
2.0067	Image Processing Field	
2.0067 (A)	Make	
2.0067 (B)	Model	
2.0067 (C)	Serial Number	
2.0084	Missing Fingers	
2.0084 (A)	Finger Position	
2.0084 (B)	Reason Missing	
2.0085	FBI Civil Record Number	
2.0100	Date of Death	
2.0102	Reported Date of Death	
2.1000	Resubmission Indicator	
2.1001	Fingerprinter	
2.1002	Fingerprinters ID	
2.1003	Person Submitting	
2.1004	ID of Person submitting	
2.1006	Date Fingerprints Taken	

Tag Number	Field Name	Obsolete
2.1008	Image Size Override	
2.1008 (A)	Finger Number	
2.1008 (B)	Override Action	
2.1009	Image Quality Override	
2.1009 (A)	Finger Number	
2.1009 (B)	Override Action	
2.1010	Finger Position Override	
2.1010 (A)	Finger Number	
2.1010 (B)	Override Action	
2.1030	Local Identifier Designation	
2.1053	FBI Submission	
2.1054	State Submission	
2.1073	Image Type	
2.1074	Photo Date	
2.1075	Subject=s Pose	
2.1077	Photo Number	
2.1090	Error code	
2.1091	DCJS Processing Results	
2.1091 (A)	Identification Processing	
2.1091 (B)	NYSID number	
2.1091 (C)	Wanted / Missing Status	
2.1091 (D)	III Status	
2.1092	III Search Indicator	
2.1093	FBI Processing Message Text	
2.1094	NCIC Person Indicator	
2.1095	NCIC Person Status	
2.1096	Name/SSN Match	
2.1097	Non-Criminal Only Indicator	

Tag Number	Field Name	Obsolete
2.1098	III Status Code	
2.1099	Wanted / Missing Status Code	
2.1100	Search Results	
2.1100 (A)	NYS Search Results	
2.1100 (B)	FBI Search Results	
2.1101	NYSID Number	
2.1102	Local Person ID Number	
2.1109	Citizenship Status	
2.1110	Name	
2.1110 (A)	Last Name	
2.1110 (B)	First Name	
2.1110 (C)	Middle Name	
2.1110 (D)	Name Prefix	
2.1110 (E)	Name Suffix	
2.1111	Alias / Maiden Name	
2.1111 (A)	Last Name	
2.1111 (B)	First Name	
2.1111 (C)	Middle Name	
2.1111 (D)	Name Prefix	
2.1111 (E)	Name Suffix	
2.1112	Sex	
2.1113	Race	
2.1114	Skin Tone	
2.1115	Ethnic Origin	
2.1116	Age/Estimated Age	
2.1117	State / Country of Birth	
2.1118	US Citizenship	
2.1119	Alien Registration Number	

Tag Number	Field Name	Obsolete
2.1120	Eye Color	
2.1121	Hair Color	
2.1122	Photo Availability Indicator	
2.1123	SMT Descriptors	
2.1123 (A)	Source	
2.1123 (B)	General Class	
2.1123 (C)	Subclass	
2.1123 (D)	Descriptive Text	
2.1124	SMT Color	
2.1125	SMT Size	
2.1125 (A)	SMT Height	
2.1125 (B)	SMT Width	
2.1126	Scars, Marks, Tattoos	
2.1130	Home Address	
2.1130 (A)	Number and Street Name	
2.1130 (B)	Apartment # / Building Name	
2.1130 (C)	City Name	
2.1130 (D)	Country	
2.1130 (E)	State / Country	
2.1130 (F)	ZIP Code	
2.1132	Driver's License Number	
2.1132 (A)	State of Issuance	
2.1132 (B)	Number	
2.1136	Foreign Home Address	
2.1199	Contributor	
2.1199 (A)	ORI	
2.1199 (B)	Literal Name	
2.1200	Reason Submitted	

Tag Number	Field Name	Obsolete
2.1201	Arresting Agency	
2.1203	Arresting Agency Unit	
2.1204	Arresting Officer ID	
2.1205	Arresting Officer	
2.1206	Place of Arrest Code	
2.1207	Crime Time	
2.1208	Arrest Time	
2.1209	Arrest Type	
2.1210	Arrest Number	
2.1211	Weapon	
2.1212	Charges	
2.1212 (A)	Charge	
2.1212 (B)	NCIC Offense Code	
2.1212 (C)	Counts	
2.1213	Incident Number	
2.1214	Crime Date / Place	
2.1214 (A)	Date	
2.1214 (B)	Place	
2.1215	Warrant(s)	
2.1215 (A)	Wanting Agency	
2.1215 (B)	Date of Warrant	
2.1215 (C)	Warrant Number	
2.1216	Court of Arraignment	
2.1217	Criminal Justice Tracking Number	
2.1218	Court of Jurisdiction	
2.1220	Arrestee Number	
2.1221	Fax Control Number	
2.1221 (A)	Fax Year	

Tag Number	Field Name	Obsolete
2.1221 (B)	Fax Prefix	
2.1221 (C)	Fax Number	
2.1222	Assisting Agencies	
2.1224	FP Capture ORI	
2.1226	Domestic Incident Report	
2.1301	Client Identification Number	
2.1302	Inmate Identification Number	
2.1303	Probation Registration No.	
2.1304	Custodial Supervision Number	
2.1305	Department identification No.	
2.1306	Parole ID Number	
2.1307	DFY Case Number	
2.1308	Probation Case Number	
2.1309	Probation Date	
2.1310	Parole Release Date	
2.1311	Parole Discharge Date	
2.1312	Entry Date	
2.1313	Admission Date	
2.1314	Sentence Date	
2.1315	Release Date	
2.1317	Admission Reason	
2.1318	Supervision Reason	
2.1319	Probation/Parole Officer ID	
2.1320	Co-op State	
2.1321	Parole Area Assignment	
2.1322	Entry Reason	
2.1324	Incarceration Discharge Reason	
2.1325	Release Reason	

Tag Number	Field Name	Obsolete
2.1326	Parole Release Type	
2.1327	Case Closing Reason	
2.1328	Defendant Release Status	
2.1330	Custodial / Supervising Agency	
2.1331	Covered Arrest Date	
2.1332	Conditional Release Date	
2.1333	Max Expiration Date	
2.1334	Court Docket Number	
2.1335	Admission Sentence Type	
2.1336	Minimum Sentence (A) Years (B) Months (C) Days	
2.1337	Maximum Sentence (A) Years (B) Months (C) Days	
2.1338	Other Sentence	
2.1400	Offender ID Number	
2.1500	Civil Type	
2.1501	Agency ID Number	
2.1503	Civil Unique Identifier	
2.1510	Dead Number	

G-2 Data Elements Xref by Field Name

Tag Number	Field Name	Obsolete
2.1313	Admission Date	
2.1317	Admission Reason	
2.1335	Admission Sentence Type	
2.1116	Age/Estimated Age	
2.1501	Agency ID Number	
2.1111	Alias/Maiden Name	
2.1119	Alien Registration Number	
2.1130(B)	Apartment # / Building Name	
2.1212	Charges	
2.1210	Arrest Number	
2.1208	Arrest Time	
2.1209	Arrest Type	
2.1220	Arrestee Number	
2.1201	Arresting Agency	
2.1203	Arresting Agency Unit	
2.1205	Arresting Officer	
2.1204	Arresting Officer ID	
2.1222	Assisting Agencies	
2.1327	Case Closing Reason	
2.1212(A)	Charge	
2.1109	Citizenship Status	
2.1130(C)	City Name	
2.1500	Civil Type	
2.1503	Civil Unique Identifier	
2.1301	Client Identification Number	
2.1332	Conditional Release Date	
2.1320	Coop State	

Tag Number	Field Name	Obsolete
2.1199	Contributor	
2.1212(C)	Counts	
2.1130(D)	Country	
2.1334	Court Docket Number	
2.1216	Court of Arraignment	
2.1218	Court of Jurisdiction	
2.1331	Covered Arrest Date	
2.1214	Crime Date / Place	
2.1207	Crime Time	
2.1217	Criminal Justice Tracking Number	
2.1304	Custodial Supervision Number	
2.1330	Custodial / Supervising Agency	
2.1214(A)	Date	
2.1006	Date Fingerprints Taken	
2.0045	Date of Arrest	
2.0022	Date of Birth	
2.0100	Date of Death	
2.1215(B)	Date of Warrant	
2.1091	DCJS Processing Results	
2.1510	Dead Number	
2.1328	Defendant Release Status	
2.1305	Department Identification No.	
2.1123(D)	Descriptive Text	
2.1307	DFY Case Number	
2.1324	Incarceration Discharge Reason	
2.1226	Domestic Incident Report	
2.1132	Driver's License Number	
2.1312	Entry Date	

Tag Number	Field Name	Obsolete
2.1322	Entry Reason	
2.1090	Error Code	
2.1115	Ethnic Origin	
2.1333	Max Expiration Date	
2.1120	Eye Color	
2.1221	Fax Control Number	
2.1221(C)	Fax Number	
2.1221(B)	Fax Prefix	
2.1221(A)	Fax Year	
2.0085	FBI Civil Record Number	
2.0014	FBI Number	
2.1093	FBI Processing Message Text	
2.1100(B)	FBI Search Results	
2.1053	FBI Submission	
2.1008(A)	Finger Number	
2.1009(A)	Finger Number	
2.1010(A)	Finger Number	
2.0084(A)	Finger Position	
2.1010	Finger Positioning Override	01.00
2.1010	Finger Positioning Override	
2.1001	Fingerprinter	
2.1002	Fingerprinter's ID	
2.1110(B)	First Name	
2.1111(B)	First Name	
2.1224	FP Capture ORI	
2.1123(B)	General Class	
2.1121	Hair Color	
2.0027	Height	

Tag Number	Field Name	Obsolete
2.1130	Home Address	
2.1091(A)	Identification Processing	
2.1092	III Search Indicator	
2.1091(D)	III Status	
2.1098	III Status Code	
2.0067	Image Processing Field	
2.1009	Image Quality Override	
2.1008	Image Size Override	
2.1073	Image Type	
2.1213	Incident Number	
2.0002	Incremental Data Counter	
2.1302	Inmate Identification Number	
2.1110(A)	Last Name	
2.1111(A)	Last Name	
2.1315	Release Date	
2.1199(B)	Literal Name	
2.1030	Local Identifier Designation	
2.1102	Local Person ID Number	
2.0001	Logical Record Length	
2.0067(A)	Make	

2.1337	Maximum Sentence
	   (A) Years
	   (B) Months
	   (C) Days	

2.0060	Message Text	
2.1110(C)	Middle Name	
2.1111(C)	Middle Name	

2.1336	Minimum Sentence
	   (A) Years
	   (B) Months
	   (C) Days	

Tag Number	Field Name	Obsolete
2.0017	Miscellaneous Number	
2.0084	Missing Fingers	
2.0067(B)	Model	
2.1110	Name	
2.1110(D)	Name Prefix	
2.1111(D)	Name Prefix	
2.1110(E)	Name Suffix	
2.1111(E)	Name Suffix	
2.1096	Name/SSN Match	
2.1212(B)	NCIC Offense Code	
2.1094	NCIC Person Indicator	
2.1095	NCIC Person Status	
2.1097	Non-Criminal Only Indicator	
2.1132(B)	Number	
2.1130(A)	Number and Street Name	
2.1100(A)	NYS Search Results	
2.1091(B)	NYSID Number	
2.1101	NYSID Number	
2.1400	Offender ID Number	
2.1199(A)	ORI	
2.1338	Other Sentence	
2.1008(B)	Override Action	
2.1009(B)	Override Action	
2.1010(B)	Override Action	
2.0035	Palm Print Availability	
2.1321	Parole Area Assignment	
2.1211	Parole Discharge Date	
2.1306	Parole ID Number	

Tag Number	Field Name	Obsolete
2.1310	Parole Release Date	
2.1326	Parole Release Type	
2.1003	Person Submitting	
2.1004	ID of Person Submitting	
2.1122	Photo Availability Indicator	
2.1074	Photo Date	
2.1077	Photo Number	
2.1214(B)	Place	
2.1206	Place of Arrest Code	
2.1308	Probation Case Number	
2.1309	Probation Date	
2.1319	Probation/Parole Officer ID	
2.1303	Probation Registration No.	
2.1113	Race	
2.0084(B)	Reason Missing	
2.1200	Reason Submitted	
2.1325	Release Reason	
2.0102	Reported Date of Death	
2.1000	Resubmission Indicator	
2.1126	Scars, Marks, Tattoos	
2.1100	Search Results	
2.1314	Sentence Date	
2.0067(C)	Serial Number	
2.1112	Sex	
2.1114	Skin Tone	
2.1124	SMT Color	
2.1123	SMT Descriptors	
2.1125(A)	SMT Height	

Tag Number	Field Name	Obsolete
2.1125	SMT Size	
2.1125(B)	SMT Width	
2.0016	Social Security Number	
2.1123(A)	Source	
2.1132(A)	State of Issuance	
2.1054	State Submission	
2.1130(E)	State / Country	
2.1117	State / Country of Birth	
2.1123(C)	Subclass	
2.1075	Subjects Pose	
2.1318	Supervision Reason	
2.1118	US Citizenship	
2.1091(C)	Wanted / Missing Status	
2.1099	Wanted /Missing Status Code	
2.1215(A)	Wanting Agency	
2.1215(C)	Warrant Number	
2.1215	Warrant(s)	
2.1211	Weapon	
2.0029	Weight	

APPENDIX H

Photo Specifications

Ideal Photo Image Guidelines
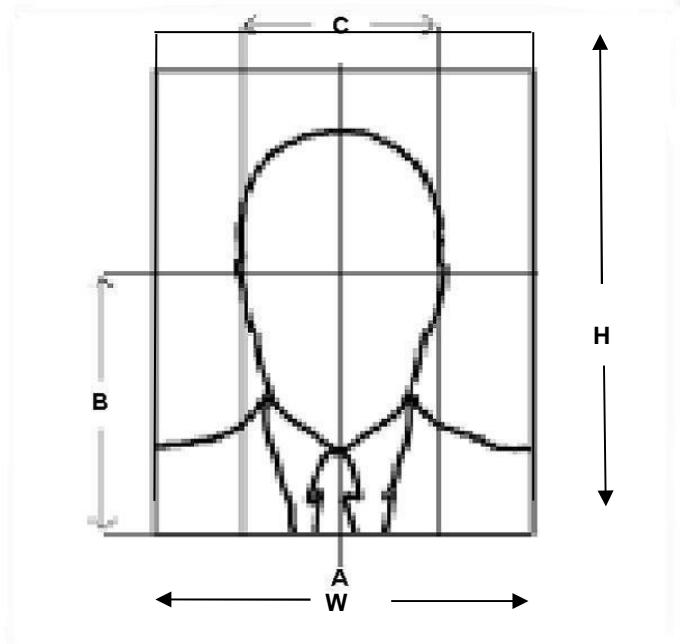
Notes:

A. Height of photo is 1.25 times the width (H = 1.25W)

B. Center of eyes are approximately 55% of the height of the photo, as measured up from the bottom of the photo image (B = .55H)

C. The width of the head (as measured between where the ears attach) should be about half the total width of the photo (C = .5W)

D. If possible, glasses, hats, and any other visually distracting items should be removed prior to the photo capture process

E. No booking placard (noting agency name, date, arrest number, etc.), height measurements on the wall behind the subject, nor any other prejudicial indicators, references, or markers should be used. (Any inference as to a subject's possible criminal history or activity reduces the suitability of the photo for subsequent use in photo line-ups.)

F. A minimum of three-point lighting should be utilized to fully illuminate the face and eliminate facial shadows as much as possible

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G.	Orange or distinctive Corrections-issued jumpsuits or clothing should be avoided, if possible

H.	The background color should be 18% gray

I.	The eyes should be open, looking at the camera, and the face should be expressionless, if possible

18% Gray

The term "18% gray" is a photographic industry standard to aid in determining exposure, lighting ratios, and color balance for cameras. It is basically a flat neutral gray color reflecting 18% of the light hitting it. It has become the standard used in the criminal justice community for backgrounds in the taking of photo images (mugshots), and is the standard recommended by the National Institute of Standards and Technology (NIST). As such, is has become the de facto standard at the FBI, DCJS, and most other state and local agencies in U.S. It is recommended that this backdrop color be utilized whenever photographic images are being shared among agencies, whether civilian or criminal.

While agencies may choose to come up with their own local version of "18% gray", utilizing fabric, Formica type products, or other possible solutions, the following paint formula was generated by taking an 18% gray card from a photography shop to a local home center (Home Depot in Latham, NY) and having it analyzed by their color paint match spectrometer. The formula is being furnished only for reference and as a convenience to those interested. **Please note that only FLAT paint should be used in this particular application.** (Disclaimer: DCJS is neither endorsing nor promoting Home Depot – this formula is being shared only as a courtesy.)

The following formula was suggested by a police agency in Suffolk County, possibly from a Sherman Williams store. It is not known how this formula was generated, but it is also thought to be NIST compliant and therefore acceptable to DCJS.

Item   Description
MUR2597-1 ULTRA WTRBRN FLAT DEEP BASE TINTED TO MOISE
EE - 2Y28 - BLK - 2oz 28 units
FF-3Y8 - Raw Umber
JJ - 8 - Yellow Oxide

TABLES

Table T1 – Type of Miscellaneous Values

Number Type	Identifying Code	Description
Air/Army National Guard Serial Number	AS	all numeric
Air Force Serial Number	AF	all numeric
Alien Registration Number	AR	alphanumeric (no blanks)
Canadian Social Insurance Number	CI	alphanumeric (no blanks)
FBI Fugitive Index Number	FB	alphanumeric (no blanks)
Identification Order Number	IO	alphanumeric (no blanks)
Immigration and Naturalization Service's Registration Number	IN	*Data Dictionary*
Marine Corps Serial Number	MC	alphanumeric (no blanks)
Mariners Document of Identification Number	MD	alphanumeric (no blanks)
National Agency Case Number	NA	alphanumeric (no blanks)
Port Security Card Number	PS	alphanumeric (no blanks)
Royal Canadian Mounted Police Identification	MP	alphanumeric (no blanks)
Royal Canadian Mounted Police Fingerprint Section Number	MP	alphanumeric (no blanks)
US Coast Guard Serial Number	CG	alphanumeric (no blanks)
US Navy Serial Number	NS	alphanumeric (no blanks)
US Passport Number	PP	alphanumeric (no blanks)
Veterans Administration Claim Number	VA	alphanumeric (no blanks)
Dept. of Motor Vehicle FS6 Receipt Number	MV	alphanumeric (no blanks)
I-94 Non-Immigrant Admission Number	AN	alphanumeric (no blanks)

Table T2 - Error Values, Literals and Resolutions

Value in Tag 2.1090	Literal in Tag 2.60	Resolution
01	JOB/LICENSE TYPE NOT AUTHORIZED FOR THIS AGENCY	The civil type submitted must be authorized per the contributor=s Use and Dissemination agreement with DCJS. Enter a valid civil type and resubmit.
02	DATE OF BIRTH/AGE DISCREPANCY	The year of birth added to the reported age should equal the current calendar year. If not, this error is returned. Review the date of birth and age fields, correct the appropriate entry data and resubmit.
03	REPORTED SEX/DATA DISCREPANCY	A discrepancy exists between the reported sex and the name reported (for example, the reported name is John and reported sex is Female). Correct the entry and resubmit.
04	FINGERPRINTS TOO DARK	Please re-roll the fingerprints; then, resubmit.
05	FINGERPRINTS NOT ROLLED NAIL TO NAIL OR BELOW THE FIRST KNUCKLE	Please re-roll the fingerprints; then, resubmit.
06	ROLLED IMPRESSIONS TRANSPOSED (MIXED)	Please re-roll the fingerprints; then, resubmit.
07	PLAIN IMPRESSIONS TRANSPOSED	Please re-roll the fingerprints; then, resubmit.
08	FINGERPRINT(S) MISSING FROM ROLLED IMPRESSIONS	Please re-roll the fingerprints; then, resubmit.
09	ONE OR MORE FINGERS PRINTED TWICE	Please re-roll the fingerprints; then, resubmit.
10	FINGERPRINTS NOT IN PROPER ORDER	Please re-roll the fingerprints; then, resubmit.
13	SIGNATURE ILLEGIBLE	The signature appears not to match the reported name, and is not legible enough to add as an alias.

Value in Tag 2.1090	Literal in Tag 2.60	Resolution
16	NAME/SIGNATURE DISCREPANCY	The reported name does not match the signature submitted. Ensure the fingerprints submitted are for the named individual; otherwise, re-roll. Resubmit.
17	MISSING SEX	Please correct the entry to conform to Data Standards and resubmit.
18	MISSING DOB	Please correct the entry to conform to Data Standards and resubmit.
19	MISSING REASON FINGERPRINTED	Only reasons supported by the Contributor's U&D agreement are valid. Please include a valid reason fingerprinted and resubmit.
20	DATA ERROR	Please correct the entry to conform to Data Standards and resubmit.
21	FINGERPRINTS TOO LIGHT	Please re-roll the fingerprints; then, resubmit.
22	SMUDGED FINGERPRINTS	Please re-roll the fingerprints; then, resubmit.
23	FINGERPRINT(S) MISSING FROM THE PLAIN IMPRESSIONS	Please re-roll the fingerprints; then, resubmit.
24	THE SAME HAND PRINTED TWICE	Please re-roll the fingerprints; then, resubmit.
25	SYSTEM ERROR	Please correct the entry to conform to Data Standards and resubmit.
26	ON-LINE/CARD DATA MISMATCH	Please correct the entry to conform to Data Standards and resubmit.
28	NAME INVALID	Please correct the entry to conform to Data Standards and resubmit. Ensure that suffixes and prefixes are not submitted as a first name.

Value in Tag 2.1090	Literal in Tag 2.60	Resolution
27	DUPLICATE CJTN/OBTS NUMBER	Please correct the entry to conform with Data Standards or omit the data; then, resubmit.
29	STREET INVALID	Please correct the entry to conform with Data Standards or omit the data; then, resubmit.
30	CITY INVALID	Please correct the entry to conform to Data Standards or omit the data; then, resubmit.
31	STATE INVALID	Please correct the entry to conform to Data Standards or omit the data; then, resubmit.
32	ZIP INVALID	Please correct the entry to conform to Data Standards or omit the data; then, resubmit.
33	RACE INVALID OR MISSING	Please correct the entry to conform to Data Standards and resubmit.
34	SSN INVALID	SSN must be 9 numerics. Omit when the subject has a SSN in another format.
36	DOB GREATER THAN OR EQUAL TO FINGERPRINT DATE	The Date of Birth reported must precede the Fingerprint Date or, if Fingerprint Date is not reported, other reported dates in the transaction. Please verify/correct the date entries in the transaction and resubmit.
37	HEIGHT INVALID	Valid heights are between 3' and 8'3". Please correct the entry or omit the tag; then, resubmit.
38	FINGERPRINT DATE CANNOT BE OLDER THAN 15 YEARS	The Date Fingerprinted must be within 15 years of the current date. Please verify the data and resubmit with the appropriate date. If the date submitted is correct, do not resubmit via Store and Forward; mail the hardcopy fingerprint card to DCJS.

Value in Tag 2.1090	Literal in Tag 2.60	Resolution
39	EXPIRATION DATE MUST BE LATER THAN PAROLE DATE	Please correct the entry to conform to Data Standards and resubmit.
40	EXPIRATION DATE INVALID	Please correct the entry to conform to Data Standards and resubmit.
41	TRANSFER FROM PLACE INVALID	Please correct the entry to conform to Data Standards and resubmit.
42	TRANSFER PLACE INVALID	Please correct the entry to conform to Data Standards and resubmit.
43	PAROLE DATE INVALID	Please correct the entry to conform to Data Standards and resubmit.
44	PAROLE CASE NUMBER/PAROLE ID INVALID	Please correct the entry to conform to Data Standards and resubmit.
45	OFFICER INVALID	Please correct the entry to conform to Data Standards and resubmit.
46	CASE NUMBER INVALID	Please correct the entry to conform to Data Standards and resubmit.
47	PROBATION DATE INVALID	Please correct the entry to conform to Data Standards and resubmit.
48	TRANSFER PLACE INVALID	Please correct the entry to conform to Data Standards and resubmit.
49	AGE IS LESS THAN REQUIRED MINIMUM	The reported age of the offender must be at least 16. DCJS cannot accept parole or probation transactions for juveniles.
50	AREA OF ASSIGNMENT INVALID OR MISSING	A valid entry is required for processing. Please correct the entry to conform to Data Standards and resubmit.
51	DOB IS MISSING	A valid entry is required for processing. Please correct the entry to conform to Data Standards and resubmit.

Value in Tag 2.1090	Literal in Tag 2.60	Resolution
52	SEX INVALID OR MISSING	A valid entry is required for processing. Please correct the entry to conform to Data Standards and resubmit.
53	ADMISSION DATE LESS THAN ARREST DATE	The arrest date reported must precede the admission date reported. Please correct the entry and resubmit.
54	EXPIRATION DATE MUST BE GREATER THAN CONDITIONAL RELEASE DATE	The conditional release date reported must precede the expiration date reported. Please correct the entry and resubmit.
55	ADMISSION DATE GTR TODAYS DATE OR MISSING	A valid entry is required for processing. Date cannot be in the future. Please correct the entry to conform to Data Standards and resubmit.
56	AGE IS GREATER THAN THE MAXIMUM ALLOWED	The age submitted exceeds the maximum allowed. Please verify the entry in Date of Birth/Age. Correct the entry and resubmit.
57	NAME AND/OR ALIAS NAME INVALID	Please correct the entry to conform to Data Standards. Ensure that suffix or prefix is not entered in first name field. Resubmit.
58	NAME INVALID	Please correct the entry to conform to Data Standards. Ensure that suffix or prefix is not entered in first name field. Resubmit.
59	ARREST DATE INVALID	Please correct the entry to conform to Data Standards or omit the data; then, resubmit.
60	ADMISSION REASON INVALID	Please correct the entry to conform to Data Standards and resubmit.

Value in Tag 2.1090	Literal in Tag 2.60	Resolution
61	ARREST DATE, OBTS, DOCUMENT NUM MISSING – 1 REQUIRED	A valid entry in at least one of these fields is required for processing. Please correct the entry to conform to Data Standards and resubmit.
62	OBTS NUMBER INVALID	OBTS must include a valid checkdigit. Please correct the entry to conform to Data Standards or omit the data; then, resubmit.
63	SENTENCE LENGTH ERROR	Please correct the entry to conform to Data Standards or omit the data; then, resubmit.
64	COURT CASE NUMBER INVALID	Please correct the entry to conform to Data Standards and resubmit.
65	COURT OF JURISDICTION INVALID	Please correct the entry to conform to Data Standards and resubmit.
66	CONDITIONAL RELEASE DATE INVALID	Please correct the entry to conform to Data Standards or omit the data; then, resubmit.
67	DEATH DATE INVALID	Please correct the entry to conform to Data Standards and resubmit.
68	RECORD NUMBER/AGENCY ID INVALID	Please correct the entry to conform to Data Standards and resubmit.
69	FINGERPRINT/DEATH REPORTED DATE INVALID	Please correct the entry to conform to Data Standards and resubmit.
70	DATE DEATH REPORTED/DEATH DATE POLICY ERROR	Date of Death is required. If unknown, please enter the Date Fingerprinted and resubmit. The entry must conform to Data Standards.

Value in Tag 2.1090	Literal in Tag 2.60	Resolution
71	DUPLICATE COURT CASE NUMBERS	Please enter a unique Court Case Number and resubmit
72	EXPIRATION DATE MUST BE GREATER THAN ADMISSION DATE	The Admission Date must precede the Expiration Date. Please correct the entries and resubmit.
73	DATE OF BIRTH OR AGE REQUIRED	Please correct the entry to conform to Data Standards and resubmit.
74	DATE OF BIRTH OR AGE INVALID	Please correct the entry to conform to Data Standards and resubmit.
75	YEAR OF BIRTH INVALID/MISSING	A valid entry is required for processing. Please correct the entry to conform to Data Standards and resubmit.
76	FBI NUMBER INVALID	Please correct the entry to conform to Data Standards or omit the data; then, resubmit.
77	AGENCY ID INVALID	Please correct the entry to conform to Data Standards and resubmit.
78	OTHER DEPARTMENT ID INVALID	Please correct the entry to conform to Data Standards or omit the data; then, resubmit.
79	STATE DEPARTMENT ID INVALID	Please correct the entry to conform to Data Standards and resubmit.
80	REPORTING ORI INVALID	The ORI submitted is not valid. Please correct the entry to conform to Data Standards and resubmit.
81	BOTH STATE AND OTHER DEPT ID REPORTED	Please correct the entry to conform to Data Standards and resubmit.

Value in Tag 2.1090	Literal in Tag 2.60	Resolution
82	REASON FINGERPRINTED INVALID OR MISSING	Only reasons supported by the Contributor's U&D agreement are valid. Please correct the entry to conform to Data Standards and resubmit.
83	DATE FINGERPRINTED INVALID	Please correct the entry to conform to Data Standards and resubmit.
85	DUPLICATE FAX NUMBER	Please assign a unique fax number and resubmit.
86	DUPLICATE OLBS NUMBER	Please assign a unique OLBS number and resubmit.
87	CRIME PLACE INVALID	Please correct the entry to conform to Data Standards or omit the data; then, resubmit.
88	CRIME DATE INVALID OR MISSING	Please correct the entry to conform to Data Standards or omit the data; then, resubmit.
89	ARREST PLACE INVALID	Please correct the entry to conform to Data Standards and resubmit.
90	COURT OF ARRAIGNMENT MISSING	A valid entry is required for processing. Please correct the entry to conform to Data Standards and resubmit.
91	ARREST OFFICER ID INVALID	Please correct the entry to conform to Data Standards or omit the data; then, resubmit.
92	COURT OF ARRAIGNMENT INVALID	The ORI submitted is not valid. Please correct the entry to conform to Data Standards and resubmit.
93	ARREST CASE NUMBER INVALID	Please correct the entry to conform to Data Standards or omit the data; then, resubmit.

Value in Tag 2.1090	Literal in Tag 2.60	Resolution
94	ARREST NUMBER INVALID	Please correct the entry to conform to Data Standards or omit the data; then, resubmit.
95	WEAPON CODE INVALID	Please correct the entry to conform to Data Standards or omit the data; then, resubmit.
96	BOOKING SITE INVALID	Please correct the entry to conform to Data Standards and resubmit.
97	ARRESTING AGENCY ORI INVALID OR MISSING	A valid entry is required for processing. Please correct the entry to conform to Data Standards and resubmit.
98	CONTRIBUTING AGENCY ORI INVALID	The ORI submitted is not valid. Please correct the entry to conform to Data Standards and resubmit.
99	ARREST DATE/TIME QUALIFIER INVALID	Please correct the entry to conform to Data Standards or omit the data; then, resubmit.
100	NYCPD CATCH SYSTEM NUMBER INVALID	Please correct the entry to conform to Data Standards or omit the data; then, resubmit.
101	ARREST STATUS CODE INVALID	Please correct the entry to conform to Data Standards or omit the data; then, resubmit.
102	ARREST TYPE CODE INVALID	Please correct the entry to conform to Data Standards or omit the data; then, resubmit.
103	SUPPORTING ARREST ORI INVALID	The ORI submitted is not valid. Please correct the entry to conform to Data Standards or omit the data; then, resubmit.

Value in Tag 2.1090	Literal in Tag 2.60	Resolution
107	ARREST NUMBER MISSING	A valid entry is required for processing. Please add the missing data and resubmit.
108	BOOKING SITE/CONTRIBUTOR MISMATCH	Please correct the entry to conform to Data Standards and resubmit.
109	CRIME PLACE OUT OF STATE	An arrest transaction with a crime place outside New York State is not a valid arrest transaction. Correct Crime Place information and resubmit, or, if Crime Place is outside New York State, submit a criminal inquiry transaction instead of an arrest.
110	ARREST PLACE OUT OF STATE-CRIME PLACE REQUIRED	An out of state arrest is only valid when the crime was committed in New York State. Add Crime Place to the transaction and resubmit or submit a criminal inquiry transaction instead of an arrest.
112	ORI IS OBSOLETE	The ORI submitted in obsolete. Please correct the entry and resubmit.
113	MISSING IMAGES	Re-roll new fingerprints and resubmit transaction.
114	MIXED ID NOT PROCESSED	Re-roll new fingerprints and resubmit transaction.
115	POOR PRINTS	Re-roll new fingerprints and resubmit transaction.
116	MIXED ID PROCESSED	Re-roll new fingerprints and resubmit transaction as a new transaction.

Value in Tag 2.1090	Literal in Tag 2.60	Resolution
117	EQUIPMENT/TRANSMISSION PROBLEM	This is a typical rejection for a fingerprint card that is scanned. If this was a scanned fingerprint card, please rescan the card correctly and resubmit the transaction. If the original fingerprints were from a >live scan=, you may be able to just resubmit the transaction without re-rolling the prints. Contact DCJS Communications Unit if you have any questions regarding this rejection.
120	VOIDED - DUPLICATE ARREST	This transaction has been manually voided because it was determined to be a duplicate of a previous arrest transaction already received at DCJS. Do not resubmit this transaction.
121	NONFINGERPRINTABLE CHARGE FOR JD	A valid JD charge is required for processing a juvenile delinquent arrest. Resubmit with valid charge for the offender based on his age at the time of the offense.
129	SENTENCE LENGTH INVALID FOR LOCAL FACILITY	A local facility cannot report sentences over 1 year as a corrections admission. Reprocess the transaction as a criminal inquiry.
130	INVALID CHARGE	The charge submitted is not valid. Correct the entry or omit the invalid charge; then, resubmit.
131	PROCESS AS A JUVENILE DELINQUENT	The date of birth and/or charge(s) submitted does not meet requirements for processing as an adult arrest. Please verify the entry and resubmit.

Value in Tag 2.1090	Literal in Tag 2.60	Resolution
132	PROCESS AS AN JUVENILE OFFENDER	The date of birth and/or charge(s) submitted does not meet requirements for processing as a juvenile delinquent arrest. Please verify the entry and resubmit.
133	VALID JO CHARGE ABSENT	The date of birth and/or charge(s) submitted does not meet requirements for processing as a juvenile offender (adult) arrest. Please verify the entry and resubmit.
134	VALID JD CHARGE ABSENT	This transaction does not include a valid JD charge. Please verify the data and resubmit.
135	A CHARGE IS REQUIRED	A valid, fingerprintable charge is required for processing. Please add the missing data and resubmit.
136	VALID FINGERPRINTABLE CHARGE ABSENT	A valid, fingerprintable charge is required for processing. Please add the missing data and resubmit.
137	SUBJECT MUST BE 13 YEARS OR OLDER	The subject must be at least 13 years old. Please verify Date of Birth and/or Age and resubmit.
138	ARREST DATE IS EARLIER THAN CRIME DATE	The crime date reported must precede the reported arrest date. Please verify the data and resubmit.
139	FAMILY COURT REQUIRED FOR A JD	A juvenile delinquent must be arraigned in a family court. Please enter the appropriate family court ORI and resubmit.
140	MUST BE A COURT ORI	The ORI submitted as court of arraignment is not a court ORI. Please correct the data and resubmit.
141	FAMILY COURT IS NOT VALID FOR ADULT ARRESTS	An adult cannot be arraigned on arrest charges in a family court. Please correct the data and resubmit.

Value in Tag 2.1090	Literal in Tag 2.60	Resolution
142	ARREST DATE TOO OLD	The arrest date submitted must be within 15 years of the current date. Please verify the data and resubmit with the appropriate arrest date. If the arrest date submitted is correct, do not resubmit via Store and Forward; mail a hardcopy fingerprint card to DCJS.
143	PROCESS AS INQUIRY	This transaction did not include at least one fingerprintable arrest charge. Either resubmit with valid charge(s) or resubmit the transaction as a criminal inquiry.
144	CRIME PLC/CT OF ARRAIGN MUST BE ADJACENT COUNTIES	This edit is required for processing. Either the place of crime or the Court of arraignment ORI is incorrect. Please correct the data and resubmit.
145	IS NOT AN ARRESTING AGENCY	An ORI assigned to other than an arrest agency was submitted in the Arrest Agency ORI field. Please correct the entry and resubmit.
146	SUBJECT MUST BE 11-15 YEARS OLD	A juvenile delinquent must be between 11 and 15 years old. Please verify the data and resubmit as an adult arrest, or correct the Date of Birth/Age and resubmit as a juvenile.
148	PLAIN AND/OR ROLLED IMPRESSIONS TRANSPOSED	Re-roll new fingerprints and resubmit transaction.
149	ONE OR MORE FINGERS PRINTED OUT OF SEQUENCE OR DUPLICATED	Re-roll new fingerprints and resubmit transaction.
150	MISSING ONE OR MORE FINGERPRINT IMPRESSIONS	Re-roll new fingerprints and resubmit transaction.
152	CALCULATED JUVENILE AGE DISCREPANCY	A juvenile must be between 11 and 15 years old on the date of crime. Please verify the entries in Date of Birth, Age and Date of Crime fields and resubmit.

Value in Tag 2.1090	Literal in Tag 2.60	Resolution
153	DOB/AGE DISCREPANCY	There is a discrepancy between the reported Date of Birth and the reported Age. Correct the appropriate field and resubmit.
154	OBTS (CJ TRACKING NUM) IS MISSING	Please verify/correct the data reported and resubmit.
155	BOOKING SITE/ARREST NUMBER CANNOT EXCEED 10 CHARACTERS	Please verify/correct the data reported and resubmit.
156	AGENCY ID REQUIRED FOR DOCCS FACILITIES	A valid entry is required for processing. Please correct the entry to conform to Data Standards and resubmit.
157	FAX NUMBER TOO LARGE	The fax number submitted exceeds the last fax number updated from your site by more than 100. Please verify/correct the number reported and resubmit.
159	DOB REQUIRED FOR JUVENILES	A valid date of birth entry is required for processing. Please add that information and resubmit.
160	FAX YEAR IS INVALID	Ensure that the fax year entered is the year the transaction was transmitted. Fax Year is required when Fax Prefix and Fax Number are included in the transaction.
163	FBI NUMBER NOT ALLOWED FOR JUVENILES	FBI number was included in a CARJDR transaction. FBI numbers are not assigned to juvenile delinquents. Check to ensure the correct TOT was submitted. Correct the entry and resend.
165	FAX PREFIX INVALID	Ensure the correct fax prefix was sent in the transaction. If so, contact DCJS before reprocessing the transaction.
166	FAX NUMBER INVALID	Please correct the entry to conform to Data Standards and resubmit.
174	STREET2 IS INVALID	Please correct the entry to conform to Data Standards and resubmit.

Value in Tag 2.1090	Literal in Tag 2.60	Resolution
175	BIRTH PLACE IS INVALID	Please correct the entry to conform to Data Standards and resubmit.
176	ETHNICITY IS INVALID	Please correct the entry to conform to Data Standards and resubmit.
177	EYE COLOR INVALID	Please correct the entry to conform to Data Standards or omit the data; then, resubmit.
178	HAIR COLOR INVALID	Please correct the entry to conform to Data Standards or omit the data; then, resubmit.
179	SKIN TONE INVALID	Please correct the entry to conform to Data Standards or omit the data; then, resubmit.
180	LAST NAME IS BLANK	A valid entry is required for processing. If the subject=s name is not known, you may enter UNKNOWN.
181	LAST NAME IS INVALID	Ensure that a suffix or prefix was not entered in the last name field. Correct the entry and resubmit.
182	PREFIX IS INVALID	Please correct the entry to conform to Data Standards and resubmit.
183	SUFFIX IS INVALID	Please correct the entry to conform to Data Standards and resubmit.
184	SMT CODE INVALID	Please correct the entry to conform to Data Standards and resubmit.
185	SMT HEIGHT INVALID	Please correct the entry to conform to Data Standards and resubmit.
186	SMT SOURCE INVALID	Please correct the entry to conform to Data Standards and resubmit.
187	SMT WIDTH INVALID	Please correct the entry to conform to Data Standards and resubmit.

Value in Tag 2.1090	Literal in Tag 2.60	Resolution
188	COMPARE TO DATE BLANK	One of the date fields is blank, and is required for processing. Please include the missing information and resend the transaction.
189	SMT TEXT INVALID	Please correct the entry to conform to Data Standards and resubmit.
190	SMT CATEGORY INVALID	Please correct the entry to conform to Data Standards and resubmit.
191	SMT COLOR INVALID	Please correct the entry to conform to Data Standards and resubmit.
192	COUNTY IS INVALID	Please correct the entry to conform to Data Standards and resubmit.
193	WEIGHT IS INVALID	Valid weights are between 50 and 500 pounds. Please correct the entry, or omit the tag; then, resubmit.
194	ONLINE DATA NOT SENT	Resubmit the online data and return the fingerprint card to DCJS. The fee for this transaction has been retained
195	FAX PREFIX AND FAX NUMBER IS MISSING	Please include and resubmit
196	DUPLICATE ORI/UNIQUE ID	This ORI/Unique ID combination has been previously submitted and updated to the database. Please correct the entry and resubmit, or contact DCJS for instruction.
197	INVALID SUBMISSION FOR THIS CUSTOMER	The civil type submitted must be valid for the Contributor ORI submitted, based on the agency=s U&D agreement. Enter a valid civil type and ORI; resubmit.

Value in Tag 2.1090	Literal in Tag 2.60	Resolution
198	INVALID OR MISSING UNIQUE ID	Please include the UniqueID, when missing. When submitted, ensure the UniqueID is numeric.
199	INVALID ORI OR AGENCY CODE	Please correct the entry and resubmit
200	INVALID CHECKDIGIT FOR NYSID	Please correct the entry and resubmit
201	ADMISSION REASON INVALID FOR STATE FACILITY	Please correct the entry and resubmit
202	ADMISSION REASON INVALID FOR LOCAL FACILITY	Please correct the entry, utilizing an admission reason in Table T10, and resubmit
203	INVALID ALIEN REGISTRATION NUMBER	Please correct the entry and resubmit
204	INVALID COUNTRY CODE	Please correct the entry and resubmit
208	INVALID COURT OF ARRAIGNMENT, SUPERIOR COURT IS REQUIRED FOR AO AND JO ARRESTS	Please correct the entry and resubmit
220	CONTRIBUTOR ERROR: LAST NAME NOT HYPHENATED PROPERLY	Please correct the entry and resubmit
221	CONTRIBUTOR ERROR: SUFFIX ENTERED INCORRECTLY	Please correct the entry and resubmit
222	CONTRIBUTOR ERROR: SCANNED WRONG FINGERPRINT IMAGES	Please rescan (live scan) or reprint and rescan (card scan) the fingerprint images and resubmit
230	PROBATION CASE NUMBER INVALID	Please correct the entry to conform with Data Standards or omit the data; then, resubmit.
231	PAROLE RELEASE TYPE INVALID	Please correct the entry to conform with Data Standards or omit the data; then, resubmit.

Value in Tag 2.1090	Literal in Tag 2.60	Resolution
248	ARREST CHARGES SUBMITTED ARE NOT FINGERPRINTABLE PER PL 30.00	Please correct the entry and resubmit
250	BAD ROLL-SLIPPAGE/PRESSURE	Please rescan (live scan) or reprint and rescan (card scan) the fingerprint images and resubmit
251	CARD MISALIGNED ON SCANNER	Please rescan (card scan) the fingerprint images and resubmit
252	SAME SCAN...PRIOR EVENT	As fingerprint images from a prior event (for example, a prior arrest on file, or a prior job application) should not be used for a new event that requires a fingerprint submission to DCJS, please scan (live scan) or print and rescan (card scan) new fingerprint images and resubmit.
253	AMP/BAND/SCARRED	Please rescan (live scan) or reprint and rescan (card scan) the fingerprint images and resubmit.
255	POSSIBLE DUP EVENT - AWAITING CONTRIBUTOR RESPONSE	Please contact DCJS Office of Identification Systems at 1-800-262-4515 to rectify this situation.
260	NYSID, CTN, DIN COMBINATION NOT VALID	Please enter a valid NYSID, CJTN, DIN Combination.
301	ADMISSION DATE MUST BE GREATER THAN BIRTH DATE	Please correct the entry and resubmit
309	CONTRIBUTING AGENCY ORI MISSING.	Please correct the entry and resubmit
322	INVALID CIVIL TYPE.	Please correct the entry and resubmit
488	THE CIVIL TYPE IS INVALID, IT SHOULD BE A NUMERIC VALUE.	Please correct the entry and resubmit
491	THE PAROLE AREA OF ASSIGNMENT IS INVALID.	Please correct the entry and resubmit
500	JUVENILE EXPUNGEMENT	Please correct the entry and resubmit

Value in Tag 2.1090	Literal in Tag 2.60	Resolution
600	MISSING/ INCORRECT FORMAT TRANSACTION SUBMISSION	Do not resend; problem requires manual intervention to ascertain nature of problem. Do not attempt to send any other messages with this TOT to DCJS until then.
607	INVALID INS STATUS	Please correct the entry and resubmit
608	EITHER BORN IN US OR OUTSIDE. NOT BOTH	Please correct the entry and resubmit
700	DUPLICATE SUBMISSION DISCARDED - ORIGINAL IS BEING RETAINED	No further action required for this transaction - the original transaction with the same ORI (1.8) and TCN (1.9) is being processed.
701	UNABLE TO PROCESS- POOR IMAGE QUALITY	Obtain new fingerprint images, and then resubmit.
702	INSUFFICIENT NUMBER OF FINGERPRINT IMAGES SUBMITTED	Acquire all fingers; correct 2.84.
703	UNSPECIFIED DATA ERROR- UNABLE TO PROCESS	Add/correct data, then resubmit. This error may be generated when the check digit on an input NYSID or CJTN is missing or incorrect.
704	RESUBMISSION NOT ALLOWED- TRANSACTION NOT IN REJECTED STATE	Do not resend, previous submission is in process at DCJS.
705	TCR PREVIOUSLY SUBMITTED	Do not resend, this transaction=s TCR was previously submitted to DCJS as a TCR, so it may not be sent again.
706	INVALID TOT	Do not resend, TOT is not allowed.
707	IMAGE(S) RECEIVED FOR AMPUTATED/BANDAGED FINGER(S)	Please correct the entry data to be consistent with the image(s) and resubmit.
708	INVALID AMPUTATED/BANDAGED FINGER CODE	Please correct the entry data and resubmit.

Value in Tag 2.1090	Literal in Tag 2.60	Resolution
709	IMAGE DATE INVALID	Please correct the entry data and resubmit
710	INVALID REQUEST FOR SUBMITTER	Do not resend: contact DCJS to resolve. Do not attempt to send any other messages to DCJS with this TOT until resolved.
711	RESUBMISSION FAILED - FAX # MISMATCH	Please fix Fax number to match original submission and resubmit.
712	RESUBMISSION FAILED - CJTN MISMATCH	Please fix CJTN to match original submission and resubmit.
713	FINGERPRINTERS ID REQUIRED	A valid entry is required for processing. Please include the entry and resubmit.
714	PERSON SUBMITTING ID REQUIRED	A valid entry is required for processing. Please include the entry and resubmit.
715	FEE PAYMENT ERROR - UNABLE TO PROCESS - CONTACT DCJS FINANCE	Contact DCJS Finance to resolve fee payment data
716	TRANSACTION FEE AMOUNT DOES NOT MATCH ORIGINAL PAYMENT	If Tag # 2.1500 (Civil Type) changed, fees being charged must equal original submission per U&D agreement. Tag #2.1500 can only change if the new fees being charged equal the original fee that was charged. Tag # 2.1053 (FBI Submission) cannot change if there is a change in the fee being collected either from the original submission or the resubmission for FBI processing per U&D agreement.
717	FBI RESUBMISSION NOT ALLOWED - NOT IN FBI REJECTED STATE	Do not resubmit another FBI card since the current one is not in rejection status.
718	FBI RESUBMISSION FAILED - INPUT DATA DOES NOT MATCH ORIGINAL DATA	Fields identified in table A-2 with reference note 54 were compared with prior values sent to DCJS. The resubmission data must match exactly for those fields.

Value in Tag 2.1090	Literal in Tag 2.60	Resolution
719	FBI RESUBMISSION FAILED - TRANSACTION NOT FBI ELIGIBLE	Do not resubmit FBI card, transaction is no longer eligible for submission to the FBI.
720	ALIEN NUMBER REQUIRED IF NOT A US CITIZEN	Please correct entry and resubmit
721	NYSID IS MISSING	Please include in entry and resubmit
722	LIFE, LIFEWOPAROLE OR DEATH CANNOT BE USED FOR LOCAL FACILITIES	Please correct entry and resubmit
723	SENTENCE SHOULD NOT EXCEED 1 YEAR FOR LOCAL AND COUNTY FACILITIES	Please correct entry and resubmit
724	SENTENCE INDICATES LIFE - RESUBMIT WITH LIFE = T AND ZERO TIME	Please correct entry and resubmit
725	FBI RESUBMISSION FAILED - INVALID TCR	Please correct the TCR tag and resubmit FBI card.
726	FBI RESUBMISSION FAILED - CONTRIBUTING ORI MISMATCH	Please fix contributing ORI to match original submission and resubmit FBI.
727	RESUBMISSION FAILED - UNSPECIFIED ERROR	Please contact DCJS Customer Contact Center to determine how to correct and resubmit this transaction, as this exception and how to rectify it may not be >automatically= determined.
728	COVERED ARREST DATE INVALID	Please correct entry and resubmit
730	INVALID FBI TOT	Please correct entry and resubmit
731	TRANSMISSION PROBLEM – RESUBMIT TO DCJS	Resubmit to DCJS
732	CONTRIBUTOR ERROR: TRANSMITTED INACCURATE DESCRIPTIVE DATA	The reported name does not match the signature submitted. Ensure the fingerprints submitted are for the named individual; otherwise, re-roll. Resubmit.

Value in Tag 2.1090	Literal in Tag 2.60	Resolution
733	INVALID NYSID	Please correct entry and resubmit
741	FBI RESUBMISSION FAILED - FP REASON DOES NOT MATCH ORIGINAL	Please correct entry and resubmit
742	FBI RESUBMISSION FAILED - SEX DOES NOT MATCH ORIGINAL	Please correct entry and resubmit
743	FBI RESUBMISSION FAILED - RACE DOES NOT MATCH ORIGINAL	Please correct entry and resubmit
744	FBI RESUBMISSION FAILED - LAST NAME DOES NOT MATCH ORIGINAL	Please correct entry and resubmit
745	FBI RESUBMISSION FAILED - FIRST NAME DOES NOT MATCH ORIGINAL	Please correct entry and resubmit
746	FBI RESUBMISSION FAILED - DOB DOES NOT MATCH ORIGINAL	Please correct entry and resubmit
747	FBI RESUBMISSION FAILED - SSN DOES NOT MATCH ORIGINAL	Please correct entry and resubmit
748	FBI RESUBMISSION FAILED - CJTN DOES NOT MATCH ORIGINAL	Please correct entry and resubmit
749	FEDERAL COURT IS NOT VALID FOR ADULT ARRESTS	Please correct entry and resubmit
750	TRANSACTION TOO OLD FOR RESUBMISSION	Resubmissions not allowed after 1 year. Please submit a new Fingerprint submission.

Table T3 – SMT Category and Sub-Category Values		
General Class	**Sub-class**	**Value**
Human forms and features		HUMAN
	Male face	HUMAN<US>MFACE
	Female face	HUMAN<US>FFACE
	Abstract face	HUMAN<US>ABFACE
	Male body	HUMAN<US>MBODY
	Male body parts	HUMAN<US>MBPART
	Female body	HUMAN<US>FBODY
	Female body parts	HUMAN<US>FBPART
	Abstract body	HUMAN<US>ABBODY
	Abstract body parts	HUMAN<US>ABBPART
	Roles (knight, witch, fireman, etc.)	HUMAN<US>ROLES
	Sports figures	HUMAN<US>SPORT
	Skulls	HUMAN<US>SKULL
	Miscellaneous human forms	HUMAN<US>MHUMAN

Table T3 – SMT Category and Sub-Category Values		
General Class	**Sub-class**	**Value**
Animal Forms and features		ANIMAL
	Cats and cat=s heads	ANIMAL<US>CAT
	Dogs and dog=s heads	ANIMAL<US>DOG
	Horses and horse=s heads (also donkeys, mules, etc.)	ANIMAL<US>HORSE
	Other domestic animals	ANIMAL<US>DOMESTIC
	Dragons	ANIMAL<US>DRAGON
	Snakes	ANIMAL<US>SNAKE
	Birds	ANIMAL<US>BIRD
	Spiders, bugs, insects	ANIMAL<US>INSECT
	Vicious animals (lion, wolf, tiger, etc.)	ANIMAL<US>VICIOUS
	Other wild animals	ANIMAL<US>WILD
	Abstract animals	ANIMAL<US>ABSTRACT
	Animal parts	ANIMAL<US>PARTS
	Miscellaneous animal forms	ANIMAL<US>MANIMAL

Table T3 – SMT Category and Sub-Category Values		
General Class	**Sub-class**	**Value**
Plants		PLANT
	Narcotics	PLANT<US>NARCOTICS
	Red flowers	PLANT<US>REDFL
	Rose(s)	PLANT<US>ROSE
	Tulip(s)	PLANT<US>TULIP
	Blue flowers	PLANT<US>BLUEFL
	Yellow flowers	PLANT<US>YELFL
	Lily	PLANT<US>LILY
	Miscellaneous plants, flowers, vegetables	PLANT<US>MPLANT
	Drawings of flowers	PLANT<US>DRAW
Flags		FLAG
	American	FLAG<US>USA
	State (of the US)	FLAG<US>STATE
	Confederate	FLAG<US>CONFED
	British	FLAG<US>BRIT
	Nazi	FLAG<US>NAZI
	Miscellaneous flags	FLAG<US>MFLAG

Table T3 – SMT Category and Sub-Category Values

General Class	Sub-class	Value
Objects		OBJECT
	Fire	OBJECT<US>FIRE
	Mythical	OBJECT<US>MYTH
	Water and nature scenes (rivers, waterfalls, trees, sky, etc.)	OBJECT<US>NATURE
	Weapons	OBJECT<US>WEAP
	Airplane(s)	OBJECT<US>PLANE
	Boats, ships, other vessels	OBJECT<US>VESSEL
	Trains	OBJECT<US>TRAIN
	Autos, trucks, other vehicles	OBJECT<US>VEHICLE
	Miscellaneous objects	OBJECT<US>MOBJECTS

Insignias and Symbols		SYMBOL
	National	SYMBOL<US>NATION
	Political	SYMBOL<US>POLITIC
	Military	SYMBOL<US>MILITARY
	Fraternal	SYMBOL<US>FRATERNAL
	Professional	SYMBOL<US>PROFESS
	Gang	SYMBOL<US>GANG
	Miscellaneous	SYMBOL<US>MSYMBOL

Table T3 – SMT Category and Sub-Category Values		
General Class	**Sub-class**	**Value**
Abstractions		ABSTRACT
	Figure(s)	ABSTRACT<US>FIGURE
	Sleeve	ABSTRACT<US>SLEEVE
	Bracelet	ABSTRACT<US>BRACE
	Anklet	ABSTRACT<US>ANKLET
	Necklace	ABSTRACT<US>NECKLC
	Shirt	ABSTRACT<US>SHIRT
	Body band	ABSTRACT<US>BODBND
	Head band	ABSTRACT<US>HEDBND
	Miscellaneous Abstract	ABSTRACT<US>MABSTRACT
Other Images		OTHER
	Words	OTHER<US>WORDING
	Freeform drawings	OTHER<US>FREEFRM
	Miscellaneous drawings	OTHER<US>MISC
	Scars/marks/oddities	OTHER<US>NCIC

Table T4- SMT Color Values

Color	Value
Red	RED
Orange	ORANGE
Yellow	YELLOW
Green	GREEN
Blue	BLUE
Purple	PURPLE
White	WHITE
Black	BLACK
Gray, grey	GRAY
Brown	BROWN
Multi-colored	MULTI
Outlined	OUTLINE

Table T5 – Reason Submitted Values

TOT	Reason Codes	Description for Reason Codes

TOT	Reason Codes	Description for Reason Codes
CARCIR	01	15 Days or Less Non Fingerprintable Incarceration
	02	Adoption/Guardianship/Custody
	03	Case Being Adjourned in Contemplation of Dismissal
	04	Amnesia Victim
	05	Certificate of Release
	06	Community Service Screening
	07	Conditional Release Investigation
	08	County Incarceration Greater than 1 Year
	09	Court Investigation
	10	Defender Based Advocacy
	11	Delay in Registration
	12	Detention Inquiry
	13	Early Discharge Request
	14	Family Court Investigation
	15	Fugitive Investigation
	16	ID Only/ Certified Fingerprint Identification/True Identity Determination
	17	Interstate Investigation
	18	Intrastate Investigation
	19	Police Department Investigation
	20	Juvenile Investigation
	21	Long Term Absconder
	22	Arrest for Non-Fingerprintable Offense(s)
	23	Parole Investigation
	24	Pre-Plea Investigation
	25	Pre-Sentence Investigation
	26	Probation Report Investigation
	27	Release on Recognizance
	28	Revocation Investigation

Table T5 – Reason Submitted Values

TOT	Reason Codes	Description for Reason Codes
CARCIR	29	Specialized Screening
	30	Turnover to Other Agency
	31	Violation of Probation
	32	Warrant Investigation - PD
	33	Youthful Offender Investigation
	34	Warrant Investigation
	35	Investigative Fingerprints
	36	Adult Arrest
	37	Juvenile Arrest
	38	DAT
	39	Judge Ordered Reprint
	40	Custody
	41	Termination of Parental Rights
	42	Foster Care
	43	Child Abuse/Neglect
	44	PINS
	45	Juvenile Delinquency
	46	Conditional Discharge Investigation
CARSOR	47	Sex Offender Registry Photo Update

Table T6 – Employment/License Type Values

Numeric Code	Literal
1	DCJS Employee
2	Employee Applicant
3	Correction Officer
4	Court Officer
5	Police Officer
6	Grand Juror F/P (Historical)
7	Child Care
8	Management/Mayoral Appointee
9	Amusement Device Dist
10	School Bus Driver
11	Home Health Aide
12	Auto Wrecker
13	Auxiliary Police
14	Bay Constable
15	School Bus Attendant
16	Bingo
17	Blaster
18	Insurance Appraiser
19	Bridge/Tunnel Off -Tribor
20	School Bus Driver (Historical)
21	Bufmunhousauth Peace Off
22	Bus Driver
23	Cabaret
24	Canvasser
25	Carter
26	Caseworker
27	Child Day Care

Table T6 – Employment/License Type Values

Numeric Code	Literal
28	Retail Check Casher
29	Checker
30	Alarm Installer Owner License
31	Peace Officer
32	Bail Enforcement Agent
33	Class III Gaming License
34	Certification Applicant
35	Contract Employee
36	Communications Technician
37	Cigarette License
38	Telecom System Controller
39	Adoption
40	NYC Firefighter
41	Constable
42	Control Substance License
43	County Employee Applicant
44	County Mental Health Employee
45	Mortgage Broker
46	Bank and Trust Company
47	Savings Bank
48	Court Stenographer
49	Savings and Loan
50	Credit Union
51	Safe Deposit Company
52	Mutual Holding Company
53	Investment Company
54	Mutual Trust Investment Company

Table T6 – Employment/License Type Values

Numeric Code	Literal
55	HazMat Endorsement
56	Court Employee - Crim Justice
57	Dance Hall License
58	Department Of Law Employee
59	Deputy City Sheriff (NYC)
60	Watchman
61	Direct Care Staff
62	District Ranger
63	Limited Purpose Trust Company
64	Emergency Medical Services Lic
65	Adopt/Grdnshp/Custody F/P
66	Employee Of Mayors Office
67	Employment Agency Lic
68	VideoLottery Terminal Operator
69	Explosive License
70	Farm Labor Contractor
71	Fire Department Employee
72	Fire Marshal
73	Fire Protection Inspector
74	Florida Bar Applicant
75	Forest Ranger
76	Foster Parent
77	Full Time Firefighter
78	Funeral Director Lic
79	Games Of Chance
80	Gaming Commission Employee
81	Gaming Commission Member

Table T6 – Employment/License Type Values

Numeric Code	Literal
82	Gaming License
83	Gaming Service Employee
84	Gaming Service Regist
85	Garbage/Rubbish
86	Governor Appointee
87	Alarm Installer Employee
88	CJIS Site Security Check
89	Commercial Check Casher
90	Handler Of Explosives
91	Hawker
92	Hazardous Waste Permit
93	Hiring Agent
94	Local Service Applicant
95	Auctioneer
96	Home Improv Contrac/Sales
97	Hospital Operator Cert Lic
98	Houseparent
99	Nursing Home/Home Health Care/Adult Care Facility
100	Incorporator
101	Missing Child
102	Tribal Police Applicant
103	Insurance Adjustor
104	Premium Finance Company
105	Emergency Shelter Operator
106	Emergency Shelter Employee
107	Flight School App

Table T6 – Employment/License Type Values

Numeric Code	Literal
108	Mortgage Loan Originator
109	Junk Shop (Historical)
110	Junkyard/Junk Dealer
111	REAL ID
112	Juvenile Counselor
113	Landscaper
114	Document Destruction Contractr
115	Law Enforcement Personnel F/P
116	Lic Required By Local Law
117	Lic To Represent Claimant
118	Athletic Comm License App
119	Licensed Lender
120	Lifeguard Seasonal(Historical)
121	Limo
122	Loader Of Explosives
123	Locksmith
124	Longshoreman
125	Lottery Ticket Sale Agent
126	Lottery Tkt Agent -G Tech
127	Magazine Keeper
128	Mortgage Loan Servicers
129	Ambulance Driver/Attendant
130	Mentor
131	Record Review-DCJS Fee Waived
132	Mortgage Banker
133	Municipal Employee

Table T6 – Employment/License Type Values

Numeric Code	Literal
134	Promoter
135	Other NYSAC License
136	Charitable Gaming
137	Indian Gaming License
138	National Racing Compact License
139	Terminal Operator State Fee Only
140	DCJS Contractor L1 Operator
141	Clergy - Correctional Facility
142	Contractor - Correctional Facility
143	Park Patrol Officer
144	Park Ranger
145	City Board Water Supply Emp
146	Part-time Police Officer
147	Pawnbroker
148	City Council Appointee
149	Peddler/Solicitor
150	Vendor - Correctional Facility
151	Pier Superintendent
152	Pistol
153	Volunteer - Correctional Facility
154	Place Of Public Assembly
155	Police Aide
156	Police Department Employee
157	Air Freight License
158	Port Watchman
159	Powder Carrier

Table T6 – Employment/License Type Values

Numeric Code	Literal
160	Precious Metal/Coin Exchg Lic
161	Princ, Exec, Dir Ins Co
162	Private Detective License
163	Emergency Medical Technician
164	Access or View NYS CHRI
165	Probation Officer
166	Process Server
167	Prof Bondsman/Charitable Bail Org
168	Custody/Visitation
169	Public Adjustor License
170	Purchase/Sell Rifle/Shotgun
171	Pyrotechnist
172	Racing License
173	Railroad Police
174	Public Record Review-Unsuppressed
175	Reg Medical Waste Permit
176	Rent Inspector
177	Resid Health Care Lic
178	Guardianship
179	Rooming House
180	Rubbish Collector
181	Sales Finance Company
182	Scavenger
183	Billiard Room
184	Sec & Exch Comm Appointee
185	Second Hand Dealer

Table T6 – Employment/License Type Values

Numeric Code	Literal
186	Security Guard Registrant
187	Security Services Aide
188	Shellfish Fisherman
189	Social Club
190	Solid Waste Handler
191	Special Deputy Sheriff
192	Special Officer/Security Guard
193	Special Patrolman
194	Stevedore
195	Life Settlement Broker
196	Life Settlement Intermediary
197	Life Settlement Provider
198	Vehicle Dismantler
199	Taxi
200	Taxi/Towcar/Limo
201	TV Service Dealer (Historical)
202	Temp Guard Employee F/P
203	Towing
204	Money Transmitter
205	Used Car Dealer
206	Terminal Operator
207	Vendor
208	Viatical Sett Broker
209	Viatical Sett Company
210	NCPA VCA Volunteer
211	Volunteer Firefighter

Table T6 – Employment/License Type Values

Numeric Code	Literal
212	Pi/Wgp
213	NCPA VCA Employee
214	Custody
215	Window Cleaner
216	X-Ray Technician License
217	Police Patrolman
218	Special Officer
219	En Con Officer
220	DCJS Emp Trans II
221	DCJS Host Agency Emp II
222	DCJS Intern Paid IV
223	DCJS - StuyEmp II
224	DCJS- StuyContr II
225	DCJS Consultant/Contractor II
226	Emergency Med Specialist
227	Riverboat Gambling License
228	Adult Entertainment Lic
229	Sanitation License
230	Budget Planner
231	Appointed Official
232	Admin Nursing Home Lic
233	Liquor License
234	Waterfront Lic (Historical)
235	Adoptive/Foster Parent
236	Other (Historical)
237	Homecare Provider License

Table T6 – Employment/License Type Values

Numeric Code	Literal
238	Daycare Provider License
239	YOP Student
240	ETP Trainee
241	Banking Dept (Historical)
242	Dept of State (Historical)
243	Insurance Dept (Historical)
244	Vendor (Historical)
245	Deputy Sheriff
246	Housing Applicant
247	Outdoor Entertainment Lic
248	Assistant DA
249	DA Investigator
250	DA Employee
251	Trade Waste License
252	Visa
253	Sheriff Department Employee
254	Fulton Fish Market
255	Shipboard Gambling
256	Elec Home App Svc Dealer
257	Commercial Bingo Lessor
258	Booting Company License
259	Morpho/Namsi Employee
260	DCJS Intern
261	Criminal Justice Employee
262	Armored Car Carrier
263	Armored Car Guard

Table T6 – Employment/License Type Values

Numeric Code	Literal
264	Substance Abuse Facility Lic
265	Mercantile License
266	Coin Processor
267	Family Care
268	Charter School Applicant
269	Alcohol/Drug Counselor
270	Gambling Equipment License
271	Safe House
272	Special Search
273	Scrap Metal Processor
274	Public Record Review-Suppressed
275	Parks and Recreation Employee
276	Casino Vendor Enterprise License
277	Casino Key Employee License
278	Gaming Employee Registrant
279	Gaming Facility License
280	Environmental Hazard Remediation Provider
281	Environmental Hazard Remediation Technician
284	Civil Photo Update
290	Fantasy Sports Operator

Table T7 – Geographical Area of Search Values

Value	Search Population
010	Albany Co.
011	Albany Co., Columbia Co., Greene Co., Rensselaer Co., Saratoga Co., Schenectady Co, Schoharie Co., Unknowns
020	Allegany Co.
021	Allegany Co., Cattaraugus Co., Livingston Co., Unknowns
030	Broome Co.
031	Broome Co., Chenango Co., Cortland Co., Delaware Co., Tioga Co., Unknowns
040	Cattaraugus Co.
041	Allegany Co., Cattaraugus Co., Chautauqua Co., Erie Co., Wyoming Co., Unknowns
050	Cayuga Co.
051	Cayuga Co., Cortland Co., Onondaga Co., Oswego Co., Seneca Co., Tompkins Co., Wayne Co., Unknowns
060	Chautauqua Co.
061	Cattaraugus Co., Chautauqua Co., Erie Co., Unknowns
070	Chemung Co.
071	Chemung Co., Schuyler Co., Steuben Co., Tioga Co., Tompkins Co., Unknowns
080	Chenango Co.
081	Broome Co., Chenango Co., Cortland Co., Delaware Co., Madison Co., Otsego Co., Unknowns
090	Clinton Co
091	Clinton Co., Essex Co., Franklin Co., Unknowns

Table T7 – Geographical Area of Search Values

Value	Search Population
100	Columbia Co
101	Albany Co., Columbia Co., Dutchess Co., Greene Co., Rensselaer Co., Ulster Co., Unknowns
110	Cortland Co
111	Broome Co., Cayuga Co., Chenango Co., Cortland Co., Madison Co., Onondaga Co., Tioga Co., Tompkins Co., Unknowns
120	Delaware Co
121	Broome Co., Chenango Co., Delaware Co., Greene Co., Otsego Co., Schoharie Co., Sullivan Co., Ulster Co., Unknowns
130	Dutchess Co
131	Columbia Co., Dutchess Co., Orange Co., Putnam Co., Ulster Co., Unknowns
140	Erie Co
141	Cattaraugus Co., Chautauqua Co., Erie Co., Genesee Co., Niagara Co., Orleans Co., Wyoming Co., Unknowns
150	Essex Co
151	Clinton Co., Essex Co., Franklin Co., Hamilton Co., Warren Co., Washington Co., Unknowns
160	Franklin Co
161	Clinton Co., Essex Co., Franklin Co., Hamilton Co., St. Lawrence Co., Unknowns
170	Fulton Co
171	Fulton Co., Hamilton Co., Herkimer Co., Montgomery Co., Saratoga Co., Unknowns
180	Genesee Co

Table T7 – Geographical Area of Search Values

Value	Search Population
181	Erie Co., Genesee Co., Livingston Co., Monroe Co., Niagara Co., Orleans Co., Wyoming Co., Unknowns
190	Greene Co
191	Albany Co., Columbia Co., Delaware Co., Greene Co., Rensselaer Co., Schoharie Co., Ulster Co., Unknowns
200	Hamilton Co
201	Essex Co., Franklin Co., Fulton Co., Hamilton Co., Herkimer Co., St Lawrence Co., Saratoga Co., Warren Co., Unknowns
210	Herkimer Co
211	Fulton Co., Hamilton Co., Herkimer Co., Lewis Co., Montgomery Co., Oneida Co., Otsego Co., St Lawrence Co., Unknowns
220	Jefferson Co
221	Jefferson Co., Lewis Co., Oswego Co., St Lawrence Co., Unknowns
230	Kings Co
231	Kings Co., New York Co., Unknowns
240	Lewis Co
241	Herkimer Co., Jefferson Co., Lewis Co., Montgomery Co., St Lawrence Co., Unknowns
250	Livingston Co
251	Allegany Co., Genesee Co., Livingston Co., Monroe Co., Ontario Co., Steuben Co., Wyoming Co., Unknowns
260	Madison Co

Table T7 – Geographical Area of Search Values

Value	Search Population
261	Allegany Co., Chenango Co., Cortland Co., Madison Co., Oneida Co., Onondaga Co., Oswego Co., Otsego Co., Unknowns
270	Monroe Co
271	Genesee Co., Livingston Co., Monroe Co., Ontario Co., Orleans Co., Wayne Co., Unknowns
280	Montgomery Co
281	Fulton Co., Herkimer Co., Jefferson Co., Otsego Co., Saratoga Co., Schenectady Co., Schoharie Co., Unknowns
290	Nassau Co
291	Nassau Co., Queens Co., Suffolk Co., Unknowns
300	New York Co
301	Bronx Co., Kings Co., New York Co., Queens Co., Richmond Co., Unknowns
310	Niagara Co
311	Erie Co., Genesee Co., Niagara Co., Orleans Co., Unknowns
320	Oneida Co
321	Herkimer Co., Lewis Co., Madison Co., Oneida Co., Oswego Co., Otsego Co., Unknowns
330	Onondaga Co
331	Cayuga Co., Cortland Co., Madison Co., Onondaga Co., Oswego Co., Unknowns
340	Ontario Co

Table T7 – Geographical Area of Search Values

Value	Search Population
341	Livingston Co., Monroe Co., Ontario Co., Seneca Co., Steuben Co., Wayne Co., Yates Co., Unknowns
350	Orange Co
351	Dutchess Co., Orange Co., Putnam Co., Rockland Co., Sullivan Co., Ulster Co., Westchester Co., Unknowns
360	Orleans Co
361	Genesee Co., Monroe Co., Niagara Co., Orleans Co., Unknowns
370	Oswego Co.
371	Cayuga Co., Jefferson Co., Lewis Co., Madison Co., Oneida Co., Onondaga Co., Oswego Co., Unknowns
380	Otsego Co
381	Chenango Co., Delaware Co., Herkimer Co., Madison Co., Montgomery Co., Oneida Co., Otsego Co., Schoharie Co., Unknowns
390	Putnam Co
391	Dutchess Co., Orange Co., Putnam Co., Rockland Co., Westchester Co., Unknowns
400	Queens Co
401	New York Co., Queens Co., Unknowns
410	Rensselaer Co
411	Albany Co., Columbia Co., Greene Co., Rensselaer Co., Saratoga Co., Washington Co., Unknowns
420	Richmond Co

Table T7 – Geographical Area of Search Values

Value	Search Population
421	New York Co., Richmond Co., Unknowns
430	Rockland Co
431	Orange Co., Putnam Co., Rockland Co., Westchester Co., Unknowns
440	St Lawrence Co
441	Franklin Co., Hamilton Co., Herkimer Co., Jefferson Co., Lewis Co., St Lawrence Co., Unknowns
450	Saratoga Co
451	Albany Co., Fulton Co., Hamilton Co., Montgomery Co., Rensselaer Co., Saratoga Co., Schenectady Co., Warren Co., Washington Co., Unknowns
460	Schenectady Co
461	Albany Co., Montgomery Co., Saratoga Co., Schenectady Co., Schoharie Co., Unknowns
470	Schoharie Co
471	Albany Co., Delaware Co., Greene Co., Montgomery Co., Schenectady Co., Schoharie Co., Unknowns
480	Schuyler Co
481	Chemung Co., Schuyler Co., Seneca Co., Steuben Co., Tompkins Co., Yates Co., Unknowns
490	Seneca Co
491	Cayuga Co., Ontario Co., Schuyler Co., Seneca Co., Tompkins Co., Wayne Co., Yates Co., Unknowns
500	Steuben Co

Table T7 – Geographical Area of Search Values

Value	Search Population
501	Allegany Co., Chemung Co., Livingston co., Ontario Co., Schuyler Co., Steuben Co., Yates, Unknowns
510	Suffolk Co
511	Nassau Co., Suffolk Co
520	Sullivan Co
521	Delaware Co., Orange Co., Sullivan Co., Ulster Co., Unknowns
530	Tioga Co
531	Broome Co., Chemung Co., Cortland Co., Tioga Co., Tompkins Co., Unknowns
540	Tompkins Co
541	Cayuga Co., Chemung Co., Cortland Co., Schuyler Co., Seneca Co., Tioga Co., Tompkins Co., Unknowns
550	Ulster Co
551	Columbia Co., Delaware Co., Dutchess Co., Greene Co., Orange Co., Sullivan Co., Ulster Co., Unknowns
560	Warren Co
561	Essex Co., Hamilton Co., Saratoga Co., Warren Co., Washington Co., Unknowns
570	Washington Co
571	Essex Co., Rensselaer Co., Saratoga Co., Warren Co., Washington Co., Unknowns
580	Wayne Co
581	Cayuga Co., Monroe Co., Ontario Co., Seneca Co., Wayne Co., Unknowns
590	Westchester Co

Table T7 – Geographical Area of Search Values

Value	Search Population
591	Broome Co., Orange Co., Putnam Co., Rockland Co., Westchester Co., Unknowns
600	Wyoming Co
601	Allegany Co., Cattaraugus Co., Erie Co., Genesee Co., Livingston Co., Wyoming Co., Unknowns
610	Yates Co
611	Ontario Co., Schuyler Co., Seneca Co., Steuben Co., Yates Co., Unknowns
620	Bronx Co
621	Bronx Co., New York Co., Unknowns
630	Out-of New York State (for searches being submitted to the FBI, use the valid state code from the POB Code Table in Part IV of the NCIC Code Manual)
631	Bronx Co., Clinton Co., Erie Co., Franklin Co., Jefferson Co., Kings Co., New York Co., Niagara Co., Queens Co., Richmond Co., St. Lawrence Co., Out of New York State, Unknowns
640	Out of the United States
641	Out of the United States, Unknowns
650	Unknowns
A	Allegany Co., Cattaraugus Co., Chautauqua Co., Erie Co., Niagara Co., Unknowns
B	Allegany Co., Broome Co., Cattaraugus Co., Chautauqua Co., Chemung Co., Delaware Co., New York Co., Orange Co., Richmond Co., Rockland Co., Steuben Co., Sullivan Co., Tioga Co., Bronx Co., Out-of State, Out-of Country, Unknowns
C	Clinton Co., Columbia Co., Dutchess Co., Essex Co., Nassau Co., Putnam Co., Rensselaer Co., Suffolk Co., Washington Co., Westchester Co., Out-of State, Out-of Country, Unknowns

Table T7 – Geographical Area of Search Values

Value	Search Population
D	Broome Co., Cayuga Co., Chenango Co., Cortland Co., Herkimer Co., Jefferson Co., Lewis Co., Madison Co., Oneida Co., Onondaga Co., Oswego Co., Otsego Co., Tioga Co., Tompkins Co. Unknowns
E	Chemung Co., Genesee Co., Livingston Co., Monroe Co., Ontario Co., Orleans Co., Schuyler Co., Seneca Co., Steuben Co., Wayne Co., Wyoming Co., Yates Co., Unknowns
F	Orange Co., Rockland Co., Sullivan Co., Ulster Co., unknowns
G	Albany Co., Clinton Co., Cortland Co., Delaware Co., Essex Co., Franklin Co., Fulton Co., Greene Co., Hamilton Co., Montgomery Co., Rensselaer Co., St. Lawrence Co., Saratoga Co., Schenectady Co., Schoharie Co., Warren Co., Washington Co., Out-of-State, Out-of-Country, Unknowns
H	Bronx Co., Kings Co., Nassau Co., New York Co., Queens Co., Richmond Co., Suffolk Co., Unknowns
I	Bronx Co., Kings Co., New York Co., Orange Co., Putnam Co., Queens Co., Rockland Co., Westchester Co., Unknowns
J	Bronx Co., Kings Co., Nassau Co., New York Co., Orange Co., Putnam Co., Queens Co., Rockland Co., Suffolk Co., Westchester Co., Unknowns
K	Dutchess Co., Putnam Co., Westchester Co., Unknowns
L	All New York State counties
M	Rockland Co., Westchester Co., Unknowns
N	Kings Co., Nassau Co., Suffolk Co., Unknowns
P	Bronx Co., Kings Co., Queens Co., Richmond Co., Unknowns
Q	Bronx Co., Kings Co., Nassau Co., New York Co., Queens Co., Richmond Co., Rockland Co., Suffolk Co., Westchester Co., Unknowns
S	Queens Co., Richmond Co., Unknowns

Table T8 – Name Prefix Values

Name Prefix	Meaning	Name Prefix	Meaning
ACTCHIEF	Acting Chief	CHIEFINV	Chief Investigator
ACTDIR	Acting Director	CHIEFVC	Chief of Violent Crimes
ADM	Administrator	CJISSUPV	CJIS Supervisor
AGENT	Agent	CLASSOFF	Classification Officer
AGENTINCHG	Agent in Charge	CLERK	Clerk
ANALYST	Analyst	COMM	Commissioner
ASSISTANT	Assistant	COMMOFF	Commanding Officer
ASSOCDIR	Associate Director	COMMSPEC	Communications Specialist
ASSTADMIN	Assistant Administrator	COORD	Coordinator
ASSTCHIEF	Assistant Chief	CORRCSLR	Correction Counselor
ASSTCOMM	Assistant Commissioner	CORROFF	Correction Officer
ASSTDA	Assistant District Attorney	COURTCLERK	Court Clerk
ASSTDIR	Assistant Director	CPL	Corporal
ASSTMGR	Assistant Manager	CRIMEDIR	Crime Director
ASSTSUPV	Assistant Supervisor	CSSPEC	Customer Service Specialist
ATTY	Attorney	DEPAG	Deputy Attorney General
BOARDMEM	Board Member	DEPCHCLERK	Deputy Chief Clerk
BRCHIEF	Branch Chief	DEPCHIEF	Deputy Chief

Table T8 – Name Prefix Values

Name Prefix	Meaning	Name Prefix	Meaning
BURCHIEF	Bureau Chief	DEPCOMM	Deputy Commissioner
CAPT	Captain	DEPUTY	Deputy
CHIEF	Chief	DET	Detective
CHIEFCLERK	Chief Clerk	DETLT	Detective Lieutenant
CHIEFDISP	Chief Dispatcher	DETSGT	Detective Sergeant
DIRECTOR	Director	MGR	Manager
DIRID	Director Identification	MISS	Unmarried woman
DISP	Dispatcher	MR	Mister
DISTATTY	District Attorney	MRS	Married woman
DR	Doctor	MS	Woman with no reference to marital status
EXECDIR	Executive Director	NURSE	Nurse
FR	Father, Brother, Friar	OFF	Officer
GOV	Governor	OIC	Officer in Charge
HON	Honorable	OPEROFF	Operations Officer
IDENTSUPV	Identification Supervisor	PAA	Principal Administrative Associate
IDOFFICER	Identification Officer	PAROLEOFF	Parole Officer
INSP	Inspector	POLICEOFF	Police Officer
INFOSPEC	Information Specialist	POSTINSP	Postal Inspector

Table T8 – Name Prefix Values

Name Prefix	Meaning	Name Prefix	Meaning
INMRECCORR	Inmate Records Coordinator	PROBOFF	Probation Officer
INTELANAL	Intelligence Analyst	PROF	Professor
INV	Investigator	PROGASST	Program Assistant
JUDGE	Judge	PROGMGR	Program Manager
LEGALADV	Legal Advisor	PROGPLAN	Program Planner
LEGALASST	Legal Assistant	PROJMGR	Project Manager
LT	Lieutenant	PSYCH	Psychologist
MAJ	Major	QAC	Quality Assurance Coordinator
MAYOR	Mayor	RECORDOFF	Records Officer
REHABCSLR	Rehabilitation Counselor	SRPAROFF	Senior Parole Officer
REV	Reverend	SRPROBOFF	Senior Probation Officer
SECOFF	Security Officer	SUPER	Superintendent
SECRETARY	Secretary	SUPV	Supervisor
SGT	Sergeant	TJ	Town Justice
SHERIFF	Sheriff	TROOPER	Trooper
SIC	Special Agent in Charge	TYPIST	Typist
SOCWORKER	Social Worker	UNDSHERIFF	Undersheriff

Table T8 – Name Prefix Values

Name Prefix	Meaning	Name Prefix	Meaning
SORSUPV	Sex Offender Staff	UNK	Unknown
SR	Sister	USPROBOFF	US Probation Officer
SRINV	Senior Investigator	WARDEN	Warden

Table T9 – Name Suffix Values

Name Suffix	Meaning
DDS	Doctor of Dental Surgery
II	Second
III	Third
IV	Fourth
V	Fifth
VI	Sixth
JR	Junior
MD	Medical Doctor
PHD	Doctor of Philosophy
RN	Registered Nurse
SR	Senior

Table T10 – Entry Reason Values
For Local Incarceration Admissions

Entry Reason	Meaning
001	Sentenced, Initial Entry; Felony Conviction
002	Sentenced, Initial Entry; Misdemeanor Conviction
003	Sentenced, Initial Entry; Youthful Offender
004	Sentenced, Initial Entry; Violation Conviction
005	Sentenced, Initial Entry; Infraction Conviction
006	Sentenced, Initial Entry Civil Offense
018	Sentenced on Other Conviction
019	Sentenced, Initial entry: Unknown type or Level

Note: For local correction admission transactions (CARADM), only the above entry reasons should be submitted, as they imply there was a sentence associated with the case. But, if a person is entering a local facility for a reason other than those above (such as a detention on a charge), a criminal inquiry transactions (CARCIR), with the reason for that submission, may be sent to DCJS.

Table T11 – Other Sentence Values
For Local Incarceration Admissions

Entry	Meaning

Entry	Meaning
1	Life Sentence
2	Time Served
3	Intermittent
4	Life Sentence without the possibility of Parole
5	Indefinite
6	Reformatory Term
7	Indeterminate
8	Death Sentence

Table T12 – Citizenship Status Values

U.S. Born	U
Naturalized citizen	N
Legal Alien	L
Illegal Alien	I
Immigrant Worker	W
Pending	P

Legal Alien (L) will include Non-Immigrants (i.e. students, worker, tourists) and Permanent Resident Aliens.

Pending (P) will include refugees, people seeking asylum, parolees and Mariel Cuban.